



Grant Number: 55-IT-26-36400  
 Report: APR Report for 2020  
 First Submitted On:  
 Last Submitted On:

OMB CONTROL NUMBER: 2577-0218  
 EXPIRATION DATE: 07/31/2019

**Cover Page**

**Grant Information:**

Grant Number	55-IT-26-36400
Recipient Program Year	01/01/2020-12/31/2020
Federal Fiscal Year	2020
Initial Indian Housing Plan (IHP):	Yes
Amended Plan	
Annual Performance Report (APR):	Yes
Amended Plan	
Tribe:	Yes
TDHE:	

**Recipient Information:**

Name of the Recipient	Little River Band of Ottawa Indians
Contact Person	Tara Bailey
Telephone Number with Area Code	231-398-6879
Mailing Address	375 River Street
City	Manistee
State	MI
Zip	49660
Fax Number with Area Code	231-723-8761
Email Address	tarabailey@lrboi-nsn.gov

**TDHE/Tribe Information:**

Tax Identification Number	382617761
DUNS Number	194559688
CCR/SAM Expiration Date	09/02/2021

**Planned Grant-Based Budget for Eligible Programs:**

IHBG Fiscal Year Formula Amount	\$299,655.00
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**Housing Needs**

Type of Need (A)	Low-Income Indian Families (B)	All Indian Families (C)
Overcrowded Households		

Renters Who Wish to Become Owners	
Substandard Units Needing Rehabilitation	
Homeless Households	
Households Needing Affordable Rental Units	
College Student Housing	
Disabled Households Needing Accessibility	
Units Needing Energy Efficiency Upgrades	
Infrastructure to Support Housing	
Other (specify below)	
Planned Program Benefits	2020-1 NAHASDA housing maintenance, equipment and supplies. 2020-2 NAHASDA housing labor 2020-3 NAHASDA 4 Elder Housing unit roof replacements 2020-4 NAHASDA Kubota Utility Vehicle, basketball court, shuffle board court. 2020-5 NAHASDA Construction - 1 Low Income Housing Unit
Geographic Distribution	NAHASDA funding assistance is used to support our low-income housing stock in our Michigan service area.

### Programs

#### 2020-1 : NAHASDA Housing Maintenance Materials, Equipment and Supplies

Program Name:	NAHASDA Housing Maintenance Materials, Equipment and Supplies	
Unique Identifier:	2020-1	
Program Description (continued)	Provide routine and non-routine repairs and replacement of fixtures, appliances, material or equipment for the maintenance of our NAHASDA rental units.	
Eligible Activity Number	(19) Housing Management Services [202(4)]	
Intended Outcome Number	(3) Improve quality of substandard units	
APR: Actual Outcome Number	(3) Improve quality of substandard units	
Who Will Be Assisted	Households in NAHASDA rental units	
Types and Level of Assistance	Furnishing of maintenance materials, equipment and supplies for NAHASDA rental units.	
APR : Describe Accomplishments	Materials, equipment and supplies were provided for the maintenance of our NAHASDA units	
Planned and Actual Outputs for 12-Month Program Year	<b>Planned</b>	<b>APR - Actual</b>
	Number of Households to be served in Year	0 45
APR: If the program is behind schedule, explain why	Although all 45 units were assisted in some manner during the 2020 fiscal year, due to COVID, most repairs consisted of emergency type repairs to reduce the amount of contact with residents and for the well being of residents and maintenance staff	

#### Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$100,000.00	\$84,000.00	\$184,000.00	\$76,333.70	\$0.00	\$76,333.70

2020-2 : NAHASDA Housing Maintenance and Administrative Labor

Program Name:	NAHASDA Housing Maintenance and Administrative Labor		
Unique Identifier:	2020-2		
Program Description (continued)	Provide labor for 1 FTE Maintenance Technician and 1 FTE Administrative position for our NAHASDA rental units.		
Eligible Activity Number	(19) Housing Management Services [202(4)]		
Intended Outcome Number	(3) Improve quality of substandard units		
APR: Actual Outcome Number	(6) Assist affordable housing for low income households		
Who Will Be Assisted	NAHASDA rental households.		
Types and Level of Assistance	Labor to maintain NAHASDA rental units.		
APR : Describe Accomplishments	Due to COVID, staff was limited for a portion of the year, the position of Administrative Assistant was assisted with NAHASDA funds		
Planned and Actual Outputs for 12-Month Program Year		<b>Planned</b>	<b>APR - Actual</b>
	Number of Households to be served in Year	0	45
APR: If the program is behind schedule, explain why	Maintenance staff was covered with tribal funds during fiscal year 2020		

