



## Little River Band of Ottawa Indians Gaming Commission

### Gaming Commission Open Session Report for the Month of May 2022

#### I. Officers

Bill Willis, Chairperson  
Debra Davis, Secretary  
Mark Jespersen, Commissioner  
Steve Parsons, Commissioner  
Alyce Giltz, Commissioner

#### II. Activities for the month of May 2022:

1. Regular meeting held on May 3, 2022
2. Regular meeting held on May 10, 2022
3. Regular meeting held on May 17, 2022
4. Regular meeting held on May 24, 2022

#### III. Concerns/Requests/Comments:

#### IV. Vendors Approved:

1. Gaming Vendor – Sightline Payments, LLC d/b/a Joingo
2. Gaming Vendor – Ainsworth Game Technology, Inc.
3. Gaming Vendor – Agilysys NV, LLC
4. Gaming Vendor – Halifax Security, Inc.
5. Gaming Vendor – NRT Technologies, Inc.

#### V. Attachments (the following documents are attached to this report):

##### Minutes:

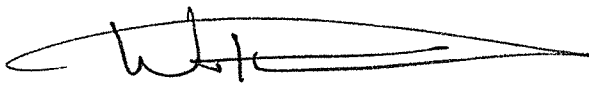
1. April 26, 2022
2. May 3, 2022
3. May 10, 2022
4. May 17, 2022

##### Resolutions:

1. Gaming Commission Resolution #GC22-0524-04 – Approval of Filling of Vacancies within the Surveillance Department

##### Orders:

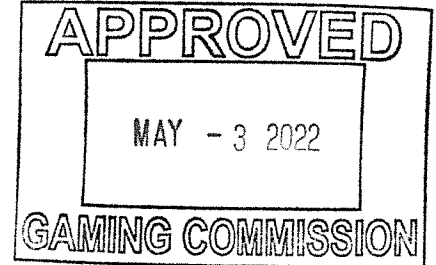
1. Gaming Commission Order #GC22-0503-06 – Granting Exemption to Premier Caulking, Inc.

  
\_\_\_\_\_  
Chairperson

  
\_\_\_\_\_  
Date

**LITTLE RIVER BAND OF OTTAWA INDIANS  
GAMING COMMISSION MEETING  
OPEN SESSION MEETING  
April 26, 2022**

**Gaming Commission Conference Room  
2840 Orchard Highway, Suite A  
Manistee, MI 49660**



**Meeting called to order at 5:31 p.m. by Chairperson, Bill Willis**

**Present:**

**Commissioners:** Steve Parsons, Alyce Giltz, Bill Willis, Mark Jespersen (absent), Debra Davis (absent)  
**Commission Staff:** Kelly Maser, Erford Edmondson  
**Others:** Joseph Riley

**Approval of Open Session Agenda:**

**a) April 26, 2022**

**Action:** B. Willis made a motion to approve the Open Session Agenda for April 26, 2022. **Seconded by S. Parsons**  
**Roll Call:** B. Willis – Yes / M. Jespersen – Absent / D. Davis – Absent / S. Parsons – Yes / A. Giltz – Yes / 3-Yes,  
0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**

**Approval of Open Session Minutes:**

**a) April 19, 2022**

**Action:** B. Willis made a motion to approve the Gaming Commission Open Session Meeting Minutes for April 19, 2022. **Seconded by S. Parsons**  
**Roll Call:** D. Davis – Absent / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Absent / S. Parsons – Yes / 3-Yes,  
0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**

**Old Business:**

**None**

**New Business:**

**None**

**Discussion Period:**

**a) Gaming enterprise actively working on the Annual Report**

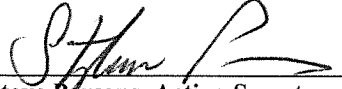
**Next Gaming Commission Meeting**

**a) May 3, 2022 at 5:30 p.m.**

**Adjournment of Open Session:**

**Action:** B. Willis made a motion to adjourn Open Session at 5:35 p.m. **Seconded by S. Parsons**  
**Roll Call:** S. Parsons – Yes / B. Willis – Yes / D. Davis – Absent / A. Giltz – Yes / M. Jespersen – Absent / 3-Yes,  
0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**

