



Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite 'A'
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of April 2018

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Dave Corey, Chairperson
Bill Willis, Secretary
Debra Davis, Commissioner

II. Activities during the Past Month:

During the month of April the Gaming Commission:

- held three regular meetings
- held one special meeting

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. March 27, 2018
2. April 3, 2018
3. April 6, 2018
4. April 10, 2018

Resolutions:

1. Gaming Commission Resolution #GC18-0403-11 Approval of Revised Gaming Commission Employee Licensing Procedure
2. Gaming Commission Resolution #GC18-0410-12 Approval of Expenditure from North American Video for Ten (10) Wide Angle Cameras in the of \$2,975.22
3. Gaming Commission Resolution #GC18-0410-13 Approval of Expenditures from North American Video for Purchase and Installation of Two (2) Fixed IP Cameras and One (1) PTZ IP Camera in the Amount of \$6,530.55
4. Gaming Commission Resolution #GC18-0410-14 Approving the Cancellation of the April 17, 2018 Regularly Scheduled Meeting.
5. Gaming Commission Resolution #GC18-0410-15 Approval of Engagement Letter with Rubin Brown, LLP to Perform Audits of Financial Statements and Agreed-Upon Procedures of the Little River Casino Resort for Years Ended December 2018, 2019 and 2020
6. Gaming Commission Resolution #GC18-0424-16 Approval of Revised Gaming Commission Regulation #R400-04:GC-04 Chapter 4 – Notifications and Reports

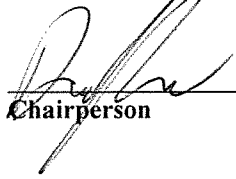
Commission Orders:

1. Gaming Commission Order #GC18-0403-04 Granting One-Time Exemption to PC Connection, LLC from Vendor Licensing Requirements

Other:

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department



Chairperson

MAY 1 2018
Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

March 27, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:42 p.m. by Acting Chairperson, Bill Willis

Present:

Commissioners: Bill Willis, Dave Corey (absent), Debra Davis
Commission Staff: Kelly Maser, Erford Edmondson, Connie Lindsay
Others: Rebecca Liebing

Approval of Open Session Agenda:

a) March 27, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for March 27, 2018. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) March 20, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for March 20, 2018. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

**Kelly – Licensure Question
Rebecca – NIGC follow up**

Next Gaming Commission Meeting

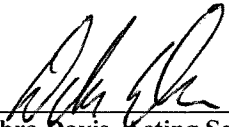
a) April 3, 2018 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:48 p.m. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / D. Corey – Absent / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Acting Secretary

4-3-18
Date

Items moved to Open Session:

None



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

April 3, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:43 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Bill Willis, Dave Corey, Debra Davis
Commission Staff: Kelly Maser
Others: Rebecca Liebing, Lee Iverson, Charmaine Stone

Approval of Open Session Agenda:

a) April 3, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for April 3, 2018. **Seconded by D. Corey**

Roll Call: D. Davis – Yes / D. Corey – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) March 27, 2018

Action: D. Davis made a motion to approve the Open Session Meeting Minutes for March 27, 2018. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

Lee – Friday, April 6, 2018 – Title 31 Training Go-Live
Kelly – Audit Presentation

Next Gaming Commission Meeting

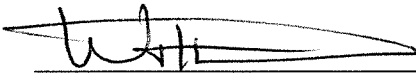
a) April 10, 2018 at 5:30 p.m.

Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 5:48 p.m. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Bill Willis, Secretary

4/10/18
Date

Items moved to Open Session:

a) Approval of Vendor Licensing Exemption Request - PC Connection, LLC

Action: D. Corey made a motion to approve Gaming Commission Order #GC18-0403-04 granting one-time exemption to PC Connection, LLC vendor licensing requirements for the one time purchase of 330 televisions.

