



Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite 'A'

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of May 2018

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Dave Corey, Chairperson
Bill Willis, Secretary
Debra Davis, Commissioner

II. Activities during the Past Month:

During the month of May the Gaming Commission:

- held four regular meetings
- held one special meeting

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. April 24, 2018
2. May 1, 2018
3. May 8, 2018
4. May 15, 2018

Resolutions:

1. Gaming Commission Resolution #GC18-0501-17 Approval of Revised Gaming Commission Surveillance Department Procedures
2. Gaming Commission Resolution #GC18-0515-18 Approval of Revised Gaming Commission Regulation #R400-04:GC03 Chapter 3 – Non-Gaming Employee Licensing Regulation
3. Gaming Commission Resolution #GC18-0515-19 Approval of Revised Gaming Commission Regulation #R400-04:GC-15 Chapter 15 – Certificate of Rehabilitation Regulation

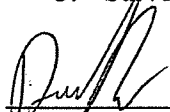
Commission Orders:

1. Gaming Commission Order #GC18-0501-05 Granting One-Time Exemption to PC Connection Sales Corporation d/b/a Connection from Vendor Licensing Requirements
2. Gaming Commission Order #GC18-0508-06 Granting Exemption to First Non Profit Companies, Inc. from Vendor Licensing Requirements
3. Gaming Commission Order #GC18-0524-07 Granting One-Time Exemption to Team Elmer's from Vendor Licensing Requirements

Other:

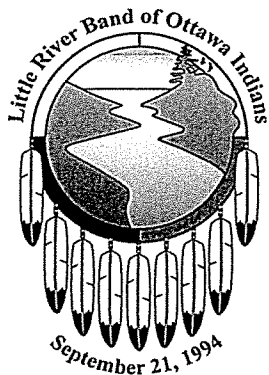
Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department



Chairperson

6-5-18
Date



Little River Band of Ottawa Indians Gaming Commission

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Memo

Aáníípiish Epiídek (To): Little River Band of Ottawa Indians Gaming Commission

Binjíbidek (From): Kelly Maser, Executive Director 

Maánda Naásaáp (cc):

Naángwa (Date): June 1, 2018

Maánda Nji (Re): Administrative and Licensing – May 2018 Monthly Report

Administrative Assistant – May 2018

- 100 licenses processed – initial applications and renewals (Gaming and Non-Gaming), including personal references, employment verifications, Gaming License verifications, professional license(s) verifications, notaries, etc.

** Administrative Assistant(s) supports/assists the Background Investigator and Director in the licensing process which includes (not inclusive of) the following: initial contact with applicant explaining the application process and requirements; cursory review of application and attachments upon return to ensure completeness prior to handing all over to the Background Investigator; entry of information to the database/file; responsible for tracking licensees to ensure no license expires without the appropriate notifications being sent to licensee; notarizes forms; schedules appointments for the Background Investigator; distribution of licenses, processes all employment, reference, professional license and Gaming License verifications, etc.*

Background Investigator – May 2018 (performed by the Executive Director)

- 137 applications/files/investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 8 vendor files reviewed for authorization to move to Gaming Commission for approval (Gaming and Non-Gaming)
- Ran numerous Sex Offender Reports for employee licensing
- 16 ICHATS ran for employee licensing
- 35 interviews conducted for new employee license applicants
- 6 criminal and civil background investigations initiated for new employee license applicants (Accurate)
- 8 set of fingerprints submitted and processed
- 1 Certificate of Rehabilitation prepared and presented to Gaming Commission
- Notices to the National Indian Gaming Commission (NIGC)

- 9 Notice of Results (NOR) prepared and processed
- 6 License Determinations prepared and processed
- 6 Investigative Reports prepared and processed
- 1 license suspension prepared and initiated
- 1 Show Cause Hearing prepared and presented
- 1 Gaming Commission Order prepared

