

V.C.



**Little River Band of Ottawa Indians
Gaming Commission**

PO Box 337
Manistee, MI 49660-0337
Tel: (231) 723-7755 • Fax: (231) 723-7788

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Report to Tribal Council for the Month of November 2016

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Dave Corey, Chairperson
Debra Davis, Secretary
Bill Willis, Commissioner
Julie Wolfe, Commissioner

II. Activities during the Past Month:

During the month of November the Gaming Commission:
- held four regular meetings

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. October 25, 2016
2. November 1, 2016
3. November 8, 2016
4. November 15, 2016

Resolutions:

1. Gaming Commission Resolution #GC16-1101-16 Approving the Gaming Commission Meeting Schedule for 2017
2. Gaming Commission Resolution #GC16-1101-17 Approval of a One-Year Extension to the 2016 Contract with the Law Office of Richard G. McGee, LLC
3. Gaming Commission Resolution #GC16-1108-18 Approval of Amendment to the 2007 Agreement with Accurate Background
4. Gaming Commission Resolution #GC16-1108-19 Approval of Crossmatch CMT Maintenance Agreement and Expenditure in the Amount of \$1,052.57

5. Gaming Commission Resolution #GC16-1115-20 Rescinding Gaming Commission Regulation Chapter 13 – Labor Organization Licensing Regulation #R400-04:GC-13
6. Gaming Commission Resolution #GC16-1122-21 Approval of Agreement and Expenditure from IDPoint, Ltd. for IDPoint XID Version 15 – Licensing Software in the Amount of \$35,000, plus Expenses

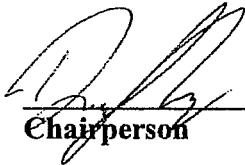
Commission Orders:

Other:

1. Gaming Commission Meeting Schedule for 2017

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department



Chairperson

12-6-16

Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
October 25, 2016
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 5:36 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Dave Corey, Julie Wolfe, Bill Willis, Debra Davis
Commission Staff: Kelly Maser
Others: Rebecca Liebing

Approval of Open Session Agenda:

a) **October 25, 2016**

Action: J. Wolfe made a motion to approve the Open Session Agenda for October 25, 2016. **Seconded by B. Willis**
Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis – Yes / J. Wolfe – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

Approval of Open Session Minutes:

a) **October 18, 2016**

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for October 18, 2016. **Seconded by D. Davis**
Roll Call: J. Wolfe – Yes / D. Davis – Yes / D. Corey – Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

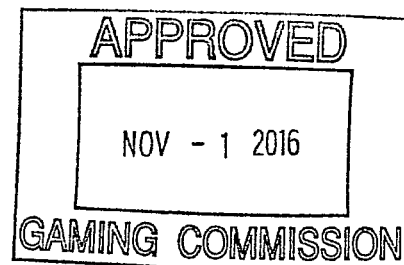
Old Business:
None

New Business:
None

Discussion Period:
Kelly – Gaming Commission Hearing training dialogue

Next Gaming Commission Meeting:

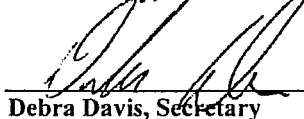
a) **November 1, 2016 at 5:30 p.m.**



Adjournment of Open Session:

Action: D. Corey made a motion to adjourn Open Session meeting at 5:56 p.m. **Seconded by D. Davis**
Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis – Yes / J. Wolfe – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

Submitted by,


Debra Davis, Secretary

11-1-16
Date

Items moved to Open Session:

a) **Data Strategy, LLC**

Action: D. Corey made a motion to approve Non-Gaming Vendor License renewal for Data Strategy, LLC effective December 6, 2016 and expiring December 6, 2017. **Seconded by D. Davis**
Roll Call: B. Willis – Yes / D. Davis – Yes / J. Wolfe – Yes / D. Corey – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
November 1, 2016
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 5:51 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Dave Corey, Julie Wolfe, Bill Willis, Debra Davis
Commission Staff: Kelly Maser
Others: Rebecca Liebing

