



Little River Band of Ottawa Indians

Gaming Commission

2840 Orchard Highway, Suite 'A'

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of February 2018

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Dave Corey, Chairperson
Bill Willis, Secretary
Debra Davis, Commissioner

II. Activities during the Past Month:

During the month of February the Gaming Commission:
- held four regular meetings

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. January 23, 2018
2. February 6, 2018
3. February 13, 2018
4. February 20, 2018

Resolutions:

1. Gaming Commission Resolution #GC18-0206-01 Repealing Gaming Commission Regulation – Chapter 3
2. Gaming Commission Resolution #GC18-0206-02 Revising Authorized Designated Purchasing Authority
3. Gaming Commission Resolution #GC18-0220-03 Approval of Gaming Commission Regulation #R400-04:GC-03 Chapter 3 – Non-Gaming Employee Licensing Regulation
4. Gaming Commission Resolution #GC18-0220-04 Approval of Gaming Commission Regulation #R400-04:GC-019 Chapter 19 – Non-Gaming Vendor Licensing Regulation
5. Gaming Commission Resolution #GC18-0220-05 Approval of Gaming Commission Regulation #R400-04:GC-20 Chapter 20 – Prohibition on Gaming Regulation
6. Gaming Commission Resolution #GC18-0220-06 Approval of Revised Gaming Commission Internal Audit Procedures

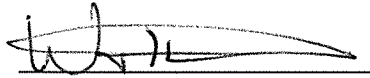
Commission Orders:

1. Gaming Commission Order #GC18-0206-02 Granting Exemption to Trane Commercial Systems and Services West Michigan District from Vendor Licensing Requirements
2. Gaming Commission Order #GC18-0213-03 Granting Exemption to Trane U.S., Inc. from Vendor Licensing Requirements

Other:

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department


Secretary

3/7/18
Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

January 23, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 6:07 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Bill Willis, Debra Davis, Dave Corey
Commission Staff: Kelly Maser
Others: Rebecca Liebing

Approval of Open Session Agenda:

a) January 23, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for January 23, 2018. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) January 16, 2018

Action: D. Davis made a motion to approve Open Session Meeting Minutes for January 16, 2018. **Seconded by D. Corey**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Gaming Commissioner Officer Elections

Action: D. Davis made a motion to leave everything as is. **Seconded by D. Corey**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Discussion Period:

Kelly – External audit update

Next Gaming Commission Meeting

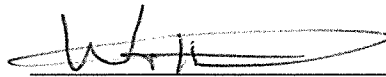
a) February 6, 2018 at 6:30 p.m.

Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 6:12 p.m. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,



Bill Willis, Secretary

2/6/18
Date

Items moved to Open Session:



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
February 6, 2018
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 6:42 p.m. by Acting Chairperson, Bill Willis

Present:

Commissioners: Bill Willis, Debra Davis, Dave Corey (absent)

Commission Staff: Kelly Maser

Others: Rebecca Liebing, Joseph Riley, Michael Burmeister, Andrew Gentile

Approval of Open Session Agenda:

a) February 6, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for February 6, 2018 with the addition of New Business Item A – Approval Repealing Gaming Commission Regulation – Chapter 3 and Item B – Approval Revising Authorized Designated Purchasing Authority. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) January 23, 2018

Action: D. Davis made a motion to approve Open Session Meeting Minutes for January 23, 2018. **Seconded by B. Willis**

Roll Call: D. Corey – Absent / D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Approval Repealing Gaming Commission Regulation – Chapter 3

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC18-0206-01 repealing of Gaming Commission Regulation – Chapter 3. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / D. Corey – Absent / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Approval Revising Authorized Designated Purchasing Authority

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC18-0206-02 revising Authorized Designated Purchasing Authority. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / D. Corey – Absent / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Discussion Period:

Rebecca – NIGC closure to Letter of Concern

Rebecca – Gaming Ordinance resubmission to NIGC

Lee – RSM on property

Lee – IHS inspection

Lee – Environmental air quality evaluation

Next Gaming Commission Meeting

a) **February 13, 2018 at 6:30 p.m.**

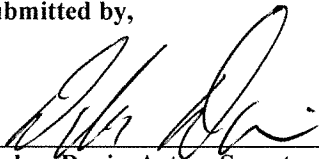
Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 7:01 p.m. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**