Executive Assistant – May 2018

- 10 Vendor Licenses processed (Gaming and Non-Gaming)
- 10 Vendor application sent to vendors (Gaming and Non-Gaming)
- Received Gaming vendor applications and reviewed for completeness
 - 5 Corporate History Disclosure Form
 - 5 Personal History Disclosure Form
- Received Non-Gaming vendor applications and reviewed for completeness
 - 3 Corporate History Disclosure Form
 - 3 Personal History Disclosure Form
- 8 investigations initiated on vendors
- 8 investigations initiated on vendor control persons
- 3 investigations on vendors reviewed
- 1 investigations for control persons reviewed
- Conducted numerous personal reference checks for vendors
- Ran numerous Sex Offender Reports on vendor control persons and for employee licensing
- Conducted numerous license verifications on vendors and control persons
- 6 Dunn & Bradstreet reports ran on vendors
- 8 Gaming Commission meeting agendas prepared
 - 20 Gaming Commission meeting packets assembled and distributed
- 1 Gaming Commission monthly report compiled and submitted to Tribal Council
- 1 Gaming Commission orders drafted
- 5 Gaming Commission resolutions drafted
- 2 Tribal Council resolutions drafted
- 3 Tribal Council agenda request packets processed
- Reviewed LRCR Accounts Payable List
- 11 criminal and civil background investigations initiated for new employee license applicants (Accurate)
- 121 ICHATS ran for employee licensing
- 71 Credit Reports ran for employee licensing

** Executive Assistant supports/assists the Executive Director in the vendor licensing process which includes (not inclusive) the following: initial contact with vendor (and control person(s) when applicable) explaining the application process and requirements; performs cursory review of application and attachments upon return to ensure completeness; initiates investigation; runs Dunn & Bradstreet Reports; conducts license verifications; processes personal reference checks, etc. Also prepares all renewal and initial licensing application letters and sends out licensing application packets; responsible for tracking vendor licensing information to ensure no vendor's license expires without the appropriate notification being sent to the vendor/licensee. Upon completion of all above, the entire file is provided to the Executive Director for review prior to placement on the Gaming Commission Meeting agenda for review and consideration.*

The Executive Assistant fills in as the Administrative Assistant when necessary.

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

April 24, 2018

**Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 5:36 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Bill Willis, Dave Corey, Debra Davis
Commission Staff: Kelly Maser, Erford Edmondson
Others: Rebecca Liebing, Lee Iverson, Ryan O'Brien, Joe Riley

Approval of Open Session Agenda:

a) April 24, 2018

Action: D. Corey made a motion to approve the Open Session Meeting Agenda for April 24, 2018. **Seconded by B. Willis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) April 10, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for April 10, 2018. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Corey – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Approval of Revised Gaming Commission Regulation Chapter 4 – Notifications and Reports Regulation

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC18-0424-16 approval of revised Gaming Commission Regulation #R400-04:GC-04 – Chapter 4 – Notifications and Reports. **Seconded by D. Corey**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes- 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Discussion Period:

**Kelly – Gaming Commission Regulation Chapter 1, Chapter 11, and 15 revisions forthcoming
Lee – Update to Security P&P's (16 year olds traversing the gaming floor)
Lee – Preliminary meeting on Title 31 audit**

Next Gaming Commission Meeting

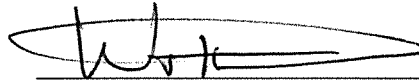
a) May 1, 2018 at 5:30 p.m.

Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 5:50 p.m. **Seconded by B. Willis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,



Bill Willis, Secretary

5/1/18

Date

Items moved to Open Session:

a) Gilson Graphics, Inc.

Action: D. Corey made a motion to approve Non-Gaming Vendor License renewal application for Gilson Graphics, Inc. effective date of May 17, 2018 – expiration date of May 17, 2019. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Incredible Technologies, Inc.

Action: D. Corey made a motion to approve the Gaming Vendor License renewal application for Incredible Technologies, Inc. effective date of May 20, 2018 – expiration date of May 20, 2019. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / D. Corey – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

c) West Coast Farm Service

Action: D. Corey made a motion to approve West Coast Farm Service Non-Gaming Vendor License renewal application effective date of June 7, 2018 – expiration date of June 7, 2019. **Seconded by B. Willis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

d) SUZOHAPP Gaming Solutions, Inc.

Action: D. Corey made a motion to approve SUZOHAPP Gaming Solutions, Inc. Gaming Vendor renewal application effective date of May 26, 2018 – expiration date of May 26, 2019. **Seconded by D. Davis**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

May 1, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:39 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Bill Willis, Dave Corey, Debra Davis
Commission Staff: Kelly Maser, Erford Edmondson, Connie Lindsay
Others: Rebecca Liebing, Lee Ivinson, Michael Thomas

Approval of Open Session Agenda:

a) May 1, 2018

Action: D. Davis made a motion to approve the Open Session Meeting Agenda for May 1, 2018. **Seconded by D. Corey**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) April 24, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for April 24, 2018. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Corey – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

Kelly – Rehabilitation for Licensure Ordinance and Gaming Commission Regulation Chapter 15 revisions forthcoming (goal is May 8th meeting)

Kelly – MIGCN Meeting

Kelly – Chapter 3 and Chapter 7 errors found

Next Gaming Commission Meeting

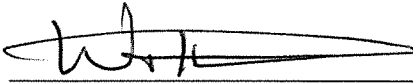
a) May 8, 2018 at 5:30 p.m.

Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 5:45 p.m. **Seconded by B. Willis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Bill Willis, Secretary

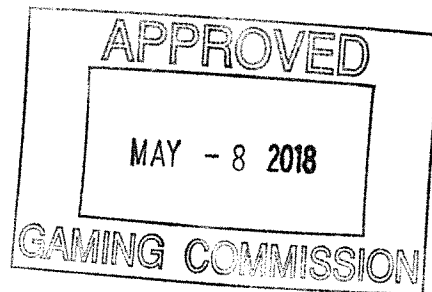
5/5/18
Date

Items moved to Open Session:

- a) **Approval of Vendor Licensing Exemption Request – PC Connection Sales Corporation d/b/a Connection**

Action: D. Corey made a motion to approve Gaming Commission Order #GC18-0501-05 granting **one-time** exemption to PC Connection Sales Corporation d/b/a Connection from vendor licensing requirements **for the one time purchase of 330 televisions. Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

May 8, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:49 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Bill Willis, Dave Corey, Debra Davis (absent)

Commission Staff: Kelly Maser, Erford Edmondson

Others: Rebecca Liebing, Lee Iverson, Cody Bullis, Barry McGrady

Approval of Open Session Agenda:

a) May 8, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for May 8, 2018. **Seconded by D. Corey**

Roll Call: D. Davis – Absent / B. Willis – Yes / D. Corey – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) May 1, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for May 1, 2018. **Seconded by D. Corey**

Roll Call: B. Willis – Yes / D. Corey – Yes / D. Davis – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Approval of Colored Layouts for Table Games

Action: B. Willis made a motion to approve the colored layouts for table games. **Seconded by D. Corey**

Roll Call: D. Corey – Yes / D. Davis – Absent / B. Willis – Yes / 2-Yes- 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Discussion Period:

Kelly – Revisions made to Gaming Commission Regulations Chapter 3, Chapter 7, and Chapter 15

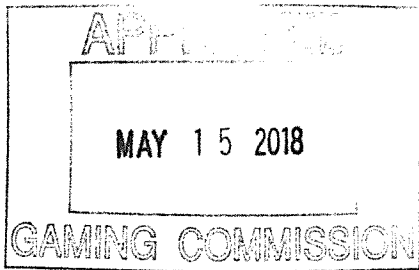
Next Gaming Commission Meeting

a) May 15, 2018 at 5:30 p.m.

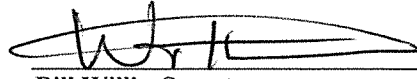
Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 5:53 p.m. **Seconded by B. Willis**

Roll Call: D. Davis – Absent / B. Willis – Yes / D. Corey – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**



Submitted by,


Bill Willis, Secretary

Date

5/15/18

Items moved to Open Session:

a) Approval of Vendor Licensing Exemption Request – First Non Profit Companies, Inc.

Action: D. Corey made a motion to approve Gaming Commission Order #GC18-0508-06 granting exemption to First Non Profit Companies, Inc. from vendor licensing requirements. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / D. Corey – Yes / D. Davis – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Allen Audio Systems, Inc.

Action: D. Corey made a motion to approve the Non-Gaming Vendor License renewal application for Allen Audio Systems, Inc. effective date of July 1, 2018 – license expiration date of July 1, 2019. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / D. Davis – Absent / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

c) Acres Bonusing, Inc.

Action: D. Corey made a motion to approve Gaming Vendor License renewal application for Acres Bonusing, Inc. effective date of July 2, 2018 – license expiration date of July 2, 2019. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / D. Corey – Yes / D. Davis – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

d) William Roam, LLC

Action: D. Corey made a motion to approve the Non-Gaming Vendor License renewal application for William Roam, LLC effective date of June 28, 2018 – license expiration date of June 28, 2019. **Seconded by B. Willis**

Roll Call: D. Davis – Absent / B. Willis – Yes / D. Corey – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

e) Corporate Systems d/b/a The McCahill Group

Action: D. Corey made a motion to approve Corporate Systems d/b/a The McCahill Group the Non-Gaming Vendor License renewal application for effective date of June 30, 2018 – license expiration date of June 30, 2019. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / D. Davis – Absent / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

f) The Distribution Group, Inc.

