



Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite 'A'

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of April 2019

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Bill Willis, Chairperson

Debra Davis, Secretary

Mark Jespersen, Commissioner

Steve Parsons, Commissioner

Alyce Giltz, Commissioner

II. Activities during the Past Month:

During the month of April the Gaming Commission:

- held four regular meetings
- held one special meeting

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. March 22, 2019
2. March 26, 2019
3. April 2, 2019
4. April 9, 2019
5. April 16, 2019

Resolutions:

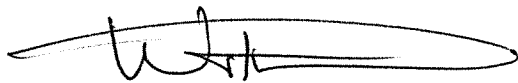
1. Gaming Commission Resolution #19-0402-09 Approval of Revised Gaming Commission By-Laws
2. Gaming Commission Resolution #19-0423-10 Approval of Revised Gaming Commission Regulation #R400-04:GC-14 Chapter 14 – Hearings
3. Gaming Commission Resolution #GC19-0423-11 Approving the Cancellation of the Gaming Commission Meeting Scheduled on May 7, 2019

Commission Orders:

Other:

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department



Chairperson

4/30/19

Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION
March 26, 2019**

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 6:25 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Debra Davis, Mark Jespersen, Steve Parsons, Alyce Giltz (absent), Bill Willis

Commission Staff: Kelly Maser, Erford Edmondson

Others: Andrew Gentile, Joseph Riley, Connie Waitner

Approval of Open Session Agenda:

a) March 26, 2019

Action: B. Willis made a motion to approve the Open Session Agenda for March 26, 2019. **Seconded by D. Davis**
Roll Call: M. Jespersen – Yes / B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / A. Giltz – Absent / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) March 19, 2019

Action: D. Davis made a motion to approve the Open Session Meeting Minutes for March 19, 2019. **Seconded by M. Jespersen**
Roll Call: D. Davis – Yes / S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Absent / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

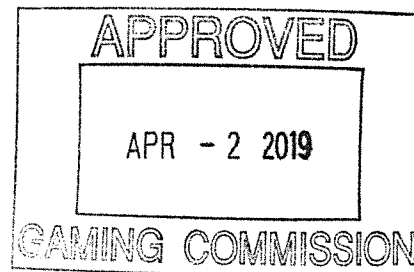
None

New Business:

None

Discussion Period:

Kelly – Alyce Giltz is on vacation this week
TMICS timeline presentation and discussion



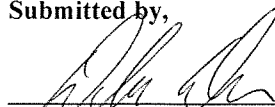
Next Gaming Commission Meeting

a) April 2, 2019 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 7:40 p.m. **Seconded by S. Parsons**
Roll Call: A. Giltz – Absent / M. Jespersen – Yes / B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

4-2-19

Date

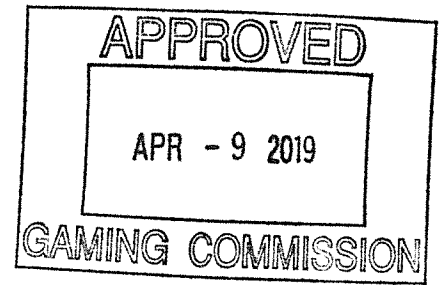
Items moved to Open Session:

None

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

April 2, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660



Meeting called to order at 5:32 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Bill Willis, Alyce Giltz, Debra Davis, Steve Parsons, Mark Jespersen (absent)

Commission Staff: Kelly Maser

Others: Lee Iverson, Rebecca Liebing

Approval of Open Session Agenda:

a) **April 2, 2019**

Action: B. Willis made a motion to approve the Open Session Agenda for April 2, 2019. **Seconded by D. Davis**
Roll Call: S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Absent / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **March 26, 2019**

Action: D. Davis made a motion to approve the Open Session Meeting Minutes for March 26, 2019. **Seconded by B. Willis**
Roll Call: M. Jespersen – Absent / B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

Lee – TMICS (Commission response to going forward protocol included)

Lee – Kudos to the LRBOI Compliance Department

Bill – Golf Course opening date

Next Gaming Commission Meeting

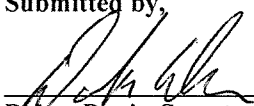
a) **April 9, 2019 at 6:00 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:55 p.m. **Seconded by S. Parsons**

Roll Call: A. Giltz – Yes / M. Jespersen – Absent / D. Davis – Yes / B. Willis – Yes / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

4-9-19
Date

Items moved to Open Session:

a) Approval of Vendor License – Acres Bonusing, Inc.