Seconded by D. Davis

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

April 10, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:34 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Bill Willis, Dave Corey, Debra Davis

Commission Staff: Kelly Maser, Connie Lindsay

Others: Rebecca Liebing, James Pontiac

Approval of Open Session Agenda:

a) **April 10, 2018**

Action: D. Corey made a motion to approve the Open Session Meeting Agenda for April 10, 2018 adding New Business, Item C – Cancellation of April 17, 2018 Gaming Commission Meeting. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **April 3, 2018**

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for April 3, 2018. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) **Approval of Expenditure for North American Video in the Amount of \$2,975.22**

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC18-0410-12 for the purchase of ten (10) Wide Angle Cameras in the amount \$2,975.22 from North American Video. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / D. Corey – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) **Approval of Expenditure for North American Video in the Amount of \$6,530.55**

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC18-0410-13 approval of expenditure from North American Video for the purchase of two (2) Fixed and one (1) PTZ IP Camera in the amount \$6,530.55. **Seconded by D. Davis**

Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

c) **Approval of Cancellation of April 17, 2018 Regularly Scheduled Meeting**

Action: D. Corey made a motion to approve Gaming Commission Resolution #GC18-0410-14 approving of the cancellation of the April 17, 2018 regularly scheduled meeting. **Seconded by D. Davis**

Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Discussion Period:

Kelly – Gaming Ordinance approved (regulations sent to Tribal Council for acceptance)

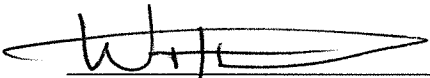
Next Gaming Commission Meeting

a) April 24, 2018 at 5:30 p.m.

Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 5:45 p.m. **Seconded by B. Willis**
Roll Call: D. Davis – Yes / D. Corey – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Bill Willis, Secretary

4/24/18
Date

Items moved to Open Session:

a) JCM American Corporation

Action: D. Corey made a motion to approve JCM American Corporation Gaming Vendor License renewal application effective date of May 6, 2018 – expiration date of May 6, 2019. **Seconded by B. Willis**
Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Superior Foods Company

Action: D. Corey made a motion to approve the Non-Gaming Vendor License renewal application for Superior Foods Company effective date of May 9, 2018 – expiration date of May 9, 2019. **Seconded by B. Willis**
Roll Call: B. Willis – Yes / D. Corey – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

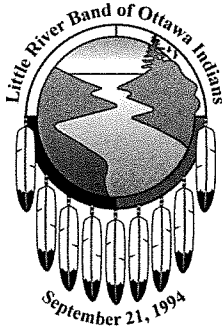
c) Jackpine Press, Inc.

Action: D. Corey made a motion to approve Jackpine Press, Inc. Non-Gaming Vendor License renewal application effective date of June 29, 2018 – expiration date of June 29, 2019. **Seconded by B. Willis**
Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

d) Bay Supply & Marketing, Inc.

Action: D. Corey made a motion to approve Bay Supply & Marketing, Inc. Non-Gaming Vendor renewal application effective date of April 22, 2018 – expiration date of April 22, 2019. **Seconded by D. Davis**
Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**





**Little River Band of Ottawa Indians
Gaming Commission**

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Gaming Commission Resolution #GC18-0403-//

**APPROVAL OF REVISED GAMING COMMISSION
EMPLOYEE LICENSING PROCEDURE**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

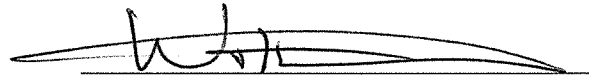
WHEREAS, the Gaming Commission Employee Licensing Procedure was revised and approved by the Gaming Commission on January 17, 2017 and it is in need of updating much due to change in technology.

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves the revised Gaming Commission Employee Licensing Procedure.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on April 3, 2018 by a vote of 3 in favor, 0 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



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Gaming Commission Resolution #GC18-0410- 12

**Approval of Expenditure from North American Video
for Ten (10) Wide Angle Cameras in the Amount of \$2,975.22**

WHEREAS, the Tribe's status as a federally-recognized Indian Tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance # 04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, the surveillance digital system requires properly functioning equipment to operate and due to aging equipment, cameras need to be replaced; and

WHEREAS, the Surveillance Department budget does include funds to cover this expenditure and a quote was obtained from North American Video for the purchase of ten (10) Wide Angle Cameras in the amount of \$2,975.22; and

