

V C.



**Little River Band of Ottawa Indians
Gaming Commission**

PO Box 337
Manistee, MI 49660-0337
Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of October 2015

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Dave Corey, Chairperson
Debra Davis, Commissioner

II. Activities during the Past Month:

During the month of October the Gaming Commission:
- held four regular meetings

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. September 22, 2015
2. October 6, 2015
3. October 13, 2015
4. October 20, 2015

Resolutions:

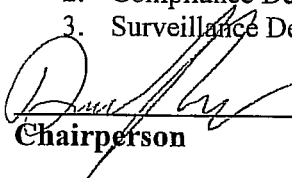
1. Gaming Commission Resolution #GC15-1006-21 Approval of Expenditure from North American Video for Twelve (12) 2.8 12MM Cameras in the Amount of \$3,099.77

Commission Orders:

Other:

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department


Chairperson

11-2-15
Date

RECEIVED
TRIBAL COUNCIL
2015 NOV -9 PM 1:10

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
September 22, 2015
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 5:36 p.m. by Chairperson Dave Corey

PRESENT:

Commissioners: Dave Corey, Debra Davis, Lee Ivinson
Commission Staff: Kelly Maser, Erford Edmondson
Others: Diane Vitols

APPROVAL OF OPEN SESSION AGENDA:

a) **September 22, 2015**

Action: L. Ivinson made a motion to approve the Open Session agenda for September 22, 2015 as presented.

Seconded by D. Corey

Roll Call: D. Corey - Yes / L. Ivinson – Yes / D. Davis – Yes / 3–Yes- 0-no, 0-abstaining, 0-absent MOTION CARRIED

Approval of Open Session Minutes

a) **September 15, 2015**

Action: L. Ivinson made a motion to approve the Open Session minutes for September 15, 2015 as presented.

Seconded D. Corey

Roll Call: D. Corey - Yes / L. Ivinson – Yes / D. Davis – Yes / 3–Yes- 0-no, 0-abstaining, 0-absent MOTION CARRIED

OLD BUSINESS:

None

NEW BUSINESS:

None

Discussion Period:

Kelly & Lee – Meeting with BOD

Erford – Annual machine testing in September 28th

Kelly – Patron inquiries about not having bands in the Grove any longer

Diane – 6th Circuit Court will not be rehearing NLB case. Review of options will be discussed.

Diane – BIA Notice on Muskegon Project released Friday Sept. 18th – October 15th public meeting in Fruitport for community input.

Debra – Question about Grand River – September 30th date for decision on federal recognition.

Diane – Work Session scheduled– BOD Ordinance for review of potential changes.

Next Gaming Commission Meeting:

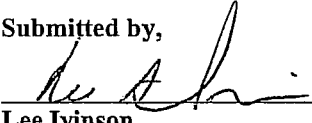
a) **October 6, 2015 at 5:30 p.m.**

ADJOURNMENT OF OPEN SESSION

Action: L. Ivinson made a motion to adjourn Open Session meeting at 6:01 p.m. Seconded by D. Corey

Roll Call: D. Corey - Yes / L. Ivinson – Yes / D. Davis – Yes / 3–Yes- 0-no, 0-abstaining, 0-absent MOTION CARRIED

Submitted by,


Lee Ivinson,
Secretary

10-6-15
Date

ITEMS MOVED TO OPEN SESSION:

a) Aristocrat Technologies, Inc.

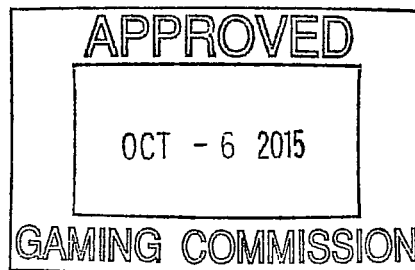
Action: L. Ivinson made motion to approve the renewal of a gaming vendor license for Aristocrat Technologies, Inc. effective October 4, 2015 expiring Oct 4, 2016. **Seconded by D. Corey**

Roll Call: D. Corey - Yes / L. Ivinson - Yes / D. Davis - Yes / 3-Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

b) Casino Game Works, LLP

Action: L. Ivinson made motion to approve the renewal of a gaming vendor license for Casino Game Works, LLP effective October 2, 2015 expiring October 2, 2016. **Seconded by D. Corey**