Action: D. Corey made a motion to approve the Non-Gaming Vendor renewal application for The Distribution Group, Inc. effective date of July 8, 2018 – license expiration date of July 8, 2019. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / D. Corey – Yes / D. Davis – Absent / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

g) SMP Communications Corp.

Action: D. Corey made a motion to approve SMP Communications Corp. for a Gaming Vendor application for effective date of July 23, 2018 – license expiration date of July 23, 2019. **Seconded by B. Willis**

Roll Call: D. Davis – Absent / B. Willis – Yes / D. Corey – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

h) SCA Entertainment, LP

Action: D. Corey made a motion to approve SCA Entertainment, LP Gaming Vendor initial application for effective date of May 8, 2018 – license expiration date of May 8, 2019. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / D. Davis – Absent / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

May 15, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:42 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Bill Willis, Dave Corey, Debra Davis

Commission Staff: Kelly Maser

Others: Rebecca Liebing, Lee Ivinson

Approval of Open Session Agenda:

a) May 15, 2018

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for May 15, 2018. **Seconded by D. Corey**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) May 8, 2018

Action: D. Davis made a motion to approve the Open Session Meeting Minutes for May 8, 2018. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / D. Corey – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Approval of Revised Gaming Commission Regulation – Chapter 3 – Non-Gaming Employee Licensing

Action: D. Corey made a motion to approve revised Gaming Commission Regulation – Chapter 3 – Non-Gaming Employee Licensing Gaming Commission Resolution #GC18-0515-18. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes- 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Approval of Revised Gaming Commission Regulation – Chapter 7 – Gaming Employee Licensing

Action: D. Corey made a motion to table. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes- 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

c) Approval of Revised Gaming Commission Regulation – Chapter 15 – Certification of Rehabilitation

Action: D. Corey made a motion to approve Gaming Commission Resolution #GC18-0515-19 approval of revised Gaming Commission Regulation #R400-04:GC-15 – Chapter 15 – Certification of Rehabilitation. **Seconded by D. Davis**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 3-Yes- 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Discussion Period:

None

Next Gaming Commission Meeting

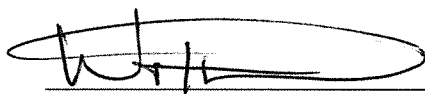
a) May 22, 2018 at 6:00 p.m.

Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 6:01 p.m. **Seconded by B. Willis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Bill Willis, Secretary

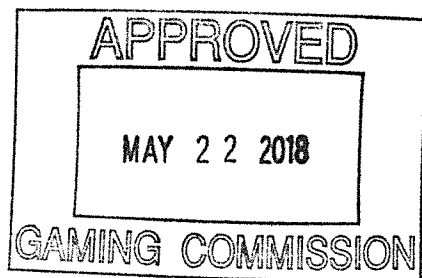
5/22/18
Date

Items moved to Open Session:

a) Ainsworth Gaming Technology, Inc.

Action: D. Corey made a motion to approve the Gaming Vendor License renewal application for Ainsworth Gaming Technology, Inc. effective date of May 21, 2018 – license expiration date of May 21, 2019. **Seconded by B. Willis**

Roll Call: D. Davis – Yes / D. Corey – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**





Little River Band of Ottawa Indians

Gaming Commission

2840 Orchard Highway Suite "A"

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0501- 17

APPROVAL OF REVISED GAMING COMMISSION SURVEILLANCE DEPARTMENT PROCEDURES

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

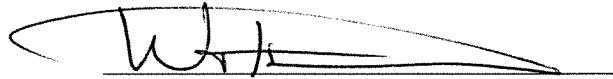
WHEREAS, the Gaming Commission Surveillance Department Procedures were approved by the Gaming Commission on March 27, 2018 and a revision is needed based on practice of the resort.

