



Little River Band of Ottawa Indians
Gaming Commission

2840 Orchard Highway, Suite A

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of July 2019

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Bill Willis, Chairperson

Debra Davis, Secretary

Mark Jespersen, Commissioner

Steve Parsons, Commissioner

Alyce Giltz, Commissioner

II. Activities during the Past Month:

During the month of July the Gaming Commission:

- held four regular meetings
- held one special meeting

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. June 25, 2019
2. July 2, 2019
3. July 9, 2019
4. July 16, 2019

Resolutions:

Commission Orders:

1. Gaming Commission Order #GC19-0719-03 Prohibiting Little River Casino Resort from Conducting Business with Gordon Food Service

Other:

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department

Chairperson

8/6/19
Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

June 25, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:30 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Alyce Giltz, Mark Jespersen, Debra Davis, Bill Willis

Commission Staff: Kelly Maser

Others: Rebecca Liebing (arrived at 5:34 p.m.), Lee Iverson, Jonnie Sam III

Approval of Open Session Agenda:

a) **June 25, 2019**

Action: B. Willis made a motion to approve the Open Session Agenda for June 25, 2019. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **June 18, 2019**

Action: D. Davis made a motion to approve the Open Session Meeting Minutes for June 18, 2019. **Seconded by S. Parsons**

Roll Call: M. Jespersen – Yes / A. Giltz – Yes / B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

- a) Conference update (Kelly)
- b) Fire safety drill (Lee)

Next Gaming Commission Meeting

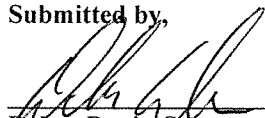
- a) **July 2, 2019 at 5:30 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:36 p.m. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / D. Davis – Yes / M. Jespersen – Yes / B. Willis – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 5-Absent. **MOTION CARRIED**

Submitted by,

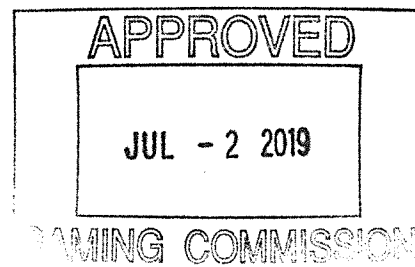

Debra Davis, Secretary

7-2-19

Date

Items moved to Open Session:

None



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

July 2, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:40 p.m. by Acting Chairperson, Debra Davis

Present:

Commissioners: Alyce Giltz, Bill Willis (absent), Mark Jespersen, Debra Davis, Steve Parsons

Commission Staff: Kelly Maser

Others: Rebecca Liebing

Approval of Open Session Agenda:

a) July 2, 2019

Action: D. Davis made a motion to approve the Open Session Meeting Agenda for July 2, 2019. **Seconded by M. Jespersen**

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Absent / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) June 25, 2019

Action: M. Jespersen made a motion to approve the minutes for the Open Meeting Session for June 25, 2019. **Seconded by S. Parsons**

Roll Call: B. Willis – Absent / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

NIGC Regional Training on upcoming changes (Kelly)
Bill Willis is absent for personal reasons

Next Gaming Commission Meeting

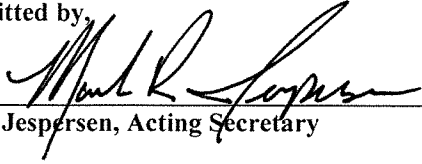
a) July 9, 2019 at 5:30 p.m.

Adjournment of Open Session:

Action: D. Davis made a motion to adjourn the Open Session at 5:59 p.m. **Seconded by M. Jespersen**

Roll Call: S. Parsons – Yes / B. Willis – Absent / D. Davis – Yes / M. Jespersen – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,


Mark Jespersen, Acting Secretary

7-9-19
Date

Items moved to Open Session:

a) Approval of Vendor License – Agilysys NV, LLC

Action: D. Davis made a motion to approve Agilysys NV, LLC a Gaming Vendor Initial Application for license effective date July 2, 2019 – license expiration date July 2, 2020. **Seconded by M. Jespersen**

Roll Call: D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / B. Willis – Absent / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Approval of Vendor License – Data Financial, Inc.

Action: D. Davis made a motion to approve a Gaming Vendor Renewal Application for Data Financial, Inc. license effective date August 23, 2019 – license expiration date August 23, 2020. **Seconded by M. Jespersen**

Roll Call: B. Willis – Absent / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / M. Jespersen – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

c) Approval of Vendor License – Micro Gaming Technologies, Inc.

