



Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite 'A'
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of June 2018

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Bill Willis, Acting Chairperson
Debra Davis, Acting Secretary

II. Activities during the Past Month:

During the month of June the Gaming Commission:
- held four regular meetings

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. May 22, 2018
2. May 24, 2018
3. June 5, 2018
4. June 12, 2018
5. June 19, 2018

Resolutions:

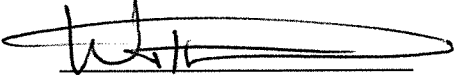
1. Gaming Commission Resolution #GC18-0605-20 Approval of Revised Gaming Commission Regulation #R400-04:GC-07 Chapter 7 – Gaming Employee Licensing Regulation
2. Gaming Commission Resolution #GC18-0605-21 Approval of Revised Gaming Commission Surveillance Department Procedures
3. Gaming Commission Resolution #GC18-0605-22 Approval of Revised Gaming Commission Background Investigation Credit Card Use Policy
4. Gaming Commission Resolution #GC18-0605-23 Approval of Revised Gaming Commission Processing Environmental/Health & Safety Reports Procedure
5. Gaming Commission Resolution #GC18-0612-24 Approval of Revised Gaming Commission Regulation #R400-04:GC-01 Chapter 1 – General Provisions Regulation
6. Gaming Commission Resolution #GC18-0619-25 Approval of Revised Gaming Commission Vendor Licensing Procedure
7. Gaming Commission Resolution #GC18-0626-26 Approving the FY2019 Budget for the Gaming Commission – including Surveillance, Compliance, Administrative, Licensing, Internal Audit, and the Gaming Commissioners in the Amount of \$1,932,090, plus Insurance Benefits and Other Administrative Costs

Commission Orders:

Other:

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department



Acting Chairperson

7/3/18
Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

May 22, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 6:08 p.m. by Acting Chairperson, Bill Willis

Present:

Commissioners: Bill Willis, Dave Corey (absent), Debra Davis
Commission Staff: Kelly Maser
Others: Rebecca Liebing, Lee Ivinson, Jonnie Sam

Approval of Open Session Agenda:

a) **May 22, 2018**

Action: D. Davis made a motion to approve the Open Session Meeting Agenda for May 22, 2018. **Seconded by B. Willis**

Roll Call: D. Corey – Absent / D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **May 15, 2018**

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for May 15, 2018. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Corey – Absent / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) **Approval of Felt Logo Layout for Crazy 4 Poker**

Action: B. Willis made a motion to accept the felt logo layout for Crazy 4 Poker. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Absent / 2-Yes- 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) **Approval of Revised Key/Non-Gaming Employee License Application**

Action: B. Willis made a motion to approve the revised Key/Non-Gaming Employee License Application.

Seconded by D. Davis

Roll Call: B. Willis – Yes / D. Corey – Absent / D. Davis – Yes / 2-Yes- 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

c) **Approval of Revised Primary Management Official (PMO) Employee License Application**

Action: B. Willis made a motion to approve the revised Primary Management Official Employee License Application. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / D. Davis – Yes / B. Willis – Yes / 2-Yes- 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

d) **Approval of Revised Key/Non-Gaming Employee License Renewal Application**

Action: B. Willis made a motion to approve the revised Key/Non-Gaming Employee Licensing Renewal Application. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Absent / 2-Yes- 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

e) Approval of Revised Primary Management Official Employee License Renewal Application

Action: B. Willis made a motion to approve the revised Primary Management Official (PMO) Employee License Renewal Application. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Corey – Absent / D. Davis – Yes / 2-Yes- 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Joanne Green entered meeting at 6:30 p.m.

Discussion Period:

Lee – Unified Legal helping with Conflict of Interest Forms

Lee – Agenda submission memo clarification

Next Gaming Commission Meeting

a) June 5, 2018 at 5:30 p.m.