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves the revised Gaming Commission Surveillance Department Procedures.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on May 1, 2018 by a vote of 3 in favor, 6 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:


Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission
2840 Orchard Hwy., Suite "A"
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0515-18

APPROVAL OF REVISED GAMING COMMISSION REGULATION #R400-04:GC-03 CHAPTER 3 – NON-GAMING EMPLOYEE LICENSING REGULATION

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, all Non-Gaming Employee Licensing reference was removed from Gaming Commission Regulation – Chapter 7 – Employee Licensing and was introduced as a stand-alone regulation in Gaming Commission Regulation #R400-04:GC-03 – Chapter 3 – Non-Gaming Employee Licensing which was approved by the Gaming Commission on March 13, 2018 and accepted by Tribal Council on April 25, 2018; and

WHEREAS, a recent review revealed a couple minor errors and inconsistencies that needed to be corrected.

NOW THEREFORE IT IS RESOLVED THAT Gaming Commission Regulation #400-04:GC-03 – Chapter 3 – Non-Gaming Employee Licensing Regulation be effective and forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b).

Certificate of Adoption

I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on March 15, 2018 by a vote of 3 in favor, ~~0~~ opposed, and ~~0~~ abstentions and ~~0~~ absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission
2840 Orchard Highway, Suite 'A'
Manistee, MI 49660-0337
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC18-0515-19

APPROVAL OF REVISED GAMING COMMISSION REGULATION #R400-04:GC-15 CHAPTER 15 – CERTIFICATE OF REHABILITATION REGULATION

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, the recent approval/adoption of the Gaming Ordinance #10-400-01 and the Rehabilitation for Licensure Ordinance (approved by Tribal Council on January 21, 2015 – Tribal Council Resolution #15-0121-013) requires the Gaming Commission to make revisions to Gaming Commission Regulation – Chapter 15 – Certificate of Rehabilitation to bring in into compliance with the Gaming Ordinance and Rehabilitation for Licensure Ordinance.

Gaming Commission Resolution #GC18-0515-19
Approval of Revised Gaming Commission Regulation #R400-04:GC-15
Chapter 15 – Certificate of Rehabilitation Regulation
Page Two

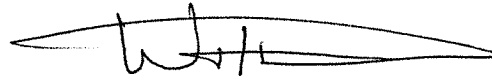
NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves the revised Gaming Commission Regulation #400-04:GC-15 Chapter 15 – Certificate of Rehabilitation Regulation; and

IT IS FURTHER RESOLVED THAT Gaming Commission Regulation – Chapter 15 – Certificate of Rehabilitation Regulation be forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance #04-400-04 – Section 6.04 (b).

Certificate of Adoption

I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this Resolution was adopted on May 15, 2018 by a vote of 3 in favor, 0 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755

GAMING COMMISSION ORDER #GC18-0501-05

**GRANTING ONE-TIME EXEMPTION TO
PC CONNECTION SALES CORPORATION D/B/A CONNECTION
FROM VENDOR LICENSING REQUIREMENTS**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.01 of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate rules and regulations as may be necessary to interpret and implement the NIGC and Tribal Council approved Gaming Ordinance #10-400-01 and to establish regulatory systems to effectuate the powers granted by ordinances of the Tribe and other duties imposed by applicable law; and

Gaming Commission Order #GC18-0501-⁰⁵
Granting One-Time Exemption to PC Connection Sales Corporation
d/b/a Connection from Vendor Licensing Requirements
Page Two

WHEREAS, the Gaming Ordinance – Article 8 – Section 8.04 states that no vendor shall contract with any gaming enterprise within the jurisdiction of the Tribe unless licensed by the regulatory agency in accordance with Article 11; and

WHEREAS, Chapter 6 – Section 3-1 of the Tribal Council approved Gaming Commission regulations states that every person supplying any gaming equipment or supplies, gaming service, or other goods and services to a gaming enterprise must obtain a Gaming Vendor License or a Non-Gaming Vendor License unless exempted from licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 6 – Section 3-2 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 6 – Section 3-3 of the Tribal Council approved Gaming Commission regulations states that prior to the purchase of any gaming equipment or supplies, gaming service, or other goods and services from any person, the gaming enterprise shall determine if such person possesses or is required to possess, a Gaming Vendor License or Non-Gaming Vendor License under these regulations and forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the equipment, supplies or services to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed; and

WHEREAS, Chapter 6 – Section 3-4 (c) of the Tribal Council approved Gaming Commission regulations states that the Gaming Commission may grant special exemptions from vendor licensing requirements in certain fields providing professional services or unique services under circumstances where the Gaming Commission determines that licensing of the vendor is not necessary to protect the public interest or to accomplish the policies or purposes of the Gaming Ordinance; and