Action: D. Davis made a motion to approve Gaming Vendor Renewal Application – Micro Gaming Technologies, Inc. – license effective date August 20, 2019 – license expiration date August 20, 2020. **Seconded by S. Parsons**

Roll Call: S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / B. Willis – Absent / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

d) Approval of Vendor License – AGS, LLC

Action: D. Davis made a motion to approve AGS, LLC a Gaming Vendor Renewal Application – license effective date August 18, 2019 – license expiration date August 18, 2020. **Seconded by M. Jespersen**

Roll Call: M. Jespersen – Yes / B. Willis – Absent / S. Parsons – Yes / D. Davis – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

July 9, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 6:12 p.m. by Acting Chairperson, Debra Davis

Present:

Commissioners: Steve Parsons, Bill Willis (absent), Debra Davis, Mark Jespersen, Alyce Giltz

Commission Staff: Kelly Maser

Others: Rebecca Liebing

Approval of Open Session Agenda:

a) July 9, 2019

Action: D. Davis made a motion to approve the Open Session Meeting Agenda for July 9, 2019. **Seconded by M. Jespersen**

Roll Call: D. Davis – Yes / B. Willis – Absent / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) July 2, 2019

Action: M. Jespersen made a motion to approve the Open Session Meeting Minutes for July 2, 2019. **Seconded by S. Parsons**

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / B. Willis – Absent / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

Bill Willis is absent for personal reasons
Non-Gaming Employee Licensing (from/to)
NIGC Update

Next Gaming Commission Meeting

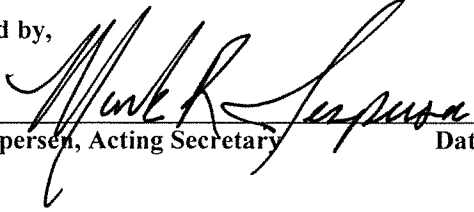
a) July 16, 2019 at 6:30 p.m.

Adjournment of Open Session:

Action: D. Davis made a motion to adjourn the Open Session at 6:18 p.m. **Seconded by M. Jespersen**

Roll Call: A. Giltz – Yes / M. Jespersen – Yes / B. Willis – Absent / S. Parsons – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,

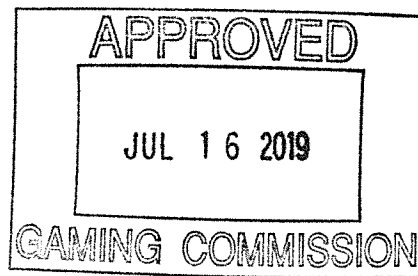

Mark Jespersen, Acting Secretary

Date

7-19-19

Items moved to Open Session:

None



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

July 16, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 6:41 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Alyce Giltz, Bill Willis, Mark Jespersen (absent), Debra Davis, Steve Parsons

Commission Staff: Kelly Maser, Erford Edmondson

Others: Joseph Riley, Melissa Alexander

Approval of Open Session Agenda:

a) July 16, 2019

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for July 16, 2019. **Seconded by D. Davis**

Roll Call: M. Jespersen – Absent / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) July 9, 2019

Action: D. Davis made a motion to approve the Open Session Meeting Minutes for June 9, 2019. **Seconded by S. Parsons**

Roll Call: B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Absent / S. Parsons – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

Mark Jespersen is absent for personal reasons

Classes/training for the Gaming Commission as it relates to the duties/responsibilities of staff

Next Gaming Commission Meeting

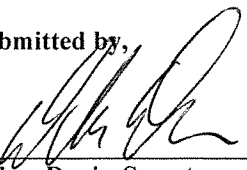
a) July 23, 2019 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 6:45 p.m. **Seconded by S. Parsons**

Roll Call: S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / M. Jespersen – Absent / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

7-23-19
Date

Items moved to Open Session:

Approval of Vendor License – Nichols Paper & Supply Company

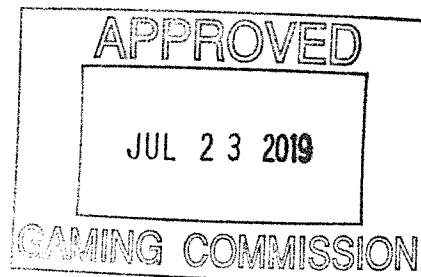
Action: B. Willis made a motion to renew the Non-Gaming Application for Nichols Paper & Supply Company license effective date October 23, 2019 – license expiration date October 23, 2020. **Seconded by D. Davis**

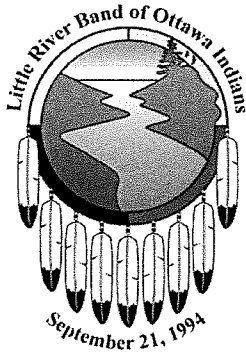
Roll Call: B. Willis – Yes / D. Davis – Yes / M. Jespersen – Absent / S. Parsons – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Approval of Vendor License – Gaming Partners International USA, Inc.

