



Little River Band of Ottawa Indians

375 River Street
Manistee, MI 49660
(231) 723-8288

Resolution # 03-0122-11

*Directing the Development of Corrective Action Plan in Regards to the Compliance Audit
Report by the Tribal Ogema and Tribal Council*

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156, 25 U.S.C. s. 1300k, et seq.; and

WHEREAS, the Tribe adopted a new Constitution pursuant to a vote of the membership on May 27, 1998, which became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribal Council is delegated under Article IV, Section 7(a)(1) and (e) to promote, protect and provide for public health, peace, morals, education and general welfare of the Tribe and its members, and to engage legal counsel; and

WHEREAS, the Tribal Council has engaged Joseph Eve & Company to conduct a Compliance Audit of the Tribal operations and the audit (*Report on Application of "Agreed Upon Procedures" to Determine Compliance with Policies and Procedures as Adopted by the Tribal Council*) has been received by Tribal Council; and

WHEREAS, the Tribal Council has determined that the next step regarding this audit is to develop a written corrective action plan by the Tribal Council and Tribal Ogema in order to verify that corrections have been made; and

WHEREAS, the Tribal Council has further determined that the corrective action plans should clearly identify the audit action, responsible party, next step, and timeline for conclusion of corrective action; and

NOW THEREFORE IT IS RESOLVED THAT the Tribal Council of the Little River Band of Ottawa Indians accepts the Compliance Audit submitted by the audit firm and that the report shall be forwarded to the Tribal Ogema to be reviewed and not copied; and

NOW THEREFORE IT IS FURTHER RESOLVED THAT the Tribal Council directs the Ogema to develop a 'Corrective Action Plan' regarding all audit findings, except the following sections/subsections – I(2); III(15); and V(6). The Corrective Action Plan shall include the following information.


1. *Citation to Audit Report Section/Subsection*
2. *Responsible Party* – the individual identified to carry out the corrections, if a vendor is contracted to conduct the corrections, the name of the vendor and the employee responsible to manage the contract.
3. *Next Step* – the steps to be taken regarding the audit finding, who will be taking the action, what action is being taken, and how long it will take to complete.

NOW THEREFORE IT IS FURTHER RESOLVED THAT the Tribal Council shall develop a Corrective Action Plan for audit findings sections I(2) and V(6), and shall follow-up with audit finding III(15) with the Tribal Court.

NOW THEREFORE IT IS FINALLY RESOLVED THAT the Tribal Council, Tribal Ogema, and Tribal Court shall submit the Corrective Action Plans to the Tribal Council on or before February 17, 2003 for final acceptance with the Compliance Audit and Corrective Action Plans on the Tribal Council agenda of February 19, 2003.

CERTIFICATE OF ADOPTION

I do hereby certify that the foregoing resolution was duly presented and adopted by the Tribal Council with 9 FOR, 0 AGAINST, 0 ABSTAINING, and 0 ABSENT, at a Regular Session of the Little River Band of Ottawa Indians Tribal Council held on January 22, 2003, at the Little River Band's Community Center in Manistee, Michigan, with a quorum being present for such vote.



Janine M. Sam, Council Recorder



Stephen Parsons, Council Speaker

Attest:

Distribution: Council Records
Tribal Ogema
Tribal Court
Legal Department