WHEREAS, the preferred vendor (sole source) for the purchase of this equipment is North American Video based on the fact that these cameras will be compatible with the other equipment within the department that has been purchased through North American Video; and

LRGC Resolution #GC18-0410- 12

Approval of Expenditure from North American Video
for Ten (10) Wide Angle Cameras in the Amount of \$2,975.22
Page Two

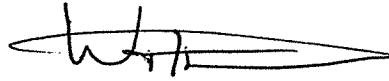
WHEREAS, Gaming Commission Resolution #18-0206-02 designates actions greater than \$2,500.00 require Gaming Commission approval by resolution.

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby approves the expenditure for ten (10) Wide Angle Cameras in the amount of \$2,975.22 from the approved 2018 Gaming Commission Surveillance Department budget.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on April 10, 2018 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians

Gaming Commission

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Gaming Commission Resolution #GC18-0410- 13

Approval of Expenditure from North American Video for Purchase and Installation of Two (2) Fixed IP Cameras and One (1) PTZ IP Camera in the Amount of \$6,530.55

WHEREAS, the Tribe's status as a federally-recognized Indian Tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance # 04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, these cameras are being purchased for the Little River Trading Post project and need to be compatible with what has already purchased for this project; and

WHEREAS, the Surveillance Department budget does include funds to cover this expenditure and a quote was obtained from North American Video for the purchase of two (2) Fixed IP Cameras and one (1) PTZ IP Camera in the amount of \$6,530.55; and

LRGC Resolution #GC18-0410- 13

Approval of Expenditure from North American Video For
Purchase and Installation of Two (2) Fixed IP Cameras
and One (1) PTZ IP Camera in the Amount of \$6,530.55
Page Two

WHEREAS, the preferred vendor (sole source) for the purchase of this equipment is North American Video based on the fact that these cameras will be compatible with the other equipment purchased for this project through North American Video; and

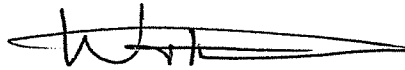
WHEREAS, Gaming Commission Resolution #18-0206-02 designates actions greater than \$2,500.00 require Gaming Commission approval by resolution.

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby approves the expenditure for two (2) Fixed IP Cameras and one (1) PTZ IP Camera in the amount of \$6,530.55 from the approved 2018 Gaming Commission Surveillance Department Budget.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on April 10, 2018 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

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Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0410-14

*Approving the Cancellation of the April 17, 2018
Regularly Scheduled Meeting*

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, the Gaming Commission By-Laws – Section 4.01 (a) requires the Gaming Commission to hold at least two (2) regular meetings per month and at such other times as may be deemed necessary to perform assigned duties; and

WHEREAS, the Gaming Commission's By-Laws – Section 4.01 (c) requires the Gaming Commission to establish a schedule for its regular meetings for each calendar year and requires amendments to the schedule of regular meetings be approved by resolution of the Gaming Commission and that notice be posted in all Tribal government buildings; and

WHEREAS, the Gaming Commission approved its 2018 Meeting Schedule on November 14, 2017 by Gaming Commission Resolution #GC#17-1114-21 and now finds it necessary to amend the Meeting Schedule by cancelling the meeting scheduled on April 17, 2018.

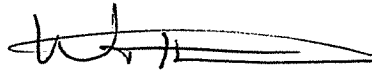
Gaming Commission Resolution #GC18-0410-14
Approving the Cancellation of the April 17, 2018 Regularly Scheduled Meeting
Page Two

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission of the Little River Band of Ottawa Indians hereby approves the amendment to its 2018 Meeting Schedule cancelling the April 17, 2018 meeting.