Roll Call: D. Corey - Yes / L. Ivinson - Yes / D. Davis - Yes / 3-Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
October 06, 2015
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 6:59 p.m. by Chairperson Dave Corey

PRESENT:

Commissioners: Dave Corey, Debra Davis, Lee Ivinson
Commission Staff: Kelly Maser, Erford Edmondson, Connie Lindsey
Others: Joe Riley II

APPROVAL OF OPEN SESSION AGENDA:

a) October 06, 2015

Action: L. Ivinson made a motion to approve the Open Session agenda for October 6, 2015 as presented. **Seconded by D. Corey**

Roll Call: D. Corey - Yes / L. Ivinson – Yes / D. Davis – Yes / 3–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

Approval of Open Session Minutes

a) September 22, 2015

Action: L. Ivinson made a motion to approve the Open Session minutes for September 22, 2015 as presented. **Seconded D. Corey**

Roll Call: D. Corey - Yes / L. Ivinson – Yes / D. Davis – Yes / 3–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

OLD BUSINESS:

None

NEW BUSINESS:

a) Approval of Expenditure from NAV for 12 Cameras in the Amount of \$3,099.77

Action: L. Ivinson made a motion to approve Gaming Commission Resolution #GC15-1006-21 Approval of Expenditure from North American Video for Twelve (12) 2.8 12MM Cameras in the Amount of \$3,099.77.

Seconded D. Corey

Roll Call: D. Corey - Yes / L. Ivinson – Yes / D. Davis – Yes / 3–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

Discussion Period:

External Auditors visited with Gaming Commission
Kelly – working with the resort and the new Compliance Director
Lee – notice of resignation from Gaming Commission
Kelly – assessments and testing
Kelly – MGCB audit
Joe- Fruitport meeting

Next Gaming Commission Meeting:

a) **October 13, 2015 at 5:30 p.m.**

ADJOURNMENT OF OPEN SESSION

Action: L. Ivinson made a motion to adjourn Open Session meeting at 7:30 p.m. **Seconded by D. Corey**
Roll Call: D. Corey - Yes / L. Ivinson - Yes / D. Davis - Yes / 3-Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

Submitted by,

Dave Corey,
Chairperson

10-14-15
Date

ITEMS MOVED TO OPEN SESSION:

a) **Bally Gaming Inc. d/b/a Bally Technologies**

Action: L. Ivinson made motion to approve the renewal of a gaming vendor license for Bally Technologies, Inc. effective November 15, 2015 expiring November 15, 2016. **Seconded by D. Corey**

Roll Call: D. Corey - Yes / L. Ivinson - Yes / D. Davis - Yes / 3-Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

b) **Nichols Paper & Supply Company**

Action: L. Ivinson made motion to approve the renewal of a non-gaming vendor license for Nichols Paper & Supply Company effective October 23, 2015 expiring October 23, 2016. **Seconded by D. Corey**

Roll Call: D. Corey - Yes / L. Ivinson - Yes / D. Davis - Yes / 3-Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

c) **Larsen's Landscaping, LLC**

Action: L. Ivinson made motion to approve the renewal of a non-gaming vendor license for Larsen's Landscaping, LLC effective October 22, 2015 expiring October 22, 2016. **Seconded by D. Corey**

Roll Call: D. Corey - Yes / L. Ivinson - Yes / D. Davis - Yes / 3-Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

d) **Data Strategy, LLC**

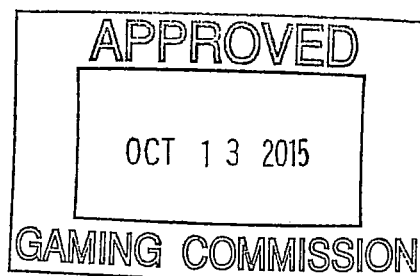
Action: L. Ivinson made motion to approve the renewal of a non-gaming vendor license for Data Strategy, LLC effective December 6, 2015 expiring December 6, 2016. **Seconded by D. Corey**

Roll Call: D. Corey - Yes / L. Ivinson - Yes / D. Davis - Yes / 3-Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

e) **Temporary License Request- JOS Sanders, Inc. d/b/a Sanders Meats**

Action: L. Ivinson made motion to approve a temporary a non-gaming vendor license for JOS Sanders, Inc. d/b/a Sanders Meats effective October 6, 2015 expiring November 6, 2015. **Seconded by D. Corey**