Submitted by,


Debra Davis, Acting Secretary

2-13-18
Date

Items moved to Open Session:

a) **Request for Exemption – Trane Commercial Systems and Services West Michigan District from Vendor Licensing Requirements**

Action: B. Willis made a motion to approve Gaming Commission Order #GC18-0206-02 granting exemption to Trane Commercial Systems and Services West Michigan District from vendor licensing requirements. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) **KSS Enterprises**

Action: B. Willis made a motion to approve KSS Enterprises Non-Gaming Vendor renewal application license effective date of April 1, 2018 – license expiration date of April 1, 2019. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

c) **Casino Cash Trac, LLC**

Action: B. Willis made a motion to approve Casino Cash Trac, LLC Gaming Vendor renewal application effective date of March 14, 2018 – license expiration date of March 14, 2019. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Corey – Absent / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

d) **ReelMetrics BV**

Action: B. Willis made a motion to approve ReelMetrics BV Gaming Vendor initial application for license effective date of April 1, 2018 – license expiration date of April 1, 2019. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
February 13, 2018
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 7:00 p.m. by Acting Chairperson, Bill Willis

Present:

Commissioners: Dave Corey (absent - left after hearings), Debra Davis, Bill Willis
Commission Staff: Kelly Maser
Others: Rebecca Liebing

Approval of Open Session Agenda:

a) February 13, 2018

Action: D. Davis made a motion to approve the Open Session Meeting Agenda for February 13, 2018. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / D. Corey – Absent / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) February 6, 2018

Action: B. Willis made a motion to approve Open Session Meeting Minutes for February 6, 2018. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

**No one from the gaming enterprise present
Rebecca – Gaming Ordinance follow up**

Next Gaming Commission Meeting

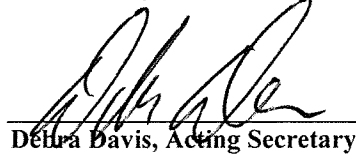
a) February 20, 2018 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 7:05 p.m. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,


Delra Davis, Acting Secretary

2-20-18

Date

Items moved to Open Session:

a) Request for Exemption – Trane U.S., Inc. from Vendor Licensing Requirements

Action: B. Willis made a motion to approve Gaming Commission Order #GC18-0213-03 granting exemption to Trane U.S., Incorporated from vendor licensing requirements. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Everi Payments, Inc. d/b/a Central Credit, LLC

Action: B. Willis made a motion to approve Everi Payments, Inc. d/b/a Central Credit, LLC Gaming Vendor renewal application effective date of March 5, 2018 – expiration date of March 5, 2019. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

c) Everi Games, Inc.

Action: B. Willis made a motion to approve Vendor Gaming License renewal application for Everi Games, Incorporated effective date March 5, 2018 – expiration date of March 5, 2019. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / D. Corey – Absent / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

d) Temperature Control, Inc.

Action: B. Willis made a motion to approve Non-Gaming Vendor renewal application for Temperature Control, Inc. for effective date of April 12, 2018 – license expiration date of April 12, 2019. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
February 20, 2018
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 6:01 p.m. by Acting Chairperson, Bill Willis

Present:

Commissioners: Debra Davis, Bill Willis, Dave Corey (absent)
Commission Staff: Kelly Maser
Others: Rebecca Liebing, Lee Iverson, Joseph Riley

Approval of Open Session Agenda:

a) February 20, 2018

Action: D. Davis made a motion to approve the Open Session Agenda for February 20, 2018. **Seconded by B. Willis**

Roll Call: D. Corey – Absent / D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) February 13, 2018

Action: B. Willis made a motion to approve Open Session Meeting Minutes for February 13, 2018. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Approval of Gaming Commission Regulation Chapter 3 – Non-Gaming Employee Licensing Regulation