Certificate of Adoption

I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on April 10, 2018 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



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Gaming Commission**

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Gaming Commission Resolution #GC18-0410- 15

*Approval of Engagement Letter with RubinBrown, LLP to Perform Audits
of Financial Statements and Agreed-Upon Procedures of the Little River Casino
Resort for Years Ended December 2018, 2019, and 2020*

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04 created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, in accordance with Article VII – Section 7.01 of Gaming Commission Ordinance #04-400-04, the Gaming Commission is responsible for conducting or having conducted an independent audit of all gaming enterprises on an annual basis; and

WHEREAS, the Gaming Commission currently has a three year contract with RubinBrown, LLP to complete the audit of the Financial Statements and Agreed-Upon Procedures of the Little River Casino Resort for the periods ending December 31, 2015, December 31, 2016, and December 31, 2017; and

WHEREAS, Article VI – Section 6.01 (c) of the Government Business and Accounting Act of 2010 states that no external audit firm shall audit the activities of the Little River Casino Resort for a period longer than three years and all external audit firms shall have a three year period between auditing contract; and

WHEREAS, Article VI – Section 6.01 (d) of the Government Business and Accounting Act of 2010 states that exceptions to the restrictions in outlined in Section 6.01 (c) may be forwarded to the Tribal Council with proper justification for authorization after review and recommendation by the Gaming Commission; and

WHEREAS, the Gaming Commission has determined that it is in the best interest of the Tribe to extend an additional three year contract to RubinBrown, LLP without RFP's going out to other CPA firms which causes a deviation from the Government Business and Accounting Act of 2010 and requires Tribal Council approval; and

WHEREAS, the Gaming Commission trusts the following three key points justify the Commission's determination: (1) delivery of and completion of their audit plan, (2) extensive knowledge of the Little River Casino Resort's renovation process (an additional three years will take it through the end of the loan), (3) the knowledge, professionalism, and work on-site has been exceptional – meeting or exceeding expectations.

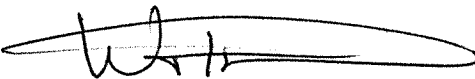
NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby approves the Engagement Letter with RubinBrown, LLP to complete the audit of the Financial Statements and Agreed-Upon Procedures of Little River Casino Resort for the periods ending December 31, 2018, December 31, 2019, and December 31, 2020; and

IT IS FINALLY RESOLVED THAT the contract will be forwarded to the Tribal Council of the Little River Band of Ottawa Indians requesting approval for execution.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this resolution was adopted on April 10, 2018 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Gaming Commission Records
Tribal Council Liaison



Gaming Commission
2840 Orchard Highway, Suite 'A'
Manistee, MI 49660-0337
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0424- 16

APPROVAL OF REVISED GAMING COMMISSION REGULATION #R400-04:GC-04 CHAPTER 4 – NOTIFICATIONS AND REPORTS

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, Gaming Commission Regulation – Chapter 4 – Notifications and Reports was last approved by the Gaming Commission on September 12, 2017, and a recent review of the regulation indicated a need for revisions to allow for a more effectual method and timeline of delivery of incident/statistical reports to the Gaming Commission and to include language from the repealed (February 21, 2018) Gaming Commission Regulation – Chapter 3 – Hours of Operation.

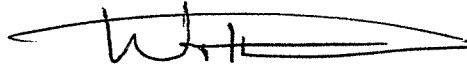
NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves the revised Gaming Commission Regulation #400-04:GC-04 Chapter 4 – Notifications and Reports; and

IT IS FURTHER RESOLVED THAT Gaming Commission Regulation – Chapter 4 – Notifications and Reports be forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance #04-400-04 – Section 6.04 (b).

Certificate of Adoption

I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this Resolution was adopted on April 24, 2018 by a vote of 3 in favor, 0 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755

GAMING COMMISSION ORDER #GC18-0403-04

**GRANTING ONE-TIME EXEMPTION TO
PC CONNECTION, LLC
FROM VENDOR LICENSING REQUIREMENTS**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.01 of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate rules and regulations as may be necessary to interpret and implement the NIGC and Tribal Council approved Gaming Ordinance #10-400-01 and to establish regulatory systems to effectuate the powers granted by ordinances of the Tribe and other duties imposed by applicable law; and

Gaming Commission Order #GC18-0403-04
Granting One-Time Exemption to PC Connection, LLC from Vendor Licensing
Requirements
Page Two