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$127,150.00	\$132,700.00	\$259,850.00	\$34,694.52	\$0.00	\$34,694.52

2020-3 : NAHASDA 4 Elder Housing unit roof replacements

Program Name:	NAHASDA 4 Elder Housing unit roof replacements		
Unique Identifier:	2020-3		
Program Description (continued)	Replacement of 4 NAHASDA Elder unit roofs, which are 18 years old.		
Eligible Activity Number	(19) Housing Management Services [202(4)]		
Intended Outcome Number	(3) Improve quality of substandard units		
APR: Actual Outcome Number	(3) Improve quality of substandard units		
Who Will Be Assisted	4 NAHASDA Elder Housing unit rentals		
Types and Level of Assistance	Replacement of roofs		
APR : Describe Accomplishments	This item was not completed due to COVID and construction being halted majority of year.		
Planned and Actual Outputs for 12-Month Program Year		<b>Planned</b>	<b>APR - Actual</b>
	Number of Households to be served in Year	0	0
APR: If the program is behind schedule, explain why	Could not do RFP and get work done within the calendar year due to COVID, staffing furlough, construction being halted and then backed up for remained of year.		

**Uses of Funding:**

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$40,000.00	\$0.00	\$40,000.00	\$0.00	\$0.00	\$0.00

2020-4 : NAHASDA Kubota Utility Vehicle, Basketball & Shuffle Board Courts

Program Name:	NAHASDA Kubota Utility Vehicle, Basketball & Shuffle Board Courts	
Unique Identifier:	2020-4	
Program Description (continued)	Purchase of Kubota Utility Vehicle, installation of basketball and shuffle board courts in housing community area.	
Eligible Activity Number	(18) Other Housing Service [202(3)]	
Intended Outcome Number	(12) Other-must provide description in the box below If Other: Provide areas for community-based activities and gatherings.	
APR: Actual Outcome Number	(11) Reduction in crime reports	
Who Will Be Assisted	Entire housing community	
Types and Level of Assistance	Provide additional utility vehicle for community maintenance. Provide additional activity areas within housing community.	
APR : Describe Accomplishments	The Kubota utility vehicle was purchased to assist maintenance staff	
Planned and Actual Outputs for 12-Month Program Year	Planned	APR - Actual
	Number of Households to be served in Year	
	0	45
APR: If the program is behind schedule, explain why	Could not complete basketball and shuffle board courts due to timelines of closures, staff and construction being halted due to COVID pandemic.	

Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$56,296.00	\$0.00	\$56,296.00	\$6,238.63	\$0.00	\$6,238.63

2020-5 : Construction - 1 Low Income Housing Unit

Program Name:	Construction - 1 Low Income Housing Unit
Unique Identifier:	2020-5
Program Description (continued)	Construct 1 Low Income Housing Unit
Eligible Activity Number	(4) Construction of Rental Housing [202(2)]
Intended Outcome Number	(7) Create new affordable rental units
APR: Actual Outcome Number	(7) Create new affordable rental units

Who Will Be Assisted	Low Income						
Types and Level of Assistance	N/A						
APR : Describe Accomplishments	Could not complete due to COVID pandemic during fiscal year 2020						
Planned and Actual Outputs for 12-Month Program Year	<table border="1"> <tr> <td></td> <td>Planned</td> <td>APR - Actual</td> </tr> <tr> <td>Number of Units to be Completed in Year</td> <td>1</td> <td>0</td> </tr> </table>		Planned	APR - Actual	Number of Units to be Completed in Year	1	0
	Planned	APR - Actual					
Number of Units to be Completed in Year	1	0					
APR: If the program is behind schedule, explain why	Could not complete due to construction schedules and closures, staffing due to COVID pandemic						

**Uses of Funding:**

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$0.00	\$215,238.00	\$215,238.00	\$0.00	\$0.00	\$0.00