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC18-0220-03 approval of Gaming Commission Regulation #R400-04:GC-03 – Chapter 3 – Non-Gaming Employee Licensing Regulation. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Absent / 2-Yes- 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Approval of Gaming Commission Regulation Chapter 19 – Non-Gaming Vendor Licensing Regulation

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC18-0220-04 approval of Gaming Commission Regulation #R400-04:GC-19 – Chapter 19 – Non-Gaming Vendor Licensing Regulation. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / D. Davis – Yes / B. Willis – Yes / 2-Yes- 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

c) Approval of Gaming Commission Regulation Chapter 20 – Prohibition on Gaming Regulation

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC18-0220-05 approval of Gaming Commission Regulation #R400-04:GC-20 – Chapter 20 – Prohibition on Gaming Regulation. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Corey – Absent / D. Davis – Yes / 2-Yes- 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Discussion Period:

Lee – Indoor Air Quality Testing
Kelly – Building repair
Kelly – Roof repair

Next Gaming Commission Meeting

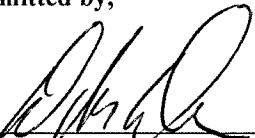
a) February 27, 2018 at 6:00 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 6:32 p.m. Seconded by D. Davis

Roll Call: D. Davis – Yes / D. Corey – Absent / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Acting Secretary

2-27-18
Date

Items moved to Open Session:





Little River Band of Ottawa Indians
Gaming Commission
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0206-01

REPEALING GAMING COMMISSION REGULATION – CHAPTER 3

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

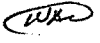
WHEREAS, the Gaming Commission created and approved Resolution #GC01-05-16-02 affirming commission regulations in effect as of May 16, 2001; and

WHEREAS, the Gaming Commission has determined that the Gaming Commission Regulation – Chapter 3 – Hours of Operation content should be added to Gaming Commission Regulation – Chapter 4 – Notifications and Reports; and

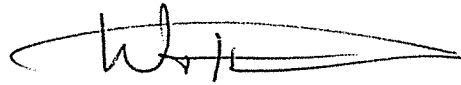
NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby repeals Gaming Commission Regulation – Chapter 3 – Hours of Operation and authorizes the use of Chapter 3 for newly proposed regulations; and

IT IS FURTHER RESOLVED THAT the Gaming Commission will add the repealed language from Chapter 3 – Hours of Operation into Gaming Commission Regulation – Chapter 4– Notifications and Reports.

Certificate of Adoption

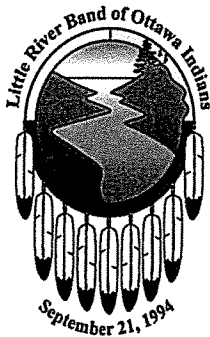
I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on February 6, 2018 by a vote of 2 in favor, 0 opposed, and 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way. 

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

PO Box 337
Manistee, MI 49660-0337
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0206-02

REVISING AUTHORIZED DESIGNATED PURCHASING AUTHORITY

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band of Ottawa Indians and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribe's Budget and Appropriations Regulation #R100-01:AC-01 – Chapter 1 – Purchasing and Procurement – Section 3-2 designates the authority to purchase; and

WHEREAS, the Tribal Council in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Gaming Commission Ordinance – Section 6.03 (a) states the Gaming Commission budget shall be in conformance with the policies of the Tribe in regards to the expenditure of tribal funds and that the Tribal Council shall determine and approve on an annual basis the appropriate spending level authority of the Gaming Commission; and

WHEREAS, the Gaming Commission Ordinance – Section 6.04 (a) (1) states that the Gaming Commission shall promulgate regulations consistent with the Gaming Commission Ordinance and necessary to carry out the orderly performance of its duties and powers which shall include internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, the Gaming Commission established an authorized designated purchasing authority by resolution #GC14-0805-18 on August 5, 2014 and was further approved by Tribal Council Resolution #14-0820-258 on August 20, 2014 by; and

WHEREAS, the action amount that the Gaming Commission has authority to sign off on has also been modified increasing it up to \$25,000.00 to keep it copasetic with the Tribe's Budget and Appropriations Regulation #R100-01:AC-01 – Chapter 1 – Section 3-2; and

WHEREAS, in accordance with the Gaming Commission By-Laws Section 2.02 (b) (2), the Secretary shall be responsible for fulfilling the responsibilities of the Chairperson in the absence of the Chairperson.