Action: B. Willis made a motion to renew the Gaming Vendor License for Acres Bonusing, Inc. license effective date July 2, 2019 – license expiration date July 2, 2020. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / S. Parsons – Yes / M. Jespersen – Absent / A. Giltz – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

April 9, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:32 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Bill Willis, Debra Davis, Mark Jespersen, Alyce Giltz
Commission Staff: Kelly Maser
Others: Lee Ivinson

Approval of Open Session Agenda:

a) April 9, 2019

Action: B. Willis made a motion to approve the Open Session Agenda for April 9, 2019. Seconded by D. Davis
Roll Call: D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) April 2, 2019

Action: D. Davis made a motion to approval of the Open Session Meeting Minutes for April 2, 2019. Seconded by M. Jespersen
Roll Call: M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

Lee – final audit report received Title 31
Lee – request to receive meeting minutes of the Gaming Commission's Open Session meetings
Lee – Golf course opening on April 12, 2019 – weather permitting
Lee – courtesy notice (fry bread / soup cook off)
Kelly – NIGC site visit

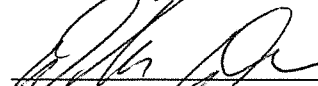
Next Gaming Commission Meeting

a) April 16, 2019 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:45 p.m. Seconded by S. Parsons
Roll Call: A. Giltz – Yes / M. Jespersen – Yes / B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

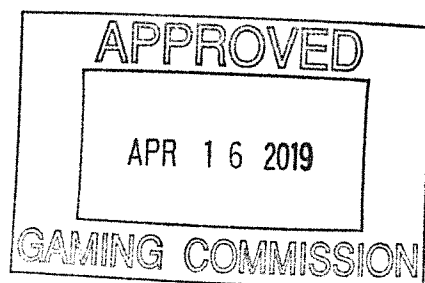
Submitted by,


Debra Davis, Secretary

4-16-19
Date

Items moved to Open Session:

None



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

April 16, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:37 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Alyce Giltz, Bill Willis, Mark Jespersen, Debra Davis, Steve Parsons

Commission Staff: Kelly Maser, Alan Mackowiak

Others: Lee Iverson, Rebecca Liebman (arrived at 5:45 pm)

Approval of Open Session Agenda:

a) April 16, 2019

Action: B. Willis made a motion to approve the Open Session Agenda for April 16, 2019. **Seconded by D. Davis**

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) April 9, 2019

Action: S. Parson made a motion to approve the Gaming Commission Open Session Meeting Minutes for April 9, 2019. **Seconded by M. Jespersen**

Roll Call: B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

Lee – Facility License Renewal Application

Lee – TMICS memo discussion (definition of a SOP, lines of credit, retail vs comp value)

Lee – Past summit recollection w Tribal leadership, Gaming Commission, and gaming enterprise

Kelly – NIGC site visit follow-up

Kelly – Career Fair and rationale for Gaming Commission non-participation

Next Gaming Commission Meeting

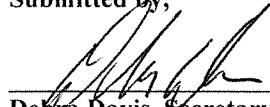
a) April 23, 2019 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 6:07 p.m. **Seconded by S. Parsons**

Roll Call: S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / M. Jespersen – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

4-23-19
Date

Items moved to Open Session:

a) Approval of Vendor License – SCA Entertainment, LP

Action: B. Willis made a motion to approve the Gaming Vendor Renewal Application for SCA Entertainment, LP. – license effective date May 8, 2019 – expiration date May 8, 2020. **Seconded by S. Parsons**

Roll Call: B. Willis – Yes / S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Approval of Vendor License – The Distribution Group, Inc.

Action: B. Willis made a motion to approve the Non-Gaming Vendor License Renewal Application for The Distribution Group, Inc. license effective date July 20, 2019 – expiration date July 20, 2020. **Seconded by D. Davis**

Roll Call: M. Jespersen – Yes / D. Davis – Yes / A. Giltz – Yes / B. Willis – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

c) Approval of Vendor License – Incredible Technologies, Inc.

Action: B. Willis made a motion to approve the Gaming Vendor Renewal Application for Incredible Technologies, Inc. – license effective date May 20, 2019 – expiration date May 20, 2020. **Seconded by S. Parsons**

Roll Call: A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED.**

d) Approval of Vendor License – SUZOHAPP Gaming Solutions, LLC

Action: B. Willis made a motion to approve the Gaming Vendor License Renewal Application for SUZOHAPP Gaming Solutions, LLC – license effective date May 26, 2019 – expiration date May 26, 2020. **Seconded by S. Parsons**

Roll Call: S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / M. Jespersen – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**





Gaming Commission

2840 Orchard Hwy., Suite 'A'

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC19-0402-09

APPROVAL OF REVISED GAMING COMMISSION BY-LAWS

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance # 04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, Tribal Council adopted the revised Commissions Ordinance #04-150-01 on January 9, 2019; and

WHEREAS, the Section 6.01 of the Commissions Ordinance states that all Commissions shall have two creation documents – an Ordinance and by-laws; and

WHEREAS, Section 6.02 (f) of the Commissions Ordinance mandates that by-laws be reviewed on an annual basis by the Gaming Commission for amendments; and

WHEREAS, Tribal Council adopted the revised Gaming Commission Ordinance #04-400-04 on March 27, 2019; and

WHEREAS, the Gaming Commission reviewed their by-laws and have made the revisions necessary to be compliant with Tribal law.