Roll Call: D. Corey - Yes / L. Ivinson - Yes / D. Davis - Yes / 3-Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
October 13, 2015
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 6:38 p.m. by Chairperson Dave Corey

PRESENT:

Commissioners: Dave Corey, Debra Davis
Commission Staff: Kelly Maser
Others: Lee Iverson, Diana Vitols

APPROVAL OF OPEN SESSION AGENDA:

a) October 13, 2015

Action: D. Corey made a motion to approve the Open Session Agenda for October 13, 2015 as presented. **Seconded** by D. Davis

Roll Call: D. Corey - Yes / D. Davis – Yes / 2–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

Approval of Open Session Minutes

a) October 6, 2015

Action: D. Corey made a motion to approve the Open Session Minutes for October 6, 2015 as presented. **Seconded** by D. Davis

Roll Call: D. Corey - Yes / D. Davis – Yes / 2–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

OLD BUSINESS:

None

NEW BUSINESS:

a) Gaming Commission Officer Election - Secretary

Action: D. Corey made a motion to table. **Seconded** by D. Davis

Roll Call: D. Corey - Yes / D. Davis – Yes / 2–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

Discussion Period:

Diane – Looking into whether a liaison can cast a vote as a Commissioner

Diane – NLRB case update

Kelly – External Auditors on-site this week

Kelly – Still awaiting MGCB Draft Compliance Audit Report

Kelly – Questioned if a response to the MGCB Inspection/Audit Report 2015-008 has been drafted/sent?

Kelly and Diane – Fruitport Public Scoping Hearing on Muskegon Project Thursday

Kelly – Upcoming Combatting Casino Fraud with Forensic Accounting Training

- a) 27 confirmed attendees - Resort GM, Assistant GM, Director of Finance and Purchasing Manager will not be attending as they originally indicated**

Kelly – Vendor update

Lee – Interim Director of Finance disseminated communication path with the External Auditors

Next Gaming Commission Meeting:

- a) October 20, 2015 at 5:30 p.m.**

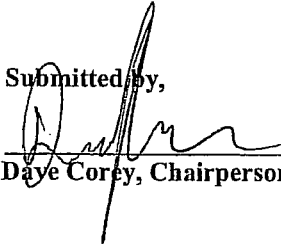
ADJOURNMENT OF OPEN SESSION

Action: D. Corey made a motion to adjourn Open Session meeting at 7:00 p.m. Seconded by D. Davis

Roll Call: D. Corey - Yes / D. Davis – Yes / 2–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**



Submitted by,


Dave Corey, Chairperson

10-20-15
Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
October 20, 2015
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 5:55 p.m. by Chairperson Dave Corey

PRESENT:

Commissioners: Dave Corey, Debra Davis
Commission Staff: Kelly Maser
Others: Diane Vitols, Lee Iverson

APPROVAL OF OPEN SESSION AGENDA:

a) October 20, 2015

Action: D. Corey made a motion to approve the Open Session Agenda for October 20, 2015 as presented. **Seconded** by D. Davis

Roll Call: D. Corey - Yes / D. Davis – Yes / 2–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

Approval of Open Session Minutes

a) October 13, 2015

Action: D. Corey made a motion to approve the Open Session Minutes for October 13, 2015 as presented. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / D. Corey - Yes / 2–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

OLD BUSINESS:

a) Gaming Commission Officer Election - Secretary

Action: D. Corey made a motion the Gaming Commission makes a finding that there are not currently enough Commission members to hold an election for the position of Secretary. Gaming Commissioner Debra Davis has volunteered to serve as Secretary for the remainder of the existing term until December 31, 2015. No Commissioner had any objection to this service. **Seconded by D. Davis**

Roll Call: D. Corey - Yes / D. Davis – Yes/ 2–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

NEW BUSINESS:

Discussion Period:

Diane – Liaison cannot vote as a Gaming Commissioner

Diane – Follow up Fruitport Public Scoping Hearing on Muskegon Project

Diane – Fantasy sports

Kelly – Status update on external audit

Kelly – Follow up on response to the MGCB Inspection/Audit Report 2015-008 due October 31, 2015

Kelly – Follow up on Combatting Casino Fraud with Forensic Accounting Training held this week

Lee – NOC response will be received in our office on October 21, 2015

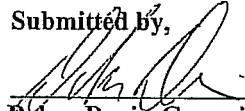
Next Gaming Commission Meeting:

a) October 27, 2015 at 5:30 p.m.