NOW THEREFORE IT IS RESOLVED THAT the following structure for designated purchase authority is hereby established for the Gaming Commission:

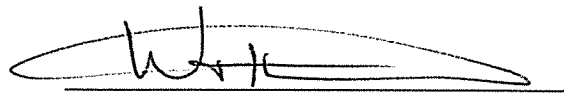
- a. Actions \$0.01 to \$500 – Surveillance Manager, Compliance Manager and Senior Internal Auditor authorized to sign off on purchases and encumbrances.
- b. Actions \$0.01 to \$2,500 – Executive Director and/or Chairperson authorized to sign off on purchases and encumbrances.
- c. Actions \$2,500.01 to \$12,000 – Executive Director and Gaming Commission Chairperson authorized to sign off on purchases and encumbrances.
- d. Actions \$12,000.01 and \$25,000 – Gaming Commission approval by resolution.
- e. All actions \$25,000.01 and greater – Gaming Commission approval by resolution and Tribal Council approval by resolution.

IT IS FURTHER RESOLVED THAT the Gaming Commission will incorporate this action in its By-Laws and internal operating procedures upon approval by Tribal Council.

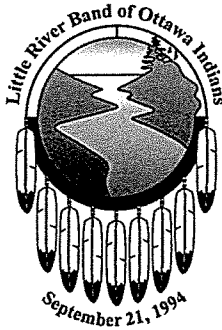
Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on February 6, 2018 by a vote of 2 in favor, 0 opposed, 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:


Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission
2840 Orchard Highway, Suite "A"
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0220- 03

APPROVAL OF GAMING COMMISSION REGULATION #R400-04:GC-03 CHAPTER 3 – NON-GAMING EMPLOYEE LICENSING REGULATION

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, all Non-Gaming Employee Licensing reference was removed from Gaming Commission Regulation – Chapter 7 – Employee Licensing (last approved by the Gaming Commission on October 25, 2017) and is being introduced as a stand-alone regulation in Chapter 3 – Non-Gaming Employee Licensing.

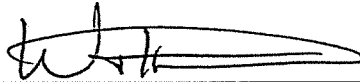
NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves Gaming Commission Regulation #400-04:GC-03 – Chapter 3 – Non-Gaming Employee Licensing Regulation effective only after the Tribal Council repeals the current Chapter 3; and

IT IS FURTHER RESOLVED THAT only upon confirmation that the NIGC and Tribal Council has approved/adopted the Gaming Ordinance #10-400-01, shall Gaming Commission Regulation – Chapter 3 – Non-Gaming Employee Licensing Regulation be effective and forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b).

Certificate of Adoption

I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on February 20, 2018 by a vote of 2 in favor, 0 opposed, 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission
2840 Orchard Highway, Suite "A"
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0220-02

**APPROVAL OF GAMING COMMISSION REGULATION #R400-04:GC-019
CHAPTER 19 – NON-GAMING VENDOR LICENSING REGULATION**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, all Non-Gaming Vendor Licensing reference was removed from Gaming Commission Regulation – Chapter 6 – Vendor Licensing (last approved by the Gaming Commission on October 25, 2017) and is being introduced as a stand-alone regulation in Chapter 19 – Non-Gaming Vendor Licensing.

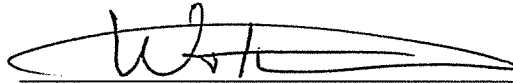
NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves Gaming Commission Regulation #400-04:GC-19 – Chapter 19 – Non-Gaming Vendor Licensing Regulation; and

IT IS FURTHER RESOLVED THAT only upon confirmation that the NIGC and Tribal Council has approved/adopted the Gaming Ordinance #10-400-01, shall Gaming Commission Regulation – Chapter 19 – Non-Gaming Vendor Licensing Regulation be effective and forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b).

