



**Little River Band of Ottawa Indians
Gaming Commission**

2840 Orchard Highway, Suite A
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of May 2019

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Bill Willis, Chairperson
Debra Davis, Secretary
Mark Jespersen, Commissioner
Steve Parsons, Commissioner
Alyce Giltz, Commissioner

II. Activities during the Past Month:

During the month of May the Gaming Commission:
- held three regular meetings

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. April 23, 2019
2. April 30, 2019
3. May 14, 2019
4. May 21, 2019

Resolutions:

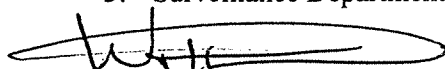
1. Gaming Commission Resolution #19-0514-12 Approval of Revised Gaming Commission Regulation #R400-04:GC-15 Chapter 15 – Certificate of Rehabilitation
2. Gaming Commission Resolution #19-0521-13 Approval of Revised Gaming Commission Regulation #R400-04:GC-11 Chapter 11 – Class III Minimum Internal Control Standards for Gaming Enterprises of the Little River Band of Ottawa Indians

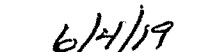
Commission Orders:

Other:

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department


Chairperson


Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

April 23, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:47 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Alyce Giltz, Bill Willis, Mark Jespersen (absent), Debra Davis, Steve Parsons

Commission Staff: Kelly Maser

Others:

Approval of Open Session Agenda:

a) April 23, 2019

Action: B. Willis made a motion to approve the Open Session Agenda for April 23, 2019 with the addition of New Business Item (c) – cancellation of the Gaming Commission Meeting scheduled for May 7, 2019. **Seconded by S. Parsons**

Roll Call: M. Jespersen – Absent / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) April 16, 2019

Action: S. Parsons made a motion to approve the Open Session Meeting Minutes for April 16, 2019. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Absent / S. Parsons – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Approval of Revised Gaming Commission Regulation – Chapter 14 – Hearings

Action: S. Parsons made a motion to approve Gaming Commission Resolution #GC19-0423-10 approval of revised Gaming Commission Regulation #R400-04:GC-14 – Chapter 14 – Hearings. **Seconded by B. Willis**

Roll Call: D. Davis – Yes / S. Parsons – Yes / B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Absent / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Approval of Revised Gaming Commission Regulation – Chapter 15 – Certificate of Rehabilitation

Action: B. Willis made a motion to postpone until the May 14, 2019 meeting. **Seconded by S. Parsons**

Roll Call: D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / M. Jespersen – Absent / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

c) Approval of the Cancellation of the May 7, 2019 Gaming Commission Meeting

Action: S. Parsons made a motion to approve Gaming Commission Resolution #GC19-0423-11 cancellation of the May 7, 2019 Gaming Commission Meeting. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Absent / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Discussion Period:

No attendees from the gaming enterprise
Mark Jespersen is absent due to family matter
NIGC Site Visit follow up letter– no findings (Kelly)
Gaming Commission By-Laws approved (Bill)

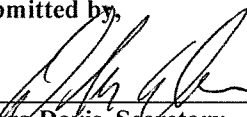
Next Gaming Commission Meeting

a) May 14, 2019 at 5:30 p.m.

Adjournment of Open Session:

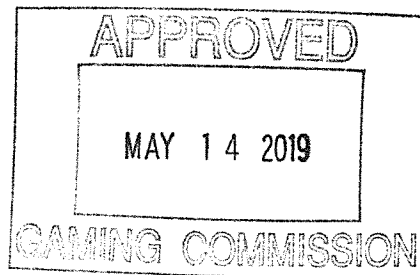
Action: B. Willis made a motion to adjourn the Open Session at 6:03 p.m. Seconded by D. Davis
Roll Call: S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / M. Jespersen – Absent / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

5-14-19
Date

Items moved to Open Session:



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

May 14, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 6:08 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Alyce Giltz, Mark Jespersen, Debra Davis, Bill Willis

Commission Staff: Kelly Maser

Others: Rebecca Liebing, Lee Iverson

Approval of Open Session Agenda:

a) May 14, 2019

Action: B. Willis made a motion to approve the Open Session Agenda for May 14, 2019. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) April 23, 2019

Action: D. Davis made a motion to approve the Open Session Meeting Minutes for April 23, 2019. **Seconded by S. Parsons**