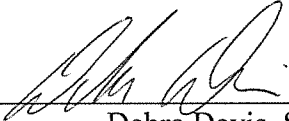
NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves their revised by-laws; and

IT IS FURTHER RESOLVED THAT the by-laws be forwarded to Tribal Council for placement on their agenda for approval.

Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on April 2, 2019 by a vote of 4 in favor, 0 opposed, 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission
2840 Orchard Hwy., Suite "A"
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC19-0423-10

APPROVAL OF REVISED GAMING COMMISSION REGULATION #R400-04:GC-14 CHAPTER 14 – HEARINGS

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, Gaming Commission Regulation #R400-04:GC-014 Chapter 14 - Hearings revisions were approved by the Gaming Commission on January 22, 2019 and most-recently a minor error in the Patron Complaint hearing process was identified causing the need for a revision.

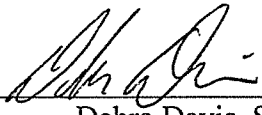
Gaming Commission Resolution #GC19-0423-10
Approval of Revised Gaming Commission Regulation #R400-04:GC-14
Chapter 14 – Hearings
Page Two

NOW THEREFORE IT IS RESOLVED THAT Gaming Commission Regulation #R400-04:GC-14 – Chapter 14 – Hearings be effective and forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b).

Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on April 23, 2019 by a vote of 4 in favor, 0 opposed, and 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

2840 Orchard Highway, Suite "A"
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC19-0423- //

**Approving the Cancellation of the Gaming Commission Meeting
Scheduled on May 7, 2019**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance # 02-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, the Gaming Commission By-Laws – Section 4.01 (a) requires the Gaming Commission to hold at least two (2) regular meetings per month and at such other times as may be deemed necessary to perform assigned duties; and

WHEREAS, the Gaming Commission's By-Laws – Section 4.0 (c) requires the Gaming Commission to establish a schedule for its regular meetings for each calendar year and requires amendments to the schedule be approved by resolution of the Gaming Commission and notice be posted in accordance to the Ordinance; and

WHEREAS, the Gaming Commission approved its 2019 Meeting Schedule on November 13, 2018 and now finds it necessary to amend its meeting schedule by canceling its meeting on May 7, 2019 due to a lack of a quorum.

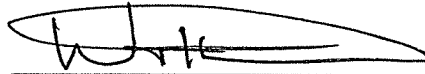
Gaming Commission Resolution #GC19-0423- 11
Approving the Cancellation of the Gaming Commission
Meeting Scheduled on May 7, 2019
Page Two

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission of the Little River Band of Ottawa Indians hereby approves the amendment to its 2019 Meeting Schedule by canceling its meeting on May 7, 2019.

Certificate of Adoption

I, Bill Willis, Chairperson of the Gaming Commission do hereby certify that this resolution was adopted on April 23, 2019 by a vote of 4 in favor, 0 opposed, and 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Chairperson

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite 'A'
Manistee, MI 49660

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Memo

Aániípiísh Epiídek (To): Little River Band of Ottawa Indians Gaming Commission

Binjibidek (From): Kelly Maser, Executive Director

Maánda Naásaáp (cc):

Naángwa (Date): May 1, 2019

Maánda Nji (Re): Administrative and Licensing – April 2019 Monthly Report

- 59 licenses processed – initial applications and renewals (Gaming and Non-Gaming)
- 134 applications/files/investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 13 interviews conducted for new employee license applicants
- 2 sets of fingerprints submitted
- National Indian Gaming Commission (NIGC) Notices (Executive Director)
 - 3 Notice of Results (NOR) prepared and processed
 - 4 Licensing Determinations prepared and processed
 - 4 Investigative Reports prepared and processed
- No patron complaints received. (Executive Director)
- 6 Vendor licenses processed (Gaming and Non-Gaming)
- 2 Renewal applications sent to vendors (Gaming and Non-Gaming)
- Non-Gaming Vendor License Applications received and reviewed
 - 3 Corporate History Disclosure Forms
 - 8 Personal History Disclosure Forms
- Gaming Vendor License Applications received and reviewed
 - 4 Corporate History Disclosure Forms
 - 7 Personal History Disclosure Forms
- 31 vendor applications/files/investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 5 Gaming Commission meeting agendas prepared
- 1 Gaming Commission monthly report compiled and submitted to Tribal Council
- 2 Gaming Commission resolution drafted
- 2 Tribal Council resolutions drafted
- 2 Tribal Council agenda submissions processed
- 1 Raffle licenses processed