Certificate of Adoption

I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on February 20, 2018 by a vote of 2 in favor, 0 opposed, 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission
2840 Orchard Highway, Suite "A"
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0220-05

**APPROVAL OF GAMING COMMISSION REGULATION #R400-04:GC-20
CHAPTER 20 –PROHIBITION ON GAMING REGULATION**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, there is a requirement for the Gaming Commission to promulgate a regulation that identifies persons and/or positions that are not allowed to participate in gaming and to outline the rules by which other persons and/or positions can participate in gaming at any gaming enterprises owned and operated by the Little River Band of Ottawa Indians.

Gaming Commission Resolution #GC18-0220-05
Approval of Gaming Commission Regulation #R400-04:GC-20
Chapter 20 – Prohibition on Gaming Regulation
Page Two

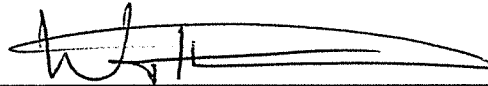
NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves Gaming Commission Regulation #400-04:GC-20 – Chapter 20 – Prohibition on Gaming Regulation; and

IT IS FURTHER RESOLVED THAT only upon confirmation that the NIGC and Tribal Council has approved/adopted the Gaming Ordinance #10-400-01, shall Gaming Commission Regulation – Chapter 20 – Prohibition on Gaming Regulation be effective and forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b).

Certificate of Adoption

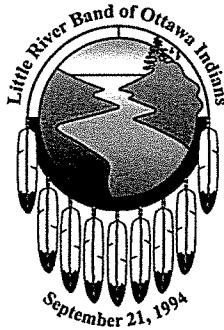
I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on February 20, 2018 by a vote of 2 in favor, 0 opposed, 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

2840 Orchard Highway Suite "A"
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0220- 06

**APPROVAL OF REVISED GAMING COMMISSION
INTERNAL AUDIT PROCEDURES**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

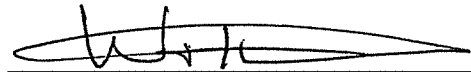
WHEREAS, the Gaming Commission Internal Audit Procedures Manual created and approved by the Gaming Commission on December 18, 2007 were extremely outdated and a total rewrite was necessary.

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves the revised Gaming Commission Internal Audit Procedures.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on February 20, 2018 by a vote of 2 in favor, 0 opposed, 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755

GAMING COMMISSION ORDER #GC18-0206-02

**GRANTING EXEMPTION TO
TRANE COMMERCIAL SYSTEMS AND SERVICES WEST MICHIGAN DISTRICT
FROM VENDOR LICENSING REQUIREMENTS**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.01 of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate rules and regulations as may be necessary to interpret and implement the NIGC and Tribal Council approved Gaming Ordinance #10-400-01 and to establish regulatory systems to effectuate the powers granted by ordinances of the Tribe and other duties imposed by applicable law; and

Gaming Commission Order #GC18-0206-02
Granting Exemption to Trane Commercial Systems and Services West Michigan District
from Vendor Licensing Requirements
Page Two

WHEREAS, the Gaming Ordinance – Article VIII – Section 8.04 states that no vendor shall contract with any gaming enterprise within the jurisdiction of the Tribe unless licensed by the regulatory agency in accordance with Article XI; and

WHEREAS, Chapter 6 – Section 3-1 of the Tribal Council approved Gaming Commission regulations states that every person supplying any gaming equipment or supplies, gaming service, or other goods and services to a gaming enterprise must obtain a Gaming Vendor License or a Non-Gaming Vendor License unless exempted from licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 6 – Section 3-2 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 6 – Section 3-3 of the Tribal Council approved Gaming Commission regulations states that prior to the purchase of any gaming equipment or supplies, gaming service, or other goods and services from any person, the gaming enterprise shall determine if such person possesses or is required to possess, a Gaming Vendor License or Non-Gaming Vendor License under these regulations and forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the equipment, supplies or services to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed; and