WHEREAS, the Gaming Ordinance – Article 8 – Section 8.04 states that no vendor shall contract with any gaming enterprise within the jurisdiction of the Tribe unless licensed by the regulatory agency in accordance with Article 11; and

WHEREAS, Chapter 6 – Section 3-1 of the Tribal Council approved Gaming Commission regulations states that every person supplying any gaming equipment or supplies, gaming service, or other goods and services to a gaming enterprise must obtain a Gaming Vendor License or a Non-Gaming Vendor License unless exempted from licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 6 – Section 3-2 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 6 – Section 3-3 of the Tribal Council approved Gaming Commission regulations states that prior to the purchase of any gaming equipment or supplies, gaming service, or other goods and services from any person, the gaming enterprise shall determine if such person possesses or is required to possess, a Gaming Vendor License or Non-Gaming Vendor License under these regulations and forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the equipment, supplies or services to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed; and

WHEREAS, Chapter 6 – Section 3-4 (c) of the Tribal Council approved Gaming Commission regulations states that the Gaming Commission may grant special exemptions from vendor licensing requirements in certain fields providing professional services or unique services under circumstances where the Gaming Commission determines that licensing of the vendor is not necessary to protect the public interest or to accomplish the policies or purposes of the Gaming Ordinance; and

WHEREAS, Chapter 6 – Section 3-6 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempted by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

Gaming Commission Order #GC18-0403-04
Granting Exemption to PC Connection, LLC from Vendor Licensing Requirements
Page Three

WHEREAS, Chapter 6 – Section 10-1 of the Tribal Council approved Gaming Commission regulation states that the gaming enterprise's General Manager shall be responsible for developing and administering an investigative program providing for the investigation of all Service Vendors doing business with such gaming enterprise; and

WHEREAS, Chapter 6 – Section 10-3 of the Tribal Council approved Gaming Commission regulation states the Service Vendor Program shall include the requirement of the gaming enterprise to provide the Gaming Commission with advance notice if they have reason to believe that the sales to the gaming enterprise by a Service Vendor will reach or exceed \$50,000 during the current licensing period. Such notice must describe the circumstances warranting the increased volume of business, and if appropriate, under the circumstances, a requires for exemption from the Non-Gaming Vendor licensing requirements. The Gaming Commission may deem it appropriate to exempt a Service Vendor from the Non-Gaming licensing requirement if the gaming enterprise demonstrates the following circumstances apply: (1) The amount of good or services purchased in excess of \$50,000 during the licensing period is a result of unforeseen or unusual circumstances; and (2) The Gaming Commission determines that the vendor qualifies for exemption from licensing under Section 3-4 of Chapter 6; and

WHEREAS, the gaming enterprise has requested an exemption from the licensing requirements for PC Connection, LLC as it has reason to believe it will exceed the Service Vendor threshold with the purchases of about 330 new televisions and brackets for hotel rooms; and

WHEREAS, the gaming enterprise indicates that, "The circumstance behind the increase in sales for PC Connection are to honor their fiduciary responsibility by purchasing the 330 televisions and brackets for the Resort's hotel rooms at the lowest possible price and that time is a factor in order to complete this previously approved budget item project by year's end."

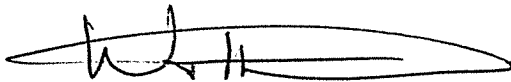
NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that PC Connection, LLC shall be issued a one-time exemption from the vendor licensing requirements strictly for the one-time purchase of 330 televisions (and brackets) for the hotel. This one-time exemption will expire after the completion of the purchase or December 31, 2018 whichever comes first. This exemption is valid once the Gaming Commission is in receipt of the Conflict of Interest Disclosure form between the Little River Casino Resort and the vendor per Chapter 6 – Vendor Licensing Regulation – Section 3-6.