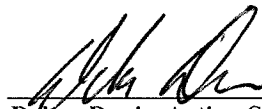
Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 7:18 p.m. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**



Submitted by,


Debra Davis, Acting Secretary

6-5-18
Date

Items moved to Open Session:

a) Manistee Ford, Inc. / Manistee Chevrolet, LLC

Action: B. Willis made a motion to approve Non-Gaming Vendor License renewal application for Manistee Ford, Inc. / Manistee Chevrolet, LLC effective date of July 5, 2018 – license expiration date of July 5, 2019. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Halifax Security, Inc. (HSI)

Action: B. Willis made a motion to approve the Gaming Vendor License renewal application for Halifax Security, Inc. (HSI) effective date of July 24, 2018 – expiration date of July 24, 2019. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Corey – Absent / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

June 5, 2018

**Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 5:33 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Debra Davis, Bill Willis, Dave Corey
Commission Staff: Kelly Maser, Connie Lindsay, Erford Edmondson
Others: Rebecca Liebing, Alanna Lahey, Jonnie Sam, Lee Iverson

Approval of Open Session Agenda:

a) June 5, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for June 5, 2018. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) May 22, 2018

Action: D. Davis made a motion to approve Open Session Meeting Minutes for May 22, 2018. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / D. Corey – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

a) Approval of Revised Gaming Commission Regulation – Chapter 7 – Gaming Employee Licensing

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC18-0605-20 revised Gaming Commission Regulation #R400-04:GC-07 – Chapter 7 – Gaming Employee Licensing. **Seconded by D. Corey**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Ye / 3-Yes- 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

New Business:

None

Discussion Period:

Lee – Annual Report question

Next Gaming Commission Meeting

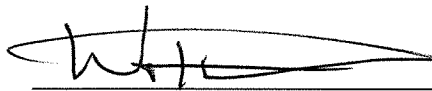
a) June 12, 2018 at 5:30 p.m.

Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 5:45 p.m. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,



Bill Willis, Secretary

Date 6/12/18

Items moved to Open Session:



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

June 12, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:38 p.m. by Acting Chairperson, Bill Willis

Present:

Commissioners: Debra Davis, Bill Willis, Dave Corey (absent)

Commission Staff: Kelly Maser, Erford Edmondson

Others: Rebecca Liebing (arrived at 5:49 p.m.)

Approval of Open Session Agenda:

a) **June 12, 2018**

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for June 12, 2018. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **June 5, 2018**

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for June 5, 2018. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

a) **Approval of Revised Gaming Commission Regulation – Chapter 1 – General Provisions**

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC18-0612-24 approval of revised Gaming Commission Regulation #R400-04:GC-01 – Chapter 1 – General Provisions Regulation. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / D. Corey – Absent / B. Willis – Yes / 2-Yes- 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

New Business:

None

Discussion Period:

Kelly – Upcoming trainings

Next Gaming Commission Meeting

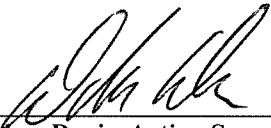
a) **June 19, 2018 at 5:30 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:58 p.m. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Acting Secretary

6-19-18

Date

Items moved to Open Session:

a) MS Creative Services, Inc.

Action: : B. Willis made a motion to approve the Non-Gaming Vendor renewal application for MS Creative Services, Inc. effective date July 24, 2018 – license expiration date July 24, 2019. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

a) Alpha Video & Audio, Inc. – Meeting of April 6, 2018

Action: : D. Corey made a motion to approve the Non-Gaming Vendor renewal application for Alpha Video & Audio, Inc. effective date of April 8, 2018 – license expiration date of April 8, 2019. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

a) Vendor Licensing One-Time Exemption Request – Team Elmer’s – Meeting of May 24, 2018

Action: D. Corey made a motion to approve Gaming Commission Order GC#18-0524-07 granting a one-time exemption for Team Elmer’s for vendor licensing requirements. **Seconded by D. Davis**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

June 19, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:35 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Debra Davis, Bill Willis, Dave Corey

Commission Staff: Kelly Maser, Erford Edmondson

Others: Rebecca Liebing (entered meeting at 5:37 p.m.), Lee Iverson, Alanna Lahey

Approval of Open Session Agenda:

a) June 19, 2018

Action: D. Davis made a motion to approve the Open Session Meeting Agenda for June 19, 2018. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis– Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) June 12, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for June 12, 2018. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

Kelly – Receipt of the LRRCR Annual Report on June 18, 2018

Next Gaming Commission Meeting

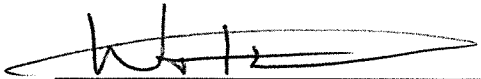
a) June 26, 2018 at 5:30 p.m.

Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 5:38 p.m. **Seconded by B. Willis**

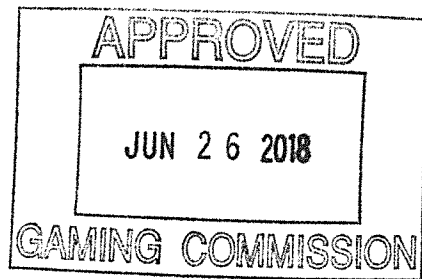
Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Bill Willis, Secretary

6/26/18
Date

Items moved to Open Session:





Gaming Commission
2840 Orchard Hwy., Suite "A"
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0605- 20

**APPROVAL OF REVISED GAMING COMMISSION REGULATION #R400-04:GC-07
CHAPTER 7 – GAMING EMPLOYEE LICENSING REGULATION**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, Gaming Commission Regulation #R400-04:GC-07 Chapter 7 – Gaming Employee Licensing was introduced as a stand-alone regulation which was approved by the Gaming Commission on October 17, 2017; and

WHEREAS, after approval there were errors discovered within the regulation and corrected and now re-approval is required.

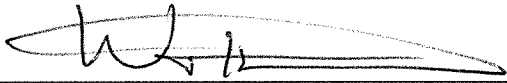
Gaming Commission Resolution #GC18-0605- 20
Approval of Revised Gaming Commission Regulation #R400-04:GC-07
Chapter 7 – Gaming Employee Licensing Regulation
Page Two

NOW THEREFORE IT IS RESOLVED THAT Gaming Commission Regulation #400-04:GC-07 – Chapter 7 – Gaming Employee Licensing Regulation be effective and forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b).

Certificate of Adoption

I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on June 5, 2018 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians

Gaming Commission

2840 Orchard Highway Suite "A"

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0605- 21

APPROVAL OF REVISED GAMING COMMISSION SURVEILLANCE DEPARTMENT PROCEDURES

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

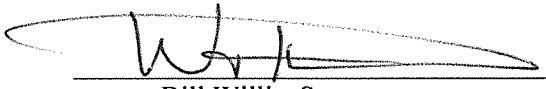
WHEREAS, the Gaming Commission Surveillance Department Procedures were approved by the Gaming Commission on May 1, 2018 and a revision is needed based on BSA/AML recommendations.

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves the revised Gaming Commission Surveillance Department Procedures.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on June 5, 2018 by a vote of 3 in favor, 0 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians
Gaming Commission
2840 Orchard Highway Suite "A"
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0605- 22

**APPROVAL OF REVISED GAMING COMMISSION
BACKGROUND INVESTIGATION CREDIT CARD USE POLICY**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, the Gaming Commission Background Investigation Credit Card Use Policy was approved by the Gaming Commission on March 22, 2011 and requires revisions due to change in processes, regulations, and the Gaming Ordinance.

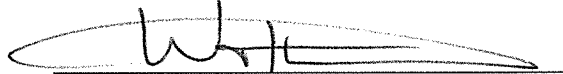
Gaming Commission Resolution #GC18-0605-22
Approval of Revised Gaming Commission Background
Investigation Credit Card Use Policy
Page Two

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves the revised Gaming Commission Background Investigation Credit Card Use Policy

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on June 5, 2018 by a vote of 3 in favor, 0 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:


Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

2840 Orchard Highway Suite "A"
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0605- 23

**APPROVAL OF REVISED GAMING COMMISSION
PROCESSING ENVIRONMENTAL/HEALTH & SAFETY REPORTS PROCEDURE**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, the Gaming Commission Processing Environmental/Health & Safety Reports Procedure was approved by the Gaming Commission on August 23, 2003 and requires revisions due to changes in processes.