WHEREAS, Chapter 6 – Section 3-4 (c) of the Tribal Council approved Gaming Commission regulations states that the Gaming Commission may grant special exemptions from vendor licensing requirements in certain fields providing professional services or unique services under circumstances where the Gaming Commission determines that licensing of the vendor is not necessary to protect the public interest or to accomplish the policies or purposes of the Gaming Ordinance; and

WHEREAS, Chapter 6 – Section 3-6 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempted by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

Gaming Commission Order #GC18-0206- 02
Granting Exemption to Trane Commercial Systems and Services West Michigan District
from Vendor Licensing Requirements
Page Three

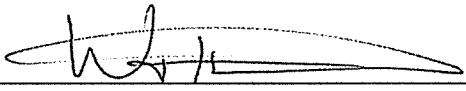
WHEREAS, the gaming enterprise has requested an exemption from the licensing requirements for Trane Commercial Systems and Services West Michigan District for purposes of servicing their HVAC system with equipment, parts and energy efficiency at the gaming enterprise.

NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that Trane Trane Commercial Systems and Services West Michigan District is hereby exempt from the vendor licensing requirements. This exemption is valid once the Gaming Commission is in receipt of the Conflict of Interest Disclosure form between the Little River Casino Resort and the vendor per Chapter 6 – Vendor Licensing Regulation – Section 3-6.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on February 6, 2018 by a vote of 2 in favor, 0 opposed, and 0 abstentions and 1 absent. This order has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
 Little River Casino Resort
 Tribal Council Recorder
 Trane Commercial Systems and Services West Michigan District



Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755

GAMING COMMISSION ORDER #GC18-0213-03

**GRANTING EXEMPTION TO
TRANE U.S., INC.
FROM VENDOR LICENSING REQUIREMENTS**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.01 of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate rules and regulations as may be necessary to interpret and implement the NIGC and Tribal Council approved Gaming Ordinance #10-400-01 and to establish regulatory systems to effectuate the powers granted by ordinances of the Tribe and other duties imposed by applicable law; and

WHEREAS, the Gaming Ordinance – Article VIII – Section 8.04 states that no vendor shall contract with any gaming enterprise within the jurisdiction of the Tribe unless licensed by the regulatory agency in accordance with Article XI; and

WHEREAS, Chapter 6 – Section 3-1 of the Tribal Council approved Gaming Commission regulations states that every person supplying any gaming equipment or supplies, gaming service, or other goods and services to a gaming enterprise must obtain a Gaming Vendor License or a Non-Gaming Vendor License unless exempted from licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 6 – Section 3-2 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 6 – Section 3-3 of the Tribal Council approved Gaming Commission regulations states that prior to the purchase of any gaming equipment or supplies, gaming service, or other goods and services from any person, the gaming enterprise shall determine if such person possesses or is required to possess, a Gaming Vendor License or Non-Gaming Vendor License under these regulations and forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the equipment, supplies or services to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed; and

WHEREAS, Chapter 6 – Section 3-4 (c) of the Tribal Council approved Gaming Commission regulations states that the Gaming Commission may grant special exemptions from vendor licensing requirements in certain fields providing professional services or unique services under circumstances where the Gaming Commission determines that licensing of the vendor is not necessary to protect the public interest or to accomplish the policies or purposes of the Gaming Ordinance; and

WHEREAS, Chapter 6 – Section 3-6 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempted by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

Gaming Commission Order #GC18-0213- 03
Granting Exemption to Trane U.S., Inc. from Vendor Licensing Requirements
Page Three

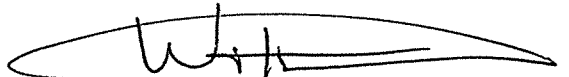
WHEREAS, the gaming enterprise has requested an exemption from the licensing requirements for Trane U.S., Inc. for purposes of servicing their HVAC system at the gaming enterprise.

NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that Trane U.S., Inc. is hereby exempt from the vendor licensing requirements. This exemption is valid once the Gaming Commission is in receipt of the Conflict of Interest Disclosure form between the Little River Casino Resort and the vendor per Chapter 6 – Vendor Licensing Regulation – Section 3-6.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on February 13, 2018 by a vote of 2 in favor, 0 opposed, and 0 abstentions and 1 absent. This order has not been amended or rescinded in any way.