Submitted by,

  
Steve Parsons, Acting Secretary

5-4-22  
Date

**Items moved to Open Session:**

**a) Approval of Gaming Vendor License – JCM American Corporation**

**Action:** B. Willis made a motion the approve the renewal application for Gaming Vendor JCM American Corporation – with license effective date May 6, 2022 – license expiration date May 6, 2023. **Seconded by D. Davis**

**Roll Call:** M. Jespersen – Absent / S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

**b) Approval of Gaming Vendor License – SCA Entertainment, LP**

**Action:** B. Willis made a motion the approve the renewal application for Gaming Vendor SCA Entertainment, LP – with license effective date May 8, 2022 – license expiration date May 8, 2023. **Seconded by S. Parsons**

**Roll Call:** A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / S. Parsons – Yes / M. Jespersen – Absent / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

**c) Approval of Gaming Vendor License – Data Financial, Inc.**

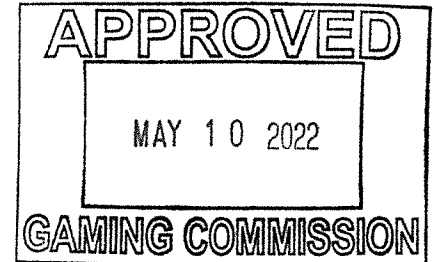
**Action:** B. Willis made a motion the approve the renewal application for Gaming Vendor License for Data Financial, Inc. – with license effective date August 23, 2022 – license expiration date August 23, 2023. **Seconded by S. Parsons**

**Roll Call:** B. Willis – Yes / M. Jespersen – Absent / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS  
GAMING COMMISSION MEETING  
OPEN SESSION MEETING  
May 3, 2022**

Gaming Commission Conference Room  
2840 Orchard Highway, Suite A  
Manistee, MI 49660



Meeting called to order at 5:32 p.m. by Chairperson, Bill Willis

**Present:**

**Commissioners:** Steve Parsons, Alyce Giltz, Bill Willis, Mark Jespersen, Debra Davis (absent)  
**Commission Staff:** Kelly Maser  
**Others:** Lee Iverson, Michael Burneister

**Approval of Open Session Agenda:**

**a) May 3, 2022**

**Action:** B. Willis made a motion to approve the Open Session Agenda for May 3, 2022. **Seconded by M. Jespersen**  
**Roll Call:** B. Willis – Yes / M. Jespersen – Yes / D. Davis – Absent / S. Parsons – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

**Approval of Open Session Minutes:**

**a) April 26, 2022**

**Action:** S. Parsons made a motion to approve the Open Session Meeting Minutes for April 26, 2022. **Seconded by B. Willis**  
**Roll Call:** D. Davis – Absent / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Abstain / S. Parsons – Yes / 3-Yes, 0-No, 1-Abstain, 1-Absent. **MOTION CARRIED**

**Old Business:**

None

**New Business:**

None

**Discussion Period:**

- a) Reinstatement (vendors) fees and fines
- b) Processing vendor licensing application(s) concerns and obstacles

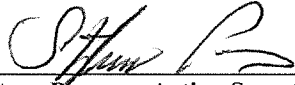
**Next Gaming Commission Meeting**

- a) May 10, 2022 at 5:30 p.m.

**Adjournment of Open Session:**

**Action:** B. Willis made a motion to adjourn Open Session at 5:48 p.m. **Seconded by M. Jespersen**  
**Roll Call:** S. Parsons – Yes / B. Willis – Yes / D. Davis – Absent / A. Giltz – Yes / M. Jespersen – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,

  
Steve Parsons, Acting Secretary

5-11-22  
Date

**Items moved to Open Session:**

**a) Approval of Gaming Vendor License – Sightline Payments, LLC d/b/a Joingo**

**Action:** B. Willis made a motion the approve the renewal application for Gaming Vendor license for Sightline Payments, LLC d/b/a Joingo – license effective date August 10, 2022 – license expiration date August 20, 2023.