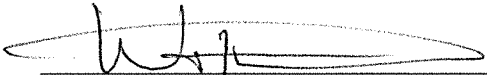
Gaming Commission Resolution #GC18-0605- 23
Approval of Revised Gaming Commission Processing Environmental/Health & Safety
Reports Procedure
Page Two

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians
Gaming Commission hereby approves the revised Gaming Commission Processing
Environmental/Health & Safety Reports Procedure.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do
hereby certify that this resolution was adopted on June 5, 2018 by a vote of 3 in favor, 0
opposed, 6 abstentions and 0 absent. This resolution has not been amended or rescinded in
any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission

2840 Orchard Hwy., Suite "A"

Manistee, MI 49660

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Gaming Commission Resolution #GC18-0612- 24

APPROVAL OF REVISED GAMING COMMISSION REGULATION #R400-04:GC-01 CHAPTER 1 – GENERAL PROVISIONS REGULATION

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, Gaming Commission Regulation #R400-04:GC-01 Chapter 1 - General Provisions was last reviewed and approved by the Gaming Commission on March 27, 2007 and a recent review conducted deemed it necessary to make revisions.

NOW THEREFORE IT IS RESOLVED THAT Gaming Commission Regulation #R400-04:GC-01 – Chapter 1 – General Provisions Regulation be effective and forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b).

Certificate of Adoption

I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on June 12, 2018 by a vote of 2 in favor, 0 opposed, and 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

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Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0619- 25

**APPROVAL OF REVISED GAMING COMMISSION
VENDOR LICENSING PROCEDURE**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

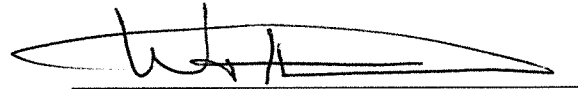
WHEREAS, the Gaming Commission Vendor Licensing Procedure was created and approved by the Gaming Commission on December 20, 2011 and a recent review conducted deemed it necessary to make revisions.

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves the revised Gaming Commission Vendor Licensing Procedure.

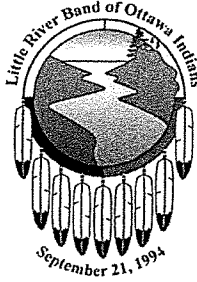
Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on June 19, 2018 by a vote of 3 in favor, 0 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:


Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

2840 Orchard Highway, Suite "A"
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0626-26

**Approving the FY2019 Budget for the Gaming Commission –
including Surveillance, Compliance, Administrative, Licensing,
Internal Audit, and Gaming Commissioners in the Amount of
\$1,932,090, plus Insurance Benefits and Other Administrative Costs**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band of Ottawa Indians and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Gross Gaming Revenue Tax Ordinance #09-100-05 requires proceeds from the gross gaming revenue tax be used as the top priority to fund all regulatory costs incurred by the Tribal Gaming Commission ("Regulatory Agency"); and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance #04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

Gaming Commission Resolution No. #GC18-0626-26
Approving the FY2019 Budget for the Gaming Commission – including Surveillance,
Compliance, Administrative, Licensing, Internal Audit, and Gaming Commissioners in the
Amount of \$1,932,090 plus Insurance Benefits and Other Administrative Costs
Page Two

WHEREAS, the Gaming Commission Ordinance #04-400-04 authorizes the Gaming Commission to employ such staff as may be required to fulfill the Gaming Commission's responsibilities of the Ordinance; and

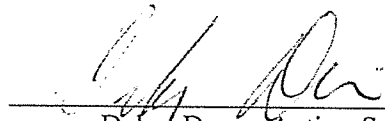
WHEREAS, the Gaming Commission Ordinance #04-400-04 authorizes and holds responsible the Gaming Commission to arrange for training of Gaming Commissioners, Gaming Commission employees, and others in areas relating to the regulation of gaming.

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby approves the FY2019 Budget for the Gaming Commission – including Surveillance, Compliance, Administrative, Licensing, Internal Audit, and Gaming Commissioners in the amount of \$1,932,090 plus insurance benefits and other administrative costs.