Roll Call: M. Jespersen – Yes / A. Giltz – Yes / B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

a) Approval of Revised Gaming Commission Regulation – Chapter 15 – Certificate of Rehabilitation

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC19-0514-12 approval of revised Gaming Commission Regulation #R400-04:GC-15 – Chapter 15 – Certificate of Rehabilitation. **Seconded by S. Parsons**

Roll Call: D. Davis – Yes / S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

New Business:

a) Approval of Revised Primary Management Official (PMO) Employee License Application

Action: D. Davis made a motion to approve the Primary Management Official Employee License Application as amended. **Seconded by M. Jespersen**

Roll Call: B. Willis – Yes / D. Davis – Yes / S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Approval of Revised Key/Non-Gaming Employee License Application

Action: B. Willis made a motion to approve the revised Key/Non-Gaming Employee License Application as presented. **Seconded by S. Parsons**

Roll Call: S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

c) Approval of Revised Primary Management Official (PMO) Employee License Renewal Application

Action: S. Parsons made a motion to approve the revised Primary Management Official (PMO) Employee License Renewal Application. **Seconded by B. Willis**

Roll Call: M. Jespersen – Yes / A. Giltz – Yes / B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

d) Approval of Revised Key/Non-Gaming Employee License Renewal Application

Action: B. Willis made a motion to approve the revised Key/Non-Gaming Employee License Renewal Application. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Discussion Period:

Executive Directors' Meeting follow-up on topics of discussion (Kelly)
TMICS follow-up (prepared for approval)
Vendor Licensing and Employee Licensing Application(s) revisions



Next Gaming Commission Meeting

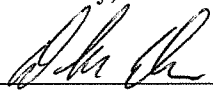
a) May 21, 2019 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 7:11 p.m. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / D. Davis – Yes / M. Jespersen – Yes / B. Willis – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,



Debra Davis, Secretary

5-21-19

Date

Items moved to Open Session:

a) Approval of Vendor License – West Coast Farm Service

Action: B. Willis made a motion to approve a renewal application for a Non-Gaming Vendor License for West Coast Farm Service license effective date June 7, 2019 – license expiration date June 7, 2020. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Approval of Vendor License – Ainsworth Game Technology, Inc.

Action: B. Willis made a motion to approve the renewal application for a Gaming Vendor License for Ainsworth Game Technology, Inc. license effective date May 21, 2019 – license expiration date May 21, 2020. **Seconded by S. Parsons**

Roll Call: A. Giltz – Yes / S. Parsons – Yes / B. Willis – Yes / M. Jespersen – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

d) Approval of Vendor License – Comp Trading, LLC d/b/a MTM Sales

Action: B. Willis made a motion to approve the Initial application for a Non-Gaming Vendor License for Comp Trading, LLC d/b/a MTM Sales license effective date May 14, 2019 – license expiration date May 14, 2020.

Seconded by D. Davis

Roll Call: S. Parsons – Yes / B. Willis – Yes / M. Jespersen – Yes / D. Davis – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

May 21, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:40 p.m. by Acting Chairperson, Debra Davis

Present:

Commissioners: Bill Willis (absent), Debra Davis, Alyce Giltz, Mark Jespersen, Steve Parsons

Commission Staff: Kelly Maser

Others: Lee Iverson, Ron Pete, Joseph Riley

Approval of Open Session Agenda:

a) May 21, 2019

Action: S. Parsons made a motion to approve the Open Session Agenda as submitted. **Seconded by M. Jespersen**
Roll Call: D. Davis – Yes / M. Jespersen – Yes / S. Parsons – Yes / B. Willis – Absent / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) May 14, 2019

Action: M. Jespersen made a motion to approve the minutes for May 14, 2019 Open Session. **Seconded by S. Parsons**

Roll Call: M. Jespersen – Yes / B. Willis – Absent / A. Giltz – Yes / S. Parsons – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Approval of Revised Vendor Corporate Disclosure Form

Action: S. Parsons made a motion to approve the revised Vendor Corporate Disclosure Form. **Seconded by M. Jespersen**

Roll Call: B. Willis – Absent / D. Davis – Yes / M. Jespersen – Yes / A. Giltz – Yes / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Approval of Revised Vendor Corporate Disclosure Renewal Form