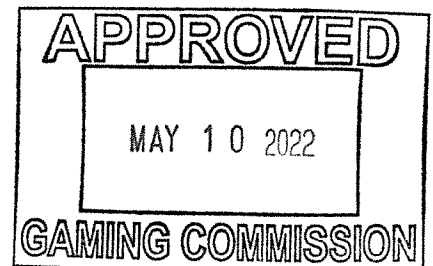
**Seconded by M. Jespersen**

**Roll Call:** S. Parsons – Yes / A. Giltz – Yes / D. Davis – Absent / M. Jespersen – Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

**a) Vendor Exemption Request – Premier Caulking, Inc.**

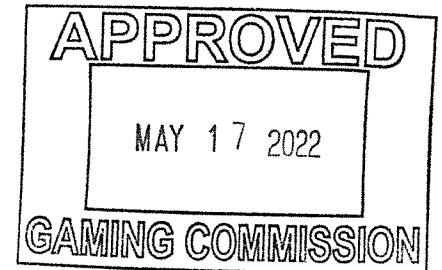
**Action:** B. Willis made a motion for approve Gaming Commission Order #GC22-0503-06 granting a one-time exemption to Premier Caulking, Inc. from vendor licensing requirements. **Seconded. M. Jespersen**

**Roll Call:** B. Willis – Yes / M. Jespersen – Yes / D. Davis – Absent / S. Parsons – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS  
GAMING COMMISSION MEETING  
OPEN SESSION MEETING  
May 10, 2022**

Gaming Commission Conference Room  
2840 Orchard Highway, Suite A  
Manistee, MI 49660



Meeting called to order at 5:32 p.m. by Chairperson, Bill Willis

**Present:**

**Commissioners:** Steve Parsons, Alyce Giltz, Bill Willis, Mark Jespersen, Debra Davis

**Commission Staff:** Kelly Maser, Connie Lindsay, Andrew Hurford

**Others:** Lee Iverson, Natasha Myhal, Julie Wolfe, Pam Johnson

**Approval of Open Session Agenda:**

**a) May 10, 2022**

**Action:** B. Willis made a motion to approve the Open Session Meeting Agenda for May 10, 2022. **Seconded by M. Jespersen**

**Roll Call:** B. Willis – Yes / M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

**Approval of Open Session Minutes:**

**a) May 3, 2022**

**Action:** M. Jespersen made a motion to approve the Open Session Meeting Minutes for May 3, 2022. **Seconded by B. Willis**

**Roll Call:** D. Davis – Yes / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

**Old Business:**

None

**New Business:**

None

**Discussion Period:**

- a) Presentation Natasha Myhal (graduate student)**
- b) Request from gaming enterprise regarding remote work and licensing**
- c) Request from gaming enterprise regarding vendor offering free play while having a fantasy contest platform**
- d) Licensing – Gaming Commission requests any measures/actions of the gaming enterprise to counteract fines/warning letters for violations of regulations/laws be done in a manner that does not put into question the integrity of the Gaming Commission regarding the safeguard of licensee confidential information.**

**Next Gaming Commission Meeting**

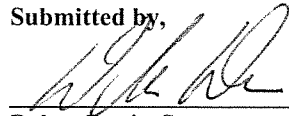
- a) May 17, 2022 at 5:30 p.m.**

**Adjournment of Open Session:**

**Action:** B. Willis made a motion to adjourn Open Session at 6:24 p.m. **Seconded by A. Giltz**

**Roll Call:** S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,



Debra Davis, Secretary

5-17-22

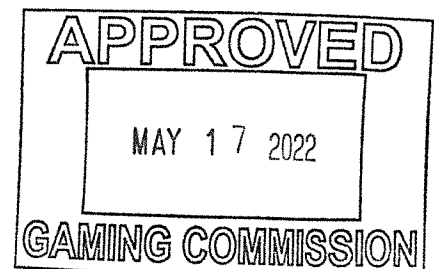
Date

**Items moved to Open Session:**

**a) Approval of Gaming Vendor License – Halifax Security, Inc. d/b/a North American Video**

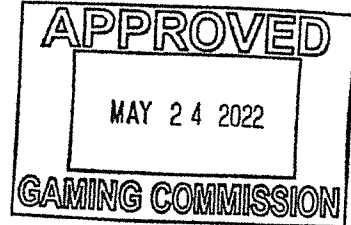
**Action:** B. Willis made a motion to approve the renewal application for Gaming Vendor Halifax Security, Inc. d/b/a North American Video – license effective date July 24, 2022 – license expiration date July 24, 2023. **Seconded by A. Giltz**

**Roll Call:** B. Willis – Yes / M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS  
GAMING COMMISSION MEETING  
OPEN SESSION MEETING  
May 17, 2022**

Gaming Commission Conference Room  
2840 Orchard Highway, Suite A  
Manistee, MI 49660