Approval of Open Session Agenda:

a) November 1, 2016

Action: B. Willis made a motion to approve the Open Session Agenda for November 1, 2016. **Seconded by J. Wolfe**

Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis – Yes / J. Wolfe – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

Approval of Open Session Minutes:

a) October 25, 2016

Action: J. Wolfe made a motion to approve the Open Session Meeting Minutes for October 25, 2016. **Seconded by B. Willis**

Roll Call: J. Wolfe – Yes / D. Davis – Yes / D. Corey – Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

Old Business:

None

New Business:

a) Approval of 2017 Gaming Commission Meeting Schedule

Action: D. Corey made a motion to approve Gaming Commission Resolution #GC16-1101-16 approving the Little River Band of Ottawa Indians Gaming Commission 2017 Meeting Schedule removing March 28, 2017 and July 4, 2017. **Seconded B. Willis**

Roll Call: D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / J. Wolfe – Yes / 4-Yes- 0-no, 0-Abstain, 0-Absent.
MOTION CARRIED

Discussion Period:

Kelly – Software Purchase

Next Gaming Commission Meeting:

a) November 8, 2016 at 5:30 p.m.

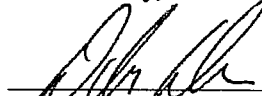
Adjournment of Open Session:

Action: D. Corey made a motion to adjourn Open Session meeting at 6:04 p.m. Seconded by D. Davis

Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis – Yes / J. Wolfe – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.

MOTION CARRIED

Submitted by,


Debra Davis, Secretary

11-8-16
Date

Items moved to Open Session:

a) Prospect Growth Partners, LLC

Action: D. Corey made a motion to approve Gaming Vendor License for Prospect Growth Partners, LLC effective November 1, 2016 and expiring November 1, 2017. Seconded by D. Davis

Roll Call: B. Willis – Yes / D. Davis – Yes / J. Wolfe – No / D. Corey – Yes / 3-Yes, 1-No, 0-Abstain, 0-Absent.

MOTION CARRIED



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

November 8, 2016

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 6:13 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Dave Corey, Julie Wolfe, Bill Willis, Debra Davis

Commission Staff: Kelly Maser

Others: Rebecca Liebing

Approval of Open Session Agenda:

a) November 8, 2016

Action: B. Willis made a motion to approve the Open Session Agenda for November 8, 2016. Seconded by J. Wolfe

Roll Call: D. Davis – Yes / B. Willis – Yes / J. Wolfe – Yes / D. Corey – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.

MOTION CARRIED

Approval of Open Session Minutes:

a) November 1, 2016

Action: D. Corey made a motion to approve the Open Session Meeting Minutes for November 1, 2016. Seconded by J. Wolfe

Roll Call: J. Wolfe – Yes / D. Corey – Yes / D. Davis – Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.

MOTION CARRIED

Old Business:

None

New Business:

None

Discussion Period:

Kelly – Requests for information

Next Gaming Commission Meeting:

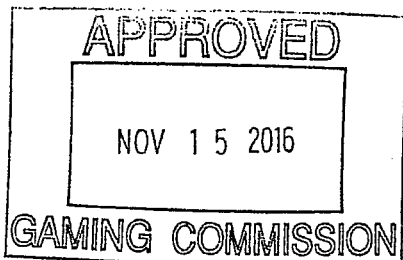
a) November 15, 2016 at 5:30 p.m.

Adjournment of Open Session:

Action: D. Corey made a motion to adjourn Open Session meeting at 6:30 p.m. Seconded by B. Willis

Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Yes / J. Wolfe – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.

MOTION CARRIED



Submitted by,

A handwritten signature in dark ink, appearing to read "Debra Davis".