Attest:


Bill Willis, Secretary

Distribution: Commission Records
 Little River Casino Resort
 Tribal Council Recorder
 Trane U.S., Inc.



Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite 'A'

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Memo

Aánípiísh Epiídek (To): Little River Band of Ottawa Indians Gaming Commission

Binjibidek (From): Kelly Maser, Executive Director

Maánda Naásaáp (cc):

Naángwa (Date): March 1, 2018

Maánda Nji (Re): Administrative and Licensing – February 2018 Monthly Report

Administrative Assistant – February 2018 (position filled October 30, 2017)

** Administrative Assistant(s) supports/assists the Background Investigator in the licensing process which includes (not inclusive of) the following: initial contact with applicant explaining the application process and requirements; cursory review of application and attachments upon return to ensure completeness prior to handing all over to the Background Investigator; entry of information to the database/file; responsible for tracking licensees to ensure no license expires without the appropriate notifications being sent to licensee; notarizes forms; schedules appointments for the Background Investigator; distribution of licenses, processes all employment, reference, professional license and Gaming License verifications, etc.*

Background Investigator – February 2018 (performed by the Executive Director and Executive Assistant)

- 79 applications/files/investigations reviewed – initial and renewals (Gaming/Non-Gaming), including NSOR searches, personal references and employment checks, gaming license verifications, professional license verifications, etc.
- Numerous employee licensing interviews conducted
- 5 ICHATS ran for employee licensing
- 2 investigations initiated on employee licensing (Accurate - criminal/civil searches)
- 3 set of fingerprints processed
- Notices to the National Indian Gaming Commission (NIGC)
 - 3 Notice of Results
 - 3 License Determinations

Executive Assistant – February 2018

- 9 vendor licenses processed (Gaming/Non-Gaming)
- 8 vendor application sent to vendors (Gaming/Non-Gaming)
- Received Gaming vendor applications and reviewed for completeness
 - 4 Corporate History Disclosure Form
 - 8 Personal History Disclosure Form
- Received Non-Gaming vendor applications and reviewed for completeness
 - 1 Corporate History Disclosure Form
 - 1 Personal History Disclosure Form
- 5 investigations initiated on vendors
- 9 investigations initiated on vendor control persons
- 3 investigations on vendors reviewed
- 4 investigations for control persons reviewed
- Conducted numerous personal reference checks for vendors
- 88 Sex Offender Reports ran on vendor control persons and Resort employees
- Conducted numerous license verifications on vendors and control persons
- 4 Dunn & Bradstreet reports ran on vendors
- 8 Gaming Commission meeting agendas prepared
 - 15 Gaming Commission meeting packets assembled and distributed
- 1 Gaming Commission monthly report compiled and submitted to Tribal Council
- 2 Gaming Commission orders drafted
- 3 Gaming Commission resolutions drafted
- 2 Tribal Council resolutions drafted
- 2 Tribal Council agenda item packets processed
- Reviewed LRCR Accounts Payable List
- 13 investigations initiated on employee licensing (Accurate - criminal/civil searches)
- 74 ICHATS ran for employee licensing
- 83 Credit Reports ran for employee licensing

** Executive Assistant supports/assists the Executive Director in the vendor licensing process which includes (not inclusive) the following: initial contact with vendor (and control person(s) when applicable) explaining the application process and requirements; performs cursory review of application and attachments upon return to ensure completeness; initiates investigation; runs Dunn & Bradstreet Reports; conducts license verifications; processes personal reference checks, etc. Also prepares all renewal and initial licensing application letters and sends out licensing application packets; responsible for tracking vendor licensing information to ensure no vendor's license expires without the appropriate notification being sent to the vendor/licensee. Upon completion of all above, the entire file is provided to the Executive Director for review prior to placement on the Gaming Commission Meeting agenda for review and consideration.*

The Executive Assistant fills in as the Administrative Assistant when necessary.