Meeting called to order at 5:32 p.m. by Chairperson, Bill Willis

**Present:**

**Commissioners:** Steve Parsons, Alyce Giltz, Bill Willis, Mark Jespersen, Debra Davis (Arrived at 5:40 p.m.)  
**Commission Staff:** Kelly Maser, Connie Lindsay  
**Others:** Lee Ivinson

**Approval of Open Session Agenda:**

**a) May 17, 2022**

**Action:** B. Willis made a motion to approve the Open Session Meeting agenda for May 17, 2022. Seconded by M. Jespersen

**Roll Call:** B. Willis – Yes / M. Jespersen – Yes / D. Davis – Absent / S. Parsons – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Absent, 1-Absent. **MOTION CARRIED**

**Approval of Open Session Minutes:**

**a) May 10, 2022**

**Action:** B. Willis made a motion to approve the Open Session Meeting Minutes for May 10, 2022. Seconded by M. Jespersen

**Roll Call:** D. Davis – Absent / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Yes / S. Parsons – Yes / 4-Yes, 0-No, 0-Absent, 1-Absent. **MOTION CARRIED**

**Old Business:**

None

**New Business:**

None

**Discussion Period:**

- a) Organizational Development Department of LRRCR – Leadership exchange program with Saint Regis Mohawk Tribe and the Akwesasne Mohawk Casino (questioning licensure requirements)
- b) Expiration licensure notifications – Director of Compliance expressing her and all LRRCR directors' concerns and discontent with Gaming Commission protocol change stating it will cause a "gap in acting effectively"

**Next Gaming Commission Meeting**

- a) May 24, 2022 at 5:30 p.m.

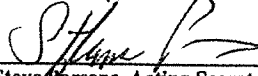


**Adjournment of Open Session:**

**Action:** B. Willis made a motion to adjourn Open Session at 5:54 p.m. Seconded by A. Giltz

**Roll Call:** S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,

  
Steve Parsons, Acting Secretary

5-25-22  
Date

**Items moved to Open Session:**

**a) Approval of Gaming Vendor License – Ainsworth Game Technology, Inc.**

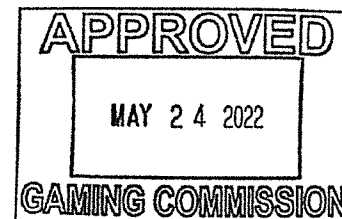
**Action:** B. Willis made a motion to approve the renewal application for a Gaming Vendor License for Ainsworth Game Technology, Inc. – license effective date May 21, 2022 – license expiration date May 21, 2022. Seconded by M. Jespersen

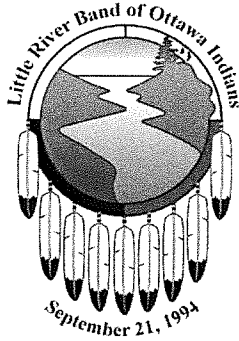
**Roll Call:** M. Jespersen – Yes / S. Parsons – Yes / B. Willis – Yes / A. Giltz – Absent / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

**b) Approval of Gaming Vendor License – Agilysys NV, LLC**

**Action:** B. Willis made a motion to approve the renewal application for the Gaming Vendor License for Agilysys NV, LLC – license effective date July 2, 2022 – license expiration date July 2, 2023. Seconded by D. Davis

**Roll Call:** A. Giltz – Absent / B. Willis – Yes / D. Davis – Yes / S. Parsons – Yes / M. Jespersen – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**





**Little River Band of Ottawa Indians**  
**GAMING COMMISSION**  
2840 Orchard Highway, Suite A  
Manistee MI 49660  
(231) 723-7755

**Gaming Commission Order #GC22-0503-06**

*Granting One-Time Exemption to Premier Caulking, Inc.  
from Vendor Licensing Requirements*

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.04 (a) of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate regulations as may be necessary to carry out the orderly performance of its duties and powers; and

WHEREAS, Chapter 19 – Section 3-1 of the Gaming Commission approved regulations states that every person supplying any goods, services, and/or concessions to any gaming enterprise must obtain a Non-Gaming Vendor License unless exempt from

licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 19 – Section 3-2 of the Gaming Commission approved regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 19 – Section 3-3 of the Gaming Commission approved regulations states that prior to the purchase of any goods, services, and/or concessions from any person, the gaming enterprise shall forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the goods, services, and/or concessions to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed by the Gaming Commission; and