Debra Davis, Secretary

11-15-16
Date

Items moved to Open Session:

a) Hurst Mechanical

Action: D. Corey made a motion to approve a renewal Non-Gaming Vendor License for Hurst Mechanical effective February 24, 2017 expiring February 24, 2018. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / J. Wolfe – Yes / D. Davis – Yes / D. Corey – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
November 15, 2016
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 5:55 p.m. by Acting Chairperson, Debra Davis

Present:

Commissioners: Julie Wolfe, Bill Willis, Debra Davis, Dave Corey (Absent)

Commission Staff: Kelly Maser

Others: Rebecca Liebing, Lee Iverson

Approval of Open Session Agenda:

a) November 15, 2016

Action: B. Willis made a motion to approve the Open Session Agenda for November 15, 2016 adding New Business (a) and (b). **Seconded by J. Wolfe**

Roll Call: D. Davis – Yes / B. Willis – Yes / J. Wolfe – Yes / D. Corey – Absent / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) November 8, 2016

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for November 8, 2016. **Seconded by J. Wolfe**

Roll Call: J. Wolfe – Yes / D. Corey – Absent / D. Davis – Yes / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Approval to Suspend Enforcement of Gaming Commission Regulation #R400-04:GC-13 – Chapter 13 – Labor Organization Licensing Regulation

Action: D. Davis made a motion to approve suspending enforcement of Gaming Commission Regulation – Chapter 13 – Labor Organization Licensing Regulation until it can be rescinded. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / D. Corey – Absent / J. Wolfe – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Approval to Rescinding Gaming Commission Regulation #R400-04:GC13 – Chapter 13 – Labor Organization Licensing Regulation

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC16-1115-20 rescinding Gaming Commission Regulation Chapter 13 – Labor Organization Licensing Regulations. **Seconded by J. Wolfe**

Roll Call: D. Corey – Absent / B. Willis – Yes / J. Wolfe – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Discussion Period:

Lee – Clarification on Facility License Amendments

Lee – Opening up gaming (the ability to game) to all LRRCR employees (Key and PMO)

Kelly – External Audit

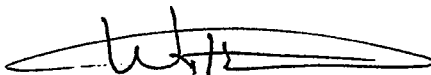
Next Gaming Commission Meeting:

a) November 22, 2016 at 5:30 p.m.

Adjournment of Open Session:

Action: D. Davis made a motion to adjourn the Open Session meeting at 6:06 p.m. Seconded by J. Wolfe
Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Absent / J. Wolfe – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

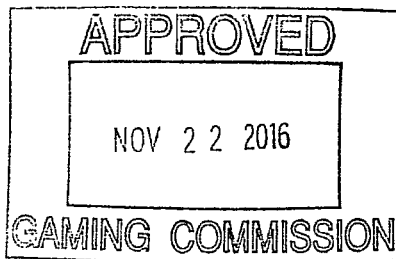
Submitted by,



Bill Willis, Acting Secretary

11/22/16
Date

Items moved to Open Session:





**Little River Band of Ottawa Indians
Gaming Commission**

PO Box 337
Manistee, MI 49660-0337
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC16-1101-16

Approving the Gaming Commission Meeting Schedule for 2017

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance # 02-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, the Gaming Commission By-Laws – Section 4.01 (a) requires the Gaming Commission to hold at least two (2) regular meetings per month and at such other times as may be deemed necessary to perform assigned duties; and

WHEREAS, the Gaming Commission's By-Laws – Section 4.01 (c) requires the Gaming Commission to establish a schedule for its regular meetings for each calendar year by no later than the last regular meeting of the preceding year and requires amendments to the schedule of regular meetings be approved by resolution of the Gaming Commission and that notice be posted in all Tribal government buildings; and

WHEREAS, the 2017 Meeting Schedule has been drafted and is being presented to the Gaming Commission for approval.

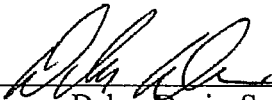
Gaming Commission Resolution #GC16-1101-14
Approving the Gaming Commission Meeting Schedule for 2017
Page Two

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission of the Little River Band of Ottawa Indians hereby approves the 2017 Meeting Schedule.

Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on November 1, 2016 by a vote of 4 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:


Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission

PO Box 337

Manistee, MI 49660-0337

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC16-1101-17

APPROVAL OF A ONE-YEAR EXTENSION TO THE 2016 CONTRACT WITH THE LAW OFFICE OF RICHARD G. MCGEE, LLC

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band of Ottawa Indians and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance # 04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, the Gaming Commission has a need for outside legal counsel to assist the Gaming Commission in drafting regulations, policies and procedures, and to represent the Gaming Commission with matters where there is a perception of a conflict of interest for the Unified Legal Department to provide the same legal assistance or if assistance is requested by the Gaming Commission after consulting with the Tribe's Unified Legal Department; and

Gaming Commission Resolution #GC16-1101-17
Approval of a One-Year Extension to the 2016 Contract
With the Law Office of Richard G. McGee, LLC
Page Two

WHEREAS, the Gaming Commission believes it is imperative that they retain legal counsel experienced in Indian gaming regulatory matters and Human Resource matters and continues to be very satisfied with the services provided them by the Law Office of Richard G. McGee.

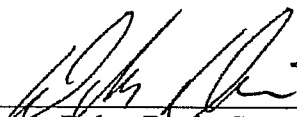
NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission approves the ceiling of billable fees for professional services in the amount of \$50,000.00 annually and expenses not to exceed \$5,000.00 annually and the fees and expenses will be encumbered from the Gaming Commission 2017 budget 1030-121-5205; and

IT IS FINALLY RESOLVED THAT the contract extension will be forwarded to the Tribal Council of the Little River Band of Ottawa Indians requesting approval for execution.

Certificate of Adoption

I, Debra Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on November 1, 2016 by a vote of 4 in favor, 0 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission

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Gaming Commission Resolution #GC16-1108-18

APPROVAL OF AMENDMENT TO THE 2007 AGREEMENT WITH ACCURATE BACKGROUND

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band of Ottawa Indians and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance # 04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, the Gaming Commission is, pursuant to Section 6.01 (d) of the Gaming Commission Ordinance #04-400-04, to conduct or cause to be conducted, background investigations of persons or business entities applying for any license; and

WHEREAS, an Accurate Background Agreement for providing background investigation services was approved by the Gaming Commission on February 27, 2007 by Resolution #GC07-0227-05 and followed by Tribal Council approval on March 7, 2007 by Resolution #07-0307-121; and

WHEREAS, in order to remain compliant with FCRA and all applicable laws, Accurate Background now provides its clients with forms to be utilized in conjunction with its services and requires their clients "the Tribe in this case" to acknowledge receipt of said forms as an amendment to the original contract.

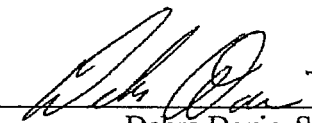
NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission approves the amendment to the 2007 Agreement with Accurate Background; and

IT IS FINALLY RESOLVED THAT the agreement amendment will be forwarded to the Tribal Council of the Little River Band of Ottawa Indians requesting approval for execution.

Certificate of Adoption

I, Debra Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on November 8, 2016 by a vote of 4 in favor, 0 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



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Gaming Commission**

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Gaming Commission Resolution #GC16-1108-19

**Approval of Crossmatch CMT Maintenance Agreement and
Expenditure in the Amount of \$1,052.57**

WHEREAS, the Tribe's status as a federally-recognized Indian Tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance #04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, Gaming Ordinance #10-400-01 -- Section 10.04 (q) provides that all applications for licenses for Key Employees and Primary Management Officials fingerprints shall be requested. Fingerprints shall be taken by the regulatory agency and will then be forwarded to the National Indian Gaming Commission (NIGC) for processing through the Federal Bureau of Investigation (FBI) and the National Criminal Information Center to determine the applicant's criminal history, if any; and

WHEREAS, the Gaming Commission's purchased a Crossmatch Live Scan fingerprint system in 2015 and the maintenance plan included in the purchase expires on November 30, 2016; and

WHEREAS, the purchase an additional one-year maintenance plan is necessary in order to have the support required in the event any of the components of the machine or software malfunction.