Certificate of Adoption

I, Debra Davis, Acting Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this resolution was adopted on June 26, 2018 by a vote of 2 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Acting Secretary

Distribution: Commission Records
Tribal Council



Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite 'A'

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Memo

Aániípiísh Epiídek (To): Little River Band of Ottawa Indians Gaming Commission

Binjíbidek (From): Kelly Maser, Executive Director 

Maánda Naásaáp (cc):

Naángwa (Date): July 2, 2018

Maánda Nji (Re): Administrative and Licensing – June 2018 Monthly Report

Administrative Assistant – June 2018

- 130 licenses processed – initial applications and renewals (Gaming and Non-Gaming), including personal references, employment verifications, Gaming License verifications, professional license(s) verifications, notaries, etc.

** Administrative Assistant(s) supports/assists the Background Investigator and Director in the licensing process which includes (not inclusive of) the following: initial contact with applicant explaining the application process and requirements; cursory review of application and attachments upon return to ensure completeness prior to handing all over to the Background Investigator; entry of information to the database/file; responsible for tracking licensees to ensure no license expires without the appropriate notifications being sent to licensee; notarizes forms; schedules appointments for the Background Investigator; distribution of licenses, processes all employment, reference, professional license and Gaming License verifications, etc.*

Background Investigator – June 2018 (performed by the Executive Director)

- 139 applications/files/investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 4 vendor files reviewed for authorization to move to Gaming Commission for approval (Gaming and Non-Gaming)
- Ran numerous Sex Offender Reports for employee licensing
- 33 ICHATS ran for employee licensing
- 14 interviews conducted for new employee license applicants
- 9 criminal and civil background investigations initiated for new employee license applicants (Accurate)
- 2 set of fingerprints submitted and processed
- 1 Certificate of Rehabilitation prepared and presented to Gaming Commission
- Notices to the National Indian Gaming Commission (NIGC)

- 6 Notice of Results (NOR) prepared and processed
- 1 Licensing Determinations prepared and processed
- 6 Investigative Reports prepared and processed
- 1 license suspension prepared and initiated
- 1 Show Cause Hearing prepared and presented
- 1 Gaming Commission resolution prepared

Executive Assistant – June 2018

- 5 Vendor Licenses processed (Gaming and Non-Gaming)
- 6 Vendor application sent to vendors (Gaming and Non-Gaming)
- Received Gaming vendor applications and reviewed for completeness
 - 4 Corporate History Disclosure Form
 - 10 Personal History Disclosure Form
- Received Non-Gaming vendor applications and reviewed for completeness
 - 1 Corporate History Disclosure Form
 - 4 Personal History Disclosure Form
- 6 investigations initiated on vendors
- 15 investigations initiated on vendor control persons
- 11 investigations on vendors reviewed
- 19 investigations for control persons reviewed
- Conducted numerous personal reference checks for vendors
- Ran numerous Sex Offender Reports on vendor control persons and for employee licensing
- Conducted numerous license verifications on vendors and control persons
- 5 Dunn & Bradstreet reports ran on vendors
- 8 Gaming Commission meeting agendas prepared
 - 19 Gaming Commission meeting packets assembled and distributed
- 1 Gaming Commission monthly report compiled and submitted to Tribal Council
- 3 Gaming Commission resolutions drafted
- 2 Tribal Council resolutions drafted
- 2 Tribal Council agenda request packets processed
- Reviewed LRCR Accounts Payable List
- 11 criminal and civil background investigations initiated for new employee license applicants (Accurate)
- 50 ICHATS ran for employee licensing
- 33 Credit Reports ran for employee licensing
- 2 Raffle licenses processed

** Executive Assistant supports/assists the Executive Director in the vendor licensing process which includes (not inclusive) the following: initial contact with vendor (and control person(s) when applicable) explaining the application process and requirements; performs cursory review of application and attachments upon return to ensure completeness; initiates investigation; runs Dunn & Bradstreet Reports; conducts license verifications; processes personal reference checks, etc. Also prepares all renewal and initial licensing application letters and sends out licensing application packets; responsible for tracking vendor licensing information to ensure no vendor's license expires without the appropriate notification being sent to the vendor/licensee. Upon completion of all above, the entire file is provided to the Executive Director for review prior to placement on the Gaming Commission Meeting agenda for review and consideration.*

The Executive Assistant fills in as the Administrative Assistant when necessary.