ADJOURNMENT OF OPEN SESSION

Action: D. Corey made a motion to adjourn Open Session meeting at 6:33 p.m. **Seconded by D. Davis**
Roll Call: D. Corey - Yes / D. Davis – Yes / 2–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

Submitted by,


Debra Davis, Commissioner

10-27-15
Date

ITEMS MOVED TO OPEN SESSION:

a) Slot Service, Inc.

Action: D. Corey made motion to approve the Gaming Vendor License for Slot Service, Inc. effective October 20, 2015 expiring October 20, 2016. **Seconded by D. Davis**

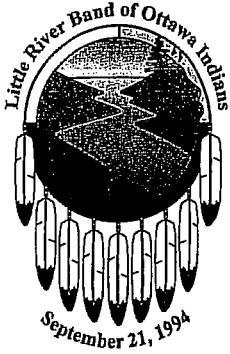
Roll Call: D. Corey - Yes / D. Davis – Yes / 2–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**

b) Aruze Gaming America, Inc.

Action: D. Corey made motion to approve the renewal of a Gaming Vendor License for Aruze Gaming America, Inc. effective November 6, 2015 expiring November 6, 2016. **Seconded by D. Davis**

Roll Call: D. Corey - Yes / D. Davis – Yes / 2–Yes- 0-no, 0-abstaining, 0-absent **MOTION CARRIED**





**Little River Band of Ottawa Indians
Gaming Commission**

PO Box 337
Manistee, MI 49660-0337
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC15-1006-21

**Approval of Expenditure from North American Video
for Twelve (12) 2.8 12MM Cameras
in the Amount of \$3,099.77**

WHEREAS, the Tribe's status as a federally-recognized Indian Tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance # 04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, the surveillance digital system requires properly functioning equipment to operate and due to renovations, cameras need to be replaced; and

WHEREAS, the Surveillance Department budget does include funds to cover this expenditure and a quote was obtained from North American Video for the purchase of Twelve (12) 2.8 12 MM Cameras in the Amount of \$3,099.77; and

WHEREAS, the preferred vendor (sole source) for the purchase of this equipment is North American Video based on the fact that these cameras will be compatible with the other equipment within the department that has been purchased through North American Video; and

LRGC Resolution #GC15-1006-2\

Approval of Expenditure from North American Video
Twelve (12) 2.8 12 MM Cameras
in the Amount of \$3,099.77
Page Two

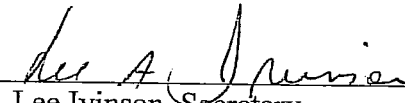
WHEREAS, Gaming Commission Resolution #14-0820-258 designates actions greater than \$2,500.00 require Gaming Commission approval by resolution.

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby approves the expenditure of Twelve (12) 2.8 12 MM Cameras in the Amount of \$3,099.77 from the approved 2015 Gaming Commission Surveillance Department budget (from Account #1030-120, Line Item #5601).

Certificate of Adoption

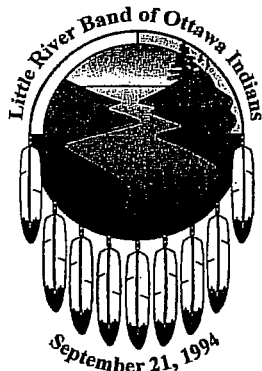
I, Lee Ivinson, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on October 6, 2015 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Lee Ivinson, Secretary

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians

Gaming Commission

PO Box 337

Manistee, MI 49660-0337

Tel: (231) 723-7755 • Fax: (231) 723-7788

Memo

Aániipiish Epiidek (To): Little River Band of Ottawa Indians Gaming Commission

Binjibidek (From): Kelly Maser, Director of Gaming Regulatory & Compliance

Maánda Naásaáp (cc):

Naángwa (Date): November 2, 2015

Maánda Nji (Re): Administrative and Licensing – October 2015 Monthly Report

Background Investigator/Administrative Assistant – October 2015

- Employee Licensee changes (HR notification)
 - 21 address changes
 - 0 name changes
 - 7 transfers
 - 11 voluntary terminations
 - 3 discharges

All daily correspondences regarding licensees are put into the respective files.