LRGC Resolution #GC16-1108-19

Approval of Crossmatch CMT Maintenance Agreement and
Expenditure in the Amount of \$1,052.57

Page Two

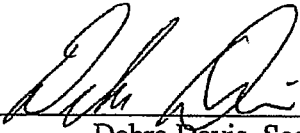
NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby approves the one-year Crossmatch CMT Maintenance Agreement and expenditure in the amount of \$1,052.57 from the approved FY2016 Gaming Commission Administration Budget (from Account #1030-121, Line Item #5600); and

IT IS FINALLY RESOLVED THAT the Crossmatch CMT Maintenance Agreement be forwarded to the Tribal Council of the Little River Band of Ottawa Indians requesting approval for execution.

Certificate of Adoption

I, Debra Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on November 8, 2016 by a vote of 4 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

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Gaming Commission Resolution #GC16-1115-20

*Rescinding Gaming Commission Regulation
Chapter 13 – Labor Organization Licensing
Regulation #R400-04:GC-13*

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band of Ottawa Indians and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance # 04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, the Gaming Commission, pursuant to Section 6.04(a) of the Gaming Commission Ordinance, shall promulgate regulations consistent with the

ordinance and necessary to carry out the orderly performance of its duties and powers; and

WHEREAS, the Tribal Council adopted the Fair Practices Code #05-600-03 – Article XVI, Section 16.08 (a) which requires any and all labor organizations engaging in organizing employees working for any public employer to be licensed by the Little River Band of Ottawa Indians Gaming Commission; and

WHEREAS, the pursuant to the Fair Employment Practices Code #05-600-03 – Article XVI, Section 16.08 (b) the Gaming Commission enacted Chapter 13 – Labor Organization Licensing Regulation which sets forth the licensing requirements for labor organizations and this regulation was approved by the Gaming Commission on July 24, 2008 and by Tribal Council on August 6, 2008; and

WHEREAS, revisions to Chapter 13 – Labor Organization Licensing Regulation were approved by the Gaming Commission Resolution #GC10-0223-03 on February 23, 2010 and by Tribal Council resolution #10-0317-86 on March 17, 2010; and

WHEREAS, the Tribe's recent litigation against the National Labor Relations Board resulted in the Gaming Commission's regulation – Chapter becoming unenforceable under current federal legislation.

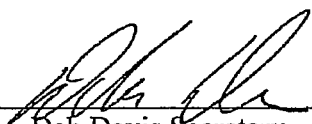
NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby rescinds Gaming Commission Resolutions #GC08-0724-21 and #GC10-0223-03 in order to support the repeal of Chapter 13 Labor Organization Licensing Regulation #R400-04:GC-13; and

IT IS FURTHER RESOLVED THAT this Resolution be forwarded to the Tribal Council Recorder to be placed on the Tribal Council Agenda for approval in accordance with Gaming Commission Ordinance Section 6.04(b) with the request that Tribal Council rescind their resolutions #08-0806-273 and #10-0317-86 in order to repeal Chapter 13 – Labor Organization Licensing Regulation #R400-04:GC-13.

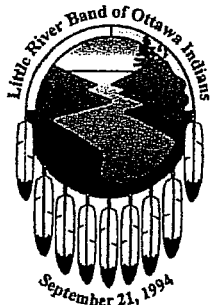
Certificate of Adoption

I, Deb Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on November 15, 2016 by a vote of 3 in favor, 0 opposed, 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:


Deb Davis Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission
PO Box 337
Manistee, MI 49660-0337
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC16-1122-21

**Approval of Agreement and Expenditure from IDPoint, Ltd. for IDPoint XID
Version 15 – Licensing Software in the Amount of \$35,000, plus Expenses**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band of Ottawa Indians and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance #04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, pursuant to Section 8.01 of Gaming Ordinance #10-400-01, all employees, vendors and gaming facilities must be licensed by the regulatory agency of the Tribe; and

WHEREAS, pursuant to Section 10.16 of Gaming Ordinance #10-400-01, the Gaming Commission is required to produce a badge/license for all employees/licensees of the gaming enterprise; and

WHEREAS, our current system used to produce employee licenses and the software used to retain information on all licensees is failing at a level that is critical to the operation; and

WHEREAS, the IDPoint licensing software/product will provide the Gaming Commission staff all the tools necessary to perform their duties/responsibilities of licensing employees and vendors without any interruption due to equipment/software failure and it will also reduce data entry; and

WHEREAS, the IDPoint licensing software module has a web-based module that will allow applicants to fill out and submit their licensing application via the web portal; and

WHEREAS, Gaming Commission Resolution #14-0820-258 designates actions greater than \$2,500.00 require Gaming Commission approval by resolution and greater than \$12,000.01 requires Tribal Council approval by resolution.