**Maintaining 1937 Act Units, Demolition, and Disposition**

Maintaining 1937 Act Units	N/A
Demolition and Disposition	None planned

**Budget Information**

Sources of Funding

Funding Source	Estimated(IHP) /Actual(APR)	Amount on hand at beginning of program year (F)	Amount to be received during 12-month program year (G)	Total sources of funds (H=F+G)	Funds to be expended during 12-month program year (I)	Unexpended funds remaining at end of program year (J=H-I)	Unexpended funds obligated but not expended at end of 12-month program year (K)
IHBG Funds:	Estimated	\$0.00	\$323,446.00	\$323,446.00	\$323,446.00	\$0.00	
	Actual	\$0.00	\$299,655.00	\$299,655.00	\$117,266.85	\$182,388.15	\$0.00
IHBG Program Income:	Estimated	\$0.00	\$431,938.00	\$431,938.00	\$431,938.00	\$0.00	
	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Title VI:	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Title VI Program Income:	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
1937 Act Operating Reserves:	Estimated	\$0.00		\$0.00	\$0.00	\$0.00	
	Actual	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00
	Estimated	\$0.00		\$0.00	\$0.00	\$0.00	

Carry Over 1937 Act Funds:	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
LEVERAGED FUNDS							
	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
ICDBG Funds:	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
Other Federal Funds:	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
LIHTC:	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
Non-Federal Funds:	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Estimated	\$0.00	\$755,384.00	\$755,384.00	\$755,384.00	\$0.00	\$0.00
<b>Total:</b>	<b>Actual</b>	<b>\$0.00</b>	<b>\$299,655.00</b>	<b>\$299,655.00</b>	<b>\$117,266.85</b>	<b>\$182,388.15</b>	<b>\$0.00</b>

Uses of Funding

Program Name	Unique Identifier	Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
NAHASDA Housing Maintenance Materials, Equipment and Supplies	2020-1	\$100,000.00	\$84,000.00	\$184,000.00	\$76,333.70	\$0.00	\$76,333.70
NAHASDA Housing Maintenance and Administrative Labor	2020-2	\$127,150.00	\$132,700.00	\$259,850.00	\$34,694.52	\$0.00	\$34,694.52
NAHASDA 4 Elder Housing unit roof replacements	2020-3	\$40,000.00	\$0.00	\$40,000.00	\$0.00	\$0.00	\$0.00
NAHASDA Kubota Utility Vehicle, Basketball & Shuffle Board Courts	2020-4	\$56,296.00	\$0.00	\$56,296.00	\$6,238.63	\$0.00	\$6,238.63
Construction - 1 Low Income Housing Unit	2020-5	\$0.00	\$215,238.00	\$215,238.00	\$0.00	\$0.00	\$0.00
Planning and Administration		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Loan Repayment (describe in 3 & 4 below)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total</b>		<b>\$323,446.00</b>	<b>\$431,938.00</b>	<b>\$755,384.00</b>	<b>\$117,266.85</b>	<b>\$0.00</b>	<b>\$117,266.85</b>

APR

APR

Sources of funding is from IHBG

**Other Submission Items**

Useful Life/Affordability Period(s)	12 Elder NAHASDA Housing Units - 7 Years useful life 33 NAHASDA Rental Units - 13 Years Useful Life		
Model Housing and Over-Income Activities	N/A		
Tribal and Other Indian Preference Does the tribe have a preference policy?	YES  Little River Band Tribal Members have preference over other Native Americans - Little River Band of Ottawa Indians Preference Ordinance.		
Anticipated Planning and Administration Expenses Do you intend to exceed your allowable spending cap for Planning and Administration?	NO		
Actual Planning and Administration Expenses Did you exceed your allowable spending cap for Planning and Administration?	NO		
Does the tribe have an expanded formula area?:	NO		
Total Expenditures on Affordable Housing Activities:		<b>All AIAN Households</b>	<b>AIAN Households with Incomes 80% or Less of Median Income</b>
	<b>IHBG Funds</b>	\$0.00	\$0.00
	<b>Funds from Other Sources</b>	\$0.00	\$0.00
For each separate formula area, list the expended amount		<b>All AIAN Households</b>	<b>AIAN Households with Incomes 80% or Less of Median Income</b>
	<b>IHBG Funds</b>	\$0.00	\$0.00
	<b>Funds from Other Sources</b>	\$0.00	\$0.00

