



## Little River Band of Ottawa Indians

2608 Government Center Drive  
Manistee, MI 49660

Moved to  
Open Session  
11/09/16

### Resolution #16-0622-183

#### *Authorization of Letter Agreement with Rehmann to Complete the FY 2015 401K Audit*

WHEREAS, the status of the *Gaá Čhíng Ziibi Daáwaa Aníshinaábek* (Little River Band of Ottawa Indians) as a sovereign and Treaty-making power is confirmed in numerous treaties, from agreements with the initial colonial powers on this land, to various treaties with the United States; and

WHEREAS, the Little River Band of Ottawa Indians (Tribe) is descended from, and is the political successor to, the Grand River Ottawa Bands, signatories of the 1836 Treaty of Washington (7 Stat. 491) with the United States, as reaffirmed by federal law in P.L. 103-324, enacted in 1994; and

WHEREAS, the Tribe adopted a new Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Ogema is authorized to under Article V, Section 5(a)(3) to execute agreements on behalf of the Little River Band; and

WHEREAS, the Tribal Government is an employer required to comply with various laws and regulations that necessitate annual audit and filing processes with Federal Agencies, including filing an annual audit of the 401K assets and processes under the authorized plan documents; and

WHEREAS, the Tribal Council adopted Ordinance #10-100-06, governing both internal and external audit processes, including the 401K audit process; and

WHEREAS, the position of Comptroller General as defined by code is currently vacant within the government; and

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WHEREAS, the Chief Financial Officer and Human Resource Department have requested that a firm familiar with the Band's finances and 401k assets, plan and policy be retained to ensure that the Tribal Government complies with all required filing deadlines and dates; and

WHEREAS, the Tribal Council is authorized to grant an exception to allow an auditing firm an engagement beyond three years.


NOW THEREFORE IT IS RESOLVED THAT the Tribal Council of the Little River Band of Ottawa Indians authorizes the Ogema to execute and enter into a letter agreement with Rehmann group to perform the required annual 401K Audit and filing of form 5500.

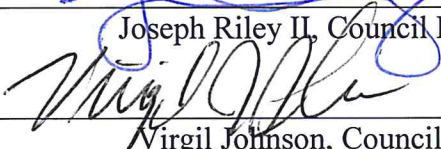
IT IS FURTHER RESOLVED THAT the Tribal Council authorized the fees associated with said letter agreement not to exceed an amount of \$7,000.00.

IT IS FINALLY RESOLVED THAT additional actions as may be required may proceed under this same authorization, provided that any significant financial expenditures, if required, must be carried out in accordance to established processes and policy of the Tribe.

### CERTIFICATE OF ADOPTION

I do hereby certify that the foregoing resolution was duly presented and adopted by the Tribal Council with 8 FOR, 0 AGAINST, 0 ABSTAINING, and 1 ABSENT, at a Closed Session of the Little River Band of Ottawa Indians Tribal Council held on June 15, 2016, at the Little River Band's Conference Room in Manistee, Michigan, with a quorum being present for such vote.

  
\_\_\_\_\_  
Joseph Riley II, Council Recorder

  
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Virgil Johnson, Council Speaker

Attest:

Distribution:

Council Records  
Tribal Ogema  
Purchasing Department