Gaming Commission Order #GC18-0403-04
Granting One-Time Exemption to PC Connection, LLC
from Vendor Licensing Requirements
Page Four

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on April 3, 2018 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 0 absent. This order has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
 Little River Casino Resort
 Tribal Council Recorder
 PC Connection, LLC



Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite 'A'
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Memo

Aániípiish Epiidek (To): Little River Band of Ottawa Indians Gaming Commission

Binjibidek (From): Kelly Maser, Executive Director 

Maánda Naásaáp (cc):

Naángwa (Date): May1, 2018

Maánda Nji (Re): Administrative and Licensing – April 2018 Monthly Report

Administrative Assistant – April 2018

- 118 licenses processed – initial applications and renewals (Gaming and Non-Gaming), including personal references, employment verifications, Gaming License verifications, professional license(s) verifications, notaries, etc.

** Administrative Assistant(s) supports/assists the Background Investigator and Director in the licensing process which includes (not inclusive of) the following: initial contact with applicant explaining the application process and requirements; cursory review of application and attachments upon return to ensure completeness prior to handing all over to the Background Investigator; entry of information to the database/file; responsible for tracking licensees to ensure no license expires without the appropriate notifications being sent to licensee; notarizes forms; schedules appointments for the Background Investigator; distribution of licenses, processes all employment, reference, professional license and Gaming License verifications, etc.*

Background Investigator – April 2018 (performed by the Executive Director)

- 118 applications/files/investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 7 vendor files reviewed for authorization to move to Gaming Commission for approval (Gaming and Non-Gaming)
- Ran numerous Sex Offender Reports for employee licensing
- 53 ICHATS ran for employee licensing
- 31 interviews conducted for new employee license applicants
- 27 criminal and civil background investigations initiated for new employee license applicants (Accurate)
- 5 set of fingerprints submitted and processed
- 1 Certificate of Rehabilitation prepared
- Notices to the National Indian Gaming Commission (NIGC)

- 4 Notice of Results (NOR) prepared and processed
- 6 License Determinations prepared and processed
- 6 Investigative Reports prepared and processed
- 6 Tribal Council Resolutions prepared
- 1 Gaming Commission Resolution prepared

Executive Assistant – April 2018

- 5 Vendor Licenses processed (Gaming and Non-Gaming)
- 7 Vendor application sent to vendors (Gaming and Non-Gaming)
- Received Gaming vendor applications and reviewed for completeness
 - 4 Corporate History Disclosure Form
 - 9 Personal History Disclosure Form
- Received Non-Gaming vendor applications and reviewed for completeness
 - 5 Corporate History Disclosure Form
 - 5 Personal History Disclosure Form
- 10 investigations initiated on vendors
- 15 investigations initiated on vendor control persons
- 9 investigations on vendors reviewed
- 25 investigations for control persons reviewed
- Conducted numerous personal reference checks for vendors
- Ran numerous Sex Offender Reports on vendor control persons and for employee licensing
- Conducted numerous license verifications on vendors and control persons
- 8 Dunn & Bradstreet reports ran on vendors
- 7 Gaming Commission meeting agendas prepared
 - 15 Gaming Commission meeting packets assembled and distributed
- 1 Gaming Commission monthly report compiled and submitted to Tribal Council
- 1 Gaming Commission orders drafted
- 2 Tribal Council resolutions drafted
- 2 Tribal Council agenda request packets processed
- Reviewed LRCA Accounts Payable List
- 7 criminal and civil background investigations initiated for new employee license applicants (Accurate)
- 87 ICHATS ran for employee licensing
- 60 Credit Reports ran for employee licensing
- 1 Raffle Licenses processed

** Executive Assistant supports/assists the Executive Director in the vendor licensing process which includes (not inclusive) the following: initial contact with vendor (and control person(s) when applicable) explaining the application process and requirements; performs cursory review of application and attachments upon return to ensure completeness; initiates investigation; runs Dunn & Bradstreet Reports; conducts license verifications; processes personal reference checks, etc. Also prepares all renewal and initial licensing application letters and sends out licensing application packets; responsible for tracking vendor licensing information to ensure no vendor's license expires without the appropriate notification being sent to the vendor/licensee. Upon completion of all above, the entire file is provided to the Executive Director for review prior to placement on the Gaming Commission Meeting agenda for review and consideration.*

The Executive Assistant fills in as the Administrative Assistant when necessary.