**Indian Housing Plan Certification Of Compliance**

In accordance with applicable statutes, the recipient certifies that it will comply with Title II of the Civil Rights Act of 1968, Section 504 of the Rehabilitation Act of 1973, the Age Discrimination Act of 1975, and other federal statutes, to the extent that they apply to tribes and TDHEs, see 24 CFR 1000.12.	YES
In accordance with 24 CFR 1000.328, the recipient receiving less than \$200,000 under FCAS certifies that there are households within its jurisdiction at or below 80 percent of median income.	YES
The recipient will maintain adequate insurance coverage for housing units that are owned and operated or assisted with grant amounts provided under NAHASDA, in compliance with such requirements as may be established by HUD:	YES
Policies are in effect and are available for review by HUD and the public governing the eligibility, admission, and occupancy of families for housing assisted with grant amounts provided under NAHASDA:	YES
Policies are in effect and are available for review by HUD and the public governing rents charged, including the methods by which such rents or homebuyer payments are determined, for housing assisted with grant amounts provided under NAHASDA:	YES
Policies are in effect and are available for review by HUD and the public governing the management and maintenance	YES

of housing assisted with grant amounts provided under NAHASDA:

**Tribal Wage Rate Certification**

1. You will use tribally determined wage rates when required for IHBG-assisted construction or maintenance activities. The Tribe has appropriate laws and regulations in place in order for it to determine and distribute prevailing wages.	YES
2. You will use Davis-Bacon or HUD determined wage rates when required for IHBG-assisted construction or maintenance activities.	
3. You will use Davis-Bacon and/or HUD determined wage rates when required for IHBG-assisted construction except for the activities described below.	
4. List the activities using tribally determined wage rates:	N/A

**Self Monitoring**

Do you have a procedure and/or policy for self-monitoring?:	YES
Pursuant to 24 CFR § 1000.502 (b) where the recipient is a TDHE, did the TDHE provide periodic progress reports including the self-monitoring report, Annual Performance Report, and audit reports to the Tribe?:	NA
Did you conduct self-monitoring, including monitoring sub-recipients?:	YES
Self-Monitoring Results: Describe the results of the monitoring activities, including corrective actions planned or taken.	Progress updates are reported to the Housing Commission monthly and to the Tribal Ogema. Waiting lists are maintained by the Housing Department per the Housing Regulations, Housing inspections are done annually unless inspections required follow up or additional inspections. Housing activities are reported monthly and include housing activities, rental payment information, condition of properties, number of units and vacancies; significant problems and accomplishments. plans for the future and any other pertinent information. Payments to vendors are processed through the housing department then reviewed and approved through the tribal procurement policies in the purchasing department.

**Inspections**

Activity (A)	Total number of Units (B)	Units in standard condition (C)	Units needing rehabilitation (D)	Units needing to be replaced (E)	Total number of units inspected (F=C+D+E)
<b>1937 Housing Act Units:</b>					
a. Rental	0	0	0	0	0
b. Homeownership	0	0	0	0	0
c. Other	0	0	0	0	0
<b>1937 Act Subtotal:</b>	0	0	0	0	0
<b>NAHASDA Assisted Units:</b>					
a. Rental	45	45	0	0	45
b. Homeownership	0	0	0	0	0
c. Rental Assistance	0	0	0	0	0
d. Other	0	0	0	0	0
<b>NAHASDA Subtotal:</b>	45	45	0	0	45
<b>Total:</b>	45	45	0	0	45

2. Did you comply with **NO**



your inspection policy?

Could not do annual inspections due to COVID pandemic during fiscal year 2020 and to maintain social distancing and the safety of tribal residents and housing staff

**Audits**

1. Did you expend \$750,000 or more in total Federal awards during the previous fiscal year ended (24 CFR 1000.544) ? If Yes, an audit is required to be submitted to the Federal Audit Clearinghouse. If No, an audit is not required.	YES
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**Public Availability**

Did you make this APR available to the citizens in your jurisdiction before it was submitted to HUD (24 CFR § 1000.518)?	YES
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If you are a TDHE, did you submit this APR to the Tribe	Not Applicable
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If you answered No to question #1 and/or #2, provide an explanation as to why not and indicate when you will do so:	
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Summarize any comments received from the Tribe and/or the citizens :	The APR was posted for Public comment from March 1-29th
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**Jobs Supported By NAHASDA**

Number of Permanent Jobs Supported by Indian Housing Block Grant Assistance(IHBG):	3
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Number of Temporary Jobs Supported by Indian Housing Block Grant Assistance(IHBG):	0
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Narrative (Optional):	
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