WHEREAS, Chapter 6 – Section 3-6 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempted by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

Gaming Commission Order #GC18-0501- CB
Granting One-Time Exemption to PC Connection Sales Corporation
d/b/a Connection from Vendor Licensing Requirements
Page Three

WHEREAS, Chapter 6 – Section 10-1 of the Tribal Council approved Gaming Commission regulation states that the gaming enterprise's General Manager shall be responsible for developing and administering an investigative program providing for the investigation of all Service Vendors doing business with such gaming enterprise; and

WHEREAS, Chapter 6 – Section 10-3 of the Tribal Council approved Gaming Commission regulation states the Service Vendor Program shall include the requirement of the gaming enterprise to provide the Gaming Commission with advance notice if they have reason to believe that the sales to the gaming enterprise by a Service Vendor will reach or exceed \$50,000 during the current licensing period. Such notice must describe the circumstances warranting the increased volume of business, and if appropriate, under the circumstances, a requires for exemption from the Non-Gaming Vendor licensing requirements. The Gaming Commission may deem it appropriate to exempt a Service Vendor from the Non-Gaming licensing requirement if the gaming enterprise demonstrates the following circumstances apply: (1) The amount of good or services purchased in excess of \$50,000 during the licensing period is a result of unforeseen or unusual circumstances; and (2) The Gaming Commission determines that the vendor qualifies for exemption from licensing under Section 3-4 of Chapter 6; and

WHEREAS, the gaming enterprise has requested an exemption from the licensing requirements for PC Connection Sales Corporation d/b/a Connection as it has reason to believe it will exceed the Service Vendor threshold with the purchases of about 330 new televisions and brackets for hotel rooms.

NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that PC Connection Sales Corporation d/b/a Connection shall be issued a one-time exemption from the vendor licensing requirements strictly for the one-time purchase of 330 televisions (and brackets) for the hotel. This one-time exemption will expire after the completion of the purchase or December 31, 2018 whichever comes first. This exemption is valid once the Gaming Commission is in receipt of the Conflict of Interest Disclosure form between the Little River Casino Resort and the vendor per Chapter 6 – Vendor Licensing Regulation – Section 3-6; and

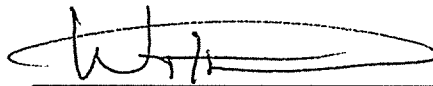
AND IT IS FURTHER ORDERED that Gaming Commission Order #GC18-0403-04 exempting PC Connection, LLC on April 3, 2018 is hereby rescinded due to incorrect information being provided from the Resort to the Gaming Commission.

Gaming Commission Order #GC18-0501- 05
Granting One-Time Exemption to PC Connection Sales Corporation
d/b/a Connection from Vendor Licensing Requirements
Page Four

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on May 1, 2018 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 0 absent. This order has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Little River Casino Resort
Tribal Council Recorder
PC Connection, Sales Corporation d/b/a Connection



Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755

GAMING COMMISSION ORDER #GC18-0508-06

**GRANTING EXEMPTION TO
FIRST NON PROFIT COMPANIES, INC.
FROM VENDOR LICENSING REQUIREMENTS**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.01 of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate rules and regulations as may be necessary to interpret and implement the NIGC and Tribal Council approved Gaming Ordinance #10-400-01 and to establish regulatory systems to effectuate the powers granted by ordinances of the Tribe and other duties imposed by applicable law; and

WHEREAS, Chapter 19 – Section 3-1 of the Gaming Commission regulations states that every person supplying any goods, services, and/or concessions to any gaming enterprise must obtain a Non-Gaming Vendor License unless exempt from licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 19 – Section 3-2 of the Gaming Commission regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 19 – Section 3-3 of the Gaming Commission regulations states that prior to the purchase of any goods, services, and/or concessions the gaming enterprise shall forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the goods, services, and/or concessions to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed by the Gaming Commission.; and

WHEREAS, Chapter 19 – Section 3-4 (a) of the Gaming Commission regulations states that the Gaming Commission at its sole discretion, may exempt persons (or businesses) from vendor licensing requirements in certain highly-regulated fields; and

WHEREAS, Chapter 19 – Section 3-6 of the Gaming Commission regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempted by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

WHEREAS, the Commission finds that First Non Profit Companies, Inc. is an insurance administrator providing professional services in a highly regulated field; and

WHEREAS, the gaming enterprise has requested an exemption from the licensing requirements for First Non Profit Companies, Inc. for purposes of providing insurance services to the gaming enterprise.

NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that First Non Profit Companies, Inc. is hereby exempt from the vendor licensing requirements. This exemption is valid once the Gaming Commission is in receipt of the Conflict of Interest Disclosure form between the Little River Casino Resort and the vendor in accordance with Chapter 19 – Non-Gaming Vendor Licensing Regulation – Section 3-6.

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on May 8, 2018 by a vote of 2 in favor, 0 opposed, and 0 abstentions and 1 absent. This order has not been amended or rescinded in any way.


Bill Willis, Secretary

Distribution: Commission Records
Little River Casino Resort
Tribal Council Recorder
First Non Profit Companies, Inc.



Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755

GAMING COMMISSION ORDER #GC18-0524-07

**GRANTING ONE-TIME EXEMPTION TO
TEAM ELMER'S FROM VENDOR LICENSING REQUIREMENTS**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities conducted on the Tribe's reservation and that such activities be conducted in conformance with the Tribal-State Compact, Gaming Ordinance, Gaming Commission Ordinance, federal, state, and applicable Tribal law, and any regulations promulgated by the Gaming Commission; and

WHEREAS, in Section 6.04 of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate rules and regulations as may be necessary to carry out the orderly performance of its duties and powers which shall include; and

Gaming Commission Order #GC18-0524-07
Granting One-Time Exemption to Team Elmer's
from Vendor Licensing Requirements
Page Two

WHEREAS, Chapter 19 – Section 3-1 of the Gaming Commission approved regulations states that every person supplying any goods, services, and/or concessions to any gaming enterprise must obtain a Non-Gaming Vendor License unless exempt from licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 19 – Section 3-2 of the Gaming Commission approved regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 19 – Section 3-3 of the Gaming Commission approved regulations states that prior to the purchase of any goods, services, and/or concessions the gaming enterprise shall forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the goods, services, and/or concessions to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed by the Gaming Commission; and

WHEREAS, Chapter 19 – Section 3-4 (b) of the Gaming Commission approved regulations states that the Gaming Commission may grant special exemptions from vendor licensing requirements for persons or businesses providing professional services or unique services. Persons or businesses that may qualify for special exemptions are those in the following categories: persons or businesses from which the gaming enterprise purchases advertising (billboard companies, trade magazines and newspapers); advertising agencies providing artistic or creative services; and persons or businesses making isolated (single item/event) sales or purchases of goods or services that do not impact gaming activity; and

WHEREAS, Chapter 19 – Section 3-5 of the Gaming Commission approved regulations states that the gaming enterprise must submit a request for exemption from the licensing requirements, including documentation supporting the vendor is highly regulated or supporting documentation how the vendor qualifies for a special exemption. The gaming enterprise shall forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the goods, services, and/or concessions to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor; and

WHEREAS, Chapter 19 – Section 3-6 of the Gaming Commission approved regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempted by the Gaming Commission. Vendors granted an

exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

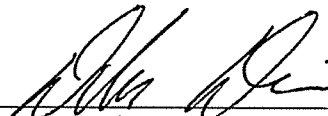
WHEREAS, the gaming enterprise has requested an one-time exemption from the vendor licensing requirements for Team Elmer's for purposes of paving and repairing parking lots, driveways, and curbs surrounding the Little River Casino Resort.

NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that Team Elmer's shall be granted a one-time exemption from the vendor licensing requirements strictly for purposes of paving and repairing the parking lots, driveways, and curbs surrounding the Little River Casino Resort and this one-time exemption will expire at the completion of the project or December 31, 2019, whichever comes first. This exemption becomes effective once the Gaming Commission is in receipt of the Conflict of Interest Disclosure form between the Little River Casino Resort and the vendor per Chapter 19 – Non-Gaming Vendor Licensing Regulation – Section 3-5.

Certificate of Adoption

I, Debra Davis, Acting Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on May 24, 2018 by a vote of 2 in favor, 0 opposed, and 0 abstentions and / absent. This order has not been amended or rescinded in any way.

Attest:



Debra Davis, Acting Secretary

Distribution: Commission Records
 Little River Casino Resort
 Tribal Council Recorder
 Team Elmer's