WHEREAS, Chapter 19 – Section 3-4 (a) and (b) of the Gaming Commission approved regulations states that the Gaming Commission, at its sole discretion, may exempt persons (or businesses) from vendor licensing requirements in certain highly regulated fields or may grant special exemptions for persons or businesses providing professional services or unique services to the gaming enterprise that do not impact gaming activity; and

WHEREAS, Chapter 19 – Section 3-6 of the Gaming Commission approved regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempt by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

WHEREAS, Chapter 19 – Section 10-3 (e) of the Gaming Commission approved regulations requires the gaming enterprise to provide advance notice to the Gaming Commission if the gaming enterprise has reason to believe that the sales to the gaming enterprise by a Service Vendor will reach or exceed \$200,00.00 in any calendar year. Such notice shall describe the circumstances warranting the increased volume of business, and, if appropriate under the circumstances, a request for exemption from the licensing requirements. The Gaming Commission may deem it appropriate to exempt a Service Vendor from the licensing requirements if the gaming enterprise demonstrates specific circumstances apply as written; and

Gaming Commission Order #GC22-0503-06  
Granting One-Time Exemption to Premier Caulking, Inc.  
from Vendor Licensing Requirements  
Page Three

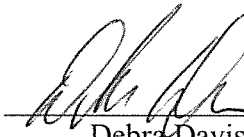
WHEREAS, the gaming enterprise has requested a one-time exemption from the Non-Gaming Vendor licensing requirements for Premier Caulking, Inc. for the purpose of providing services to repair and refresh the exterior of the gaming facility.

NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that Premier Caulking, Inc. is hereby exempt from the Non-Gaming Vendor licensing requirements strictly for the services outlined in the agreement for refreshing and repairing the exterior of the gaming facility and shall not exceed \$800,000. The services provided do not impact gaming activity. The one-time exemption will expire at the conclusion of the project. This exemption is valid once the Gaming Commission is in receipt of the Conflict of Interest Disclosure form between the Little River Casino Resort and Premier Caulking, Inc.

#### **Certificate of Adoption**

I, Debra Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on May 3, 2022 by a vote of 4 in favor, 0 opposed, and 0 abstentions and 1 absent. This order has not been amended or rescinded in any way.

Attest:

  
\_\_\_\_\_  
Debra Davis, Secretary

Distribution:      Commission Records  
                         Little River Casino Resort  
                         Tribal Council Recorder



**Little River Band of Ottawa Indians  
Gaming Commission**

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

**Gaming Commission Resolution #GC22-0524-04**

*Approval of Filling of Vacancies within the Surveillance Department*

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission #04-400-04 created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, Section 6.09 of the Gaming Commission Ordinance permits the Gaming Commission to employ such staff as may be required to fulfill the Gaming Commission responsibilities; and

WHEREAS, Section 6.10 of the Gaming Commission Ordinance the Gaming Commission to submit the Organizational Chart of the Gaming Commission Department to the Tribal Council on an annual basis; and

WHEREAS, Section 6.10 of the Gaming Commission Ordinance requires at a minimum, the organization of the employees of the Commission shall include departments or responsibilities that include surveillance, compliance, and background investigation/licensing; and

Gaming Commission Resolution #GC22-0524-04  
Approval of Filling of Vacancies within the Surveillance  
Department  
Page Two

WHEREAS, the Gaming Commission has determined that it is necessary increase the number of Surveillance Supervisors from two to three and reducing the number of Surveillance Operators by one due to the loss of a seasoned Surveillance Supervisor as well as several seasoned Surveillance Operators to retirement and other job opportunities; and

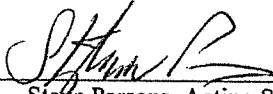
WHEREAS, the Gaming Commission's Surveillance Department's approved budget does support this change in the organizational structure and does not change the organizational chart of the Gaming Commission; and

IT IS FINALLY RESOLVED THAT that the Gaming Commission hereby approves the increase in Surveillance Supervisors from two to three while reducing the Surveillance Operators by one.

#### Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on May 24, 2022 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 2 absent. This resolution has not been amended or rescinded in any way.

Attest:

  
\_\_\_\_\_  
Steve Parsons, Acting Secretary

Distribution: Commission Records  
Tribal Council Liaison