Action: S. Parsons made a motion to approve the revised Vendor Corporate Disclosure Renewal Form. **Seconded by M. Jespersen**

Roll Call: S. Parsons – Yes / A. Giltz – Yes / B. Willis – Absent / D. Davis – Yes / M. Jespersen – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

c) Approval of Revised Vendor Personal History Disclosure Form (Gaming)

Action: S. Parsons made a motion to approve the revised Vendor Personal History Disclosure Form (Gaming). **Seconded by M. Jespersen**

Roll Call: A. Giltz – Yes / S. Parsons – Yes / D. Davis – Yes / M. Jespersen – Yes / B. Willis – Absent / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

d) Approval of Revised Vendor Personal History Disclosure Form (Non-Gaming)

Action: S. Parsons made a motion to approve the revised Vendor Personal History Disclosure Form (Non-Gaming).

Seconded by M. Jespersen

Roll Call: D. Davis – Yes / M. Jespersen – Yes / S. Parsons – Yes / B. Willis – Absent / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

e) Approval of Revised Vendor Personal History Disclosure Renewal Form

Action: M. Jespersen made a motion to approve the revised Vendor Personal History Disclosure Renewal Form.

Seconded by S. Parsons

Roll Call: M. Jespersen – Yes / B. Willis – Absent / A. Giltz – Yes / S. Parsons – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Bill Willis arrives at 6:09 p.m.

f) Approval of Revised Gaming Commission Regulation – Chapter 11 – Class III Minimum Internal Control Standards for Gaming Enterprises of the Little River Band of Ottawa Indians

Action: D. Davis made a motion to approve of the revised Gaming Commission Regulation – Chapter 11 – Class III Minimum Internal Control Standards for Gaming Enterprises of the Little River Band of Ottawa Indians – Resolution #GC19-0521-13 approving. **Seconded by** B. Willis

Roll Call: B. Willis – Yes / D. Davis – Yes / M. Jespersen – Yes / A. Giltz – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Discussion Period:

Chapter 10 revisions (Kelly)

Thank you Gaming Commission Executive Director (Facility License and licensing protocol) (Lee)

Next Gaming Commission Meeting

a) May 28, 2019 at 5:30 p.m.

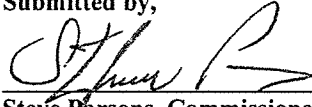
Adjournment of Open Session:

Action: D. Davis made a motion to adjourn the Open Session at 6:31 p.m. **Seconded by** B. Willis

Roll Call: S. Parsons – Yes / A. Giltz – Yes / B. Willis – Yes / D. Davis – Yes / M. Jespersen – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



Submitted by,


Steve Parsons, Commissioner

6-5-19
Date

Items moved to Open Session:

a) Approval of Vendor License – Interblock USA L.C.

Action: B. Willis made a motion to approve a Gaming Vendor Renewal Application for Interblock USA L.C. license effective date July 25, 2019 – license expiration date July 25, 2020. **Seconded by** D. Davis

Roll Call: D. Davis – Yes / A. Giltz – Yes / B. Willis – Yes / S. Parsons – Yes / M. Jespersen – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Approval of Vendor License – William Roam, LLC

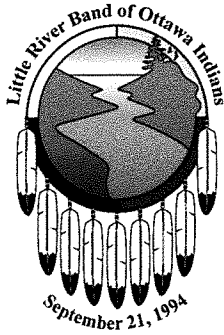
Action: B. Willis made a motion to approve the Non-Gaming Vendor Renewal Application for William Roam, LLC license effective date June 28, 2019 – license expiration date June 28, 2020. **Seconded by** S. Parsons

Roll Call: A. Giltz – Yes / S. Parsons – Yes / M. Jespersen – Yes / B. Willis – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

c) Approval of Vendor License – Allen Audio Systems, LLC

Action: B. Willis made a motion to approve the Non-Gaming Vendor Renewal Application for Allen Audio Systems, LLC license effective date July 1, 2019 – license expiration date July 1, 2020. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



Gaming Commission
2840 Orchard Hwy., Suite "A"
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC19-0514-12

**APPROVAL OF REVISED GAMING COMMISSION REGULATION #R400-04:GC-15
CHAPTER 15 – CERTIFICATE OF REHABILITATION**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, Gaming Commission Regulation #R400-04:GC-015 Chapter 15 – Certificate of Rehabilitation was approved by the Gaming Commission on May 15, 2018 and revisions were necessary due to the recent revisions to the Rehabilitation for Licensure Ordinance #11-400-10.