 - 44 Employee license renewals
 - 22 Gaming
 - 22 Non-Gaming
 - 0 PMO
 - 0 Reinstatements
 - 0 Deaths
 - New Employee licenses issued
 - 2 Gaming
 - 12 Non-Gaming
 - 58 Credit Reports
 - 58 Sex Offender Reports
 - 73 Reference letters processed
 - Processed 36 60-day renewal letters for employee license holders
 - January reminder cards processed
 - Processed court letters for the employee license applicants

Background Investigator – October 2015

- Applications/Files/Investigations Reviewed
 - New Hire 5
 - Renewal 43
 - Upgrade 0
- Vendor Files/Investigations Reviewed
 - New 1
 - Renewal 1
- Interviews Conducted
 - Employee License 5
- Criminal History Record Checks
 - Employee License 55
- Sex Offender Record Checks
 - Employee License 0
- Accurate Record Checks
 - Driving Record 17
 - Felony/Misdemeanor 16
- Credit Reports 0
- Fingerprints Taken
 - Employee License 4
- Licenses Verified
 - Employee License 6
 - Vendor 1
- License Issues
 - Expired/Invalid 1
 - Seized Temp. 0
 - Up Front Denials 0
 - Suspended 0
- Suitability Reports Filed
 - NOR's 3
 - Lic. Determinations 3
- Applications Notarized 2
- GC Appeal Hearings
 - Employee License 0
 - Vendor License 0
 - Rehabilitation 0
- Rehabilitation for Licensure Ordinance Applicants
 - Certificates Issued (Gaming Commission Issued/Approved) 0
 - Denials Issued (Gaming Commission Issued/Approved) 0
- New Hire Orientations 0
- Tribal Emergency Ops Mtgs. 0 (total hours)

The Background Investigator sits on the Tribal Emergency Operation Committee. He currently serves as the Incident Commander for the Tribal Emergency Operations Team (TERT).

** Administrative Assistant(s) supports/assists the Background Investigator in the licensing process which includes initial contact with applicant explaining the application and requirements, cursory review of application and attachments upon return to ensure completeness prior to handing all over to the Background Investigator, entry of information to the database/files as well as tracking requirements, runs credit checks and sex offender registry reports (when requested), notarizes forms, and schedules appointments for the Background Investigator.*

- 1 application sent to prospective new Non-Gaming vendor
- 2 renewal applications sent to vendors (Gaming/Non-Gaming)
- 7 licenses issued (Gaming/Non-Gaming)
- Received Non-Gaming vendor applications and reviewed for completeness
 - 1 Corporate History Disclosure Form
 - 1 Personal History Disclosure Form
- 1 investigations initiated on vendors
- 4 investigations initiated on vendor control persons
- 2 investigations for vendors reviewed
- 9 investigations for control persons reviewed
- Conducted numerous personal reference checks for vendors
- Ran numerous Sex Offender Reports on vendor control persons
- Conducted numerous license verifications on vendors and control persons
- 1 Dunn & Bradstreet reports ran on vendors
- 10 Gaming Commission meeting agendas prepared
 - 30 Gaming Commission meeting packets assembled and distributed
- 8 sets of Gaming Commission meeting minutes reviewed for discrepancies/errors
- 1 Tribal Council monthly report compiled for the Gaming Commission
- 1 Gaming Commission orders drafted
- 1 Tribal Council resolution drafted
- 1 Tribal Council agenda request packet processed
- 1 Raffle license processed
- Processed numerous check requests/requisitions
- Reviewed LRCR Accounts Payable List
- Assisted 12 licensees in the initial licensing and renewal process (completion of application and notarizing)
- Continuously working on records retention/destruction process (on-going project)

** Executive Assistant supports/assists the Background Investigator in the vendor licensing process which includes initial contact with vendor (and control persons when applicable) explaining the application and requirements, cursory review of application and attachments upon return to ensure completeness. Initiates investigations, runs Dunn & Bradstreet Reports, conducts license verifications, processes personal reference check paperwork, and enters appropriate information into database/files. Also prepares all renewal and initial licensing application letters and sends out licensing packets. Upon completion of all above, entire file is provided to the Background Investigator for review and consideration for placement before the Gaming Commission for approval. All vendor licenses must be approved by the Gaming Commission.*