Action: B. Willis made a motion to approve the Gaming Renewal Application for Gaming Partners International USA, Inc. license effective date July 26, 2019 – license expiration date July 26, 2020. **Seconded by D. Davis**

Roll Call: M. Jespersen – Absent / A. Giltz – Yes / B. Willis – Yes / D. Davis – Yes / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**





Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755

GAMING COMMISSION ORDER #GC19-0719-03

**PROHIBITING LITTLE RIVER CASINO RESORT FROM CONDUCTING
BUSINESS WITH GORDON FOOD SERVICE**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7 (a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7 (j) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.01 of Gaming Commission Ordinance, the Gaming Commission is authorized to enact and enforce such regulations consistent with the Gaming Commission Ordinance regarding its activities as the Commission may deem necessary and proper to effectuate the powers granted by the Ordinance and duties imposed by applicable law(s); and

WHEREAS, Chapter 19 – Section 3-1 of the Gaming Commission approved Regulations states that every person supplying any goods, services, and/or concessions to any gaming enterprise must obtain a Non-Gaming Vendor License unless exempt from licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 19 – Section 3-2 of the Gaming Commission approved Regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 19 – Section 3-3 of the Gaming Commission Regulations states that prior to the purchase of any goods, services, and/or concessions the gaming enterprise shall forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the goods, services, and/or concessions to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed by the Gaming Commission; and

WHEREAS, the Purchasing Manager and Finance Department Director at the gaming enterprise initiated the licensing process on May 24, 2019 with an anticipated annual sales of \$200,000.00 and a licensing application was sent to Gordon Food Service; and

WHEREAS, extensive written and verbal conversations occurred between the Gaming Commission, the Gaming Commission’s staff, Gordon Food Service, and the gaming enterprise in regards to the licensing requirement and the fact that Gaming Commission regulations requires Gordon Food Service to hold a Non-Gaming Vendor License based on the annual sales volume established by the gaming enterprise; and

WHEREAS, Gordon Food Service has failed to submit the required licensing application to the Gaming Commission and comply with the licensing requirements; and

WHEREAS, it has been determined that business transactions have occurred between Gordon Food Service and the gaming enterprise in an amount of \$100,000.00 or greater resulting in a violation of Gaming Commission Regulation – Chapter 19.

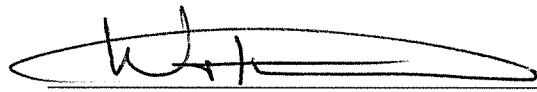
Gaming Commission Order #GC19-0719-03
Prohibiting Little River Casino Resort from Conducting
Business with Gordon Food Service
Page Three

NOW THEREFORE IT IS ORDERED that the Little River Casino Resort shall cease conducting business with Gordon Food Service effective immediately and until such time that they are licensed as a Non-Gaming Vendor.

Certificate of Adoption

I, William Willis, Chairperson of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on July 19, 2019 by a vote of 4 in favor, 0 opposed, and 0 abstentions and 1 absent. This order has not been amended or rescinded in any way.

Attest:



William Willis, Chairperson

Distribution: Commission Records
 Little River Casino Resort
 Tribal Council Recorder
 Gordon Food Service



Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite A
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Memo

Aániípiísh Epiídek (To): Little River Band of Ottawa Indians Gaming Commission

Binjíbidek (From): Kelly Maser, Executive Director 

Maánda Naásaáp (cc):

Naángwa (Date): August 1, 2019

Maánda Nji (Re): Administrative and Licensing – July 2019 Monthly Report

- 104 licenses processed – initial applications and renewals (Gaming and Non-Gaming)
- 78 applications/files/investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 13 interviews conducted for new employee license applicants
- 6 sets of fingerprints submitted
- National Indian Gaming Commission (NIGC) Notices (Executive Director)
 - 4 Notice of Results (NOR) prepared and processed (2 for Oversight Task Force)
 - 2 Licensing Determinations prepared and processed
 - 2 Investigative Reports prepared and processed
- 2 Oversight Task Force background investigations finalized (Executive Director)
- No patron complaints received. (Executive Director)
- 10 Vendor licenses processed (Gaming and Non-Gaming)
- Non-Gaming Vendor License Applications received and reviewed
 - 8 Corporate History Disclosure Forms
 - 12 Personal History Disclosure Forms
- Gaming Vendor License Applications received and reviewed
 - 4 Corporate History Disclosure Forms
 - 14 Personal History Disclosure Forms
- 24 investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 9 Gaming Commission meeting agendas prepared
- 1 Gaming Commission Order drafted
- 1 Gaming Commission monthly report compiled and submitted to Tribal Council