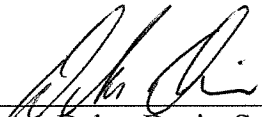
Gaming Commission Resolution #GC19-0514-12
Approval of Revised Gaming Commission Regulation #R400-04:GC-15
Chapter 15 – Certificate of Rehabilitation
Page Two

NOW THEREFORE IT IS RESOLVED THAT Gaming Commission Regulation #R400-04:GC-15 – Chapter 15 – Certificate of Rehabilitation be effective and forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b).

Certificate of Adoption

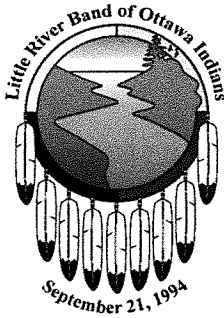
I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on May 14, 2019 by a vote of 5 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission

2840 Orchard Hwy., Suite "A"

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC19-0521-13

APPROVAL OF REVISED GAMING COMMISSION REGULATION #R400-04:GC-11 CHAPTER 11 – CLASS III MINIMUM INTERNAL CONTROL STANDARDS FOR GAMING ENTERPRISES OF THE LITTLE RIVER BAND OF OTTAWA INDIANS

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, Gaming Commission Regulation #R400-04:GC-11 – Chapter 11 – Class III Minimum Internal Control Standards for Gaming Enterprises of the Little River Band of Ottawa Indians was last approved by the Gaming Commission on March 12, 2019 and after the approval date the Little River Casino Resort provided the Gaming Commission written questions and/or comments as it relates to the revisions; in whereas the Gaming Commission deemed it necessary to make further revisions.

Gaming Commission Resolution #GC19-0521-13
Approval of Revised Gaming Commission Regulation #R400-04:GC-11
Chapter 11 – Class III Minimum Internal Control Standards for the
Gaming Enterprises of the Little River Band of Ottawa Indians
Page Two

NOW THEREFORE IT IS RESOLVED THAT Gaming Commission Regulation #R400-04:GC-11 – Chapter 11 – be forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b) and shall be effective one hundred and sixty (160) calendar days from that acceptance date.

Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on May 21, 2019 by a vote of 5 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:


Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians Gaming Commission

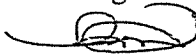
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Memo

Aánípiish Epiidek (To): Little River Band of Ottawa Indians Gaming Commission

Binjibidek (From): Kelly Maser, Executive Director 

Maánda Naásaap (cc):

Naángwa (Date): June 1, 2019

Maánda Nji (Re): Administrative and Licensing – May 2019 Monthly Report

- 135 licenses processed – initial applications and renewals (Gaming and Non-Gaming)
- 106 applications/files/investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 29 interviews conducted for new employee license applicants
- 11 sets of fingerprints submitted
- National Indian Gaming Commission (NIGC) Notices (Executive Director)
 - 8 Notice of Results (NOR) prepared and processed (unable to send due to technical issue at the NIGC)
 - 3 Licensing Determinations prepared and processed (unable to send due to technical issues at NIGC)
 - 3 Investigative Reports prepared and processed
- 1 Show Cause Hearing prepared and presented at hearing (Executive Director)
- 2 Gaming Commissioner background investigations prepared and processed (Executive Director)
- No patron complaints received. (Executive Director)
- 8 Vendor licenses processed (Gaming and Non-Gaming)
- 2 Applications sent to prospective new vendors (Non-Gaming)
- 6 Renewal applications sent to vendors (Gaming and Non-Gaming)
- Non-Gaming Vendor License Applications received and reviewed
 - 3 Corporate History Disclosure Forms
 - 3 Personal History Disclosure Forms
- Gaming Vendor License Applications received and reviewed
 - 2 Corporate History Disclosure Forms
 - 4 Personal History Disclosure Forms
- 12 investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 8 Gaming Commission meeting agendas prepared

- 1 Gaming Commission monthly report compiled and submitted to Tribal Council
- 1 Tribal Council resolutions drafted
- 1 Tribal Council agenda submissions processed
- 1 Raffle licenses processed