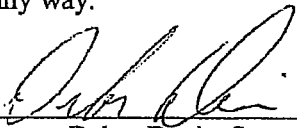
NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby approves the agreement and expenditure of IDPoint XID – Version 15 Licensing software from IDPoint, Ltd. which includes installation, first year technical support and upgrade assurance, and training in the amount of \$35,000, plus expenses from the approved 2016 Gaming Commission Administrative Budget (from Account #1030-121, Line Item #6100) – noting a budget modification will need to take place moving funds from Line Items #5130, #5205, and #5206 to Line Item #6100; and

IT IS FINALLY RESOLVED THAT the agreement and expenditure be forwarded to the Tribal Council of the Little River Band of Ottawa Indians requesting approval for execution.

Certificate of Adoption

I, Debra Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on November 22, 2016 by a vote of 3 in favor, 0 opposed, 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison

Little River Band of Ottawa Indians Gaming Commission Meeting Schedule 2017

All meetings are held in the Conference Room at the Gaming Commission Office located at 2840 Orchard Highway. The Gaming Commission is scheduled to meet at 5:30 p.m. The open public session may not begin until 6:30 p.m. Please call 723-7755 to confirm meeting place, time or other questions.

January 3 rd	January 10 th
January 17 th	January 24 th
February 7 th	February 14 th
February 21 st	February 28 th
March 7 th	March 14 th
March 21 st	April 4 th
April 11 th	April 18 th
April 25 th	May 2 nd
May 9 th	May 16 th
May 23 rd	June 6 th
June 13 th	June 20 th
June 27 th	July 11 th
July 18 th	July 25 th
August 1 st	August 8 th
August 15 th	August 22 nd
September 5 th	September 12 th
September 19 th	September 26 th
October 3 rd	October 10 th
October 17 th	October 24 th
November 7 th	November 14 th
November 21 st	November 28 th
December 5 th	December 12 th
December 19 th	December 26 th

Special Meetings may be called for business matters that need to be considered prior to the next scheduled meeting.

Gaming Commissioners

Dave Corey, Chairperson

Debra Davis, Secretary

Bill Willis, Commissioner

Julie Wolfe, Commissioner

Gaming Commission Approved: 11-1-16

Gaming Commission Resolution #GC16-1101-16



**Little River Band of Ottawa Indians
Gaming Commission**


PO Box 337

Manistee, MI 49660-0337

Tel: (231) 723-7755 • Fax: (231) 723-7788

Memo

Aánípiish Epíidek (To): Little River Band of Ottawa Indians Gaming Commission

Binjíbidek (From): Kelly Maser, Director of Gaming Regulatory & Compliance 

Maánda Naásaáp (cc):

Naángwa (Date): December 1, 2016

Maánda Nji (Re): Administrative and Licensing – November 2016 Monthly Report

Background Investigator/Administrative Assistant – November 2016

- Employee Licensee changes (HR notification)
 - 14 address changes
 - 1 name changes
 - 0 transfers
 - 9 voluntary terminations
 - 1 discharges
 - All daily correspondences regarding licensees are put into the respective files.*
 - 38 Employee license renewals
 - 14 Gaming
 - 20 Non-Gaming
 - 4 PMO
 - 0 Reinstatements
 - 1Deaths
- New Employee licenses issued
 - 1 Gaming
 - 9 Non-Gaming
 - 0 PMO
- 48 Credit Reports
- 48 Sex Offender Reports
- 53 Reference letters processed
- Processed 38 60-day renewal letters for employee license holders
- February reminder cards processed
- Processed court letters for the employee license applicants

Background Investigator – November 2016

- Applications/Files/Investigations Reviewed
 - New Hire 11
 - Renewal 57
 - Upgrade 0
- Vendor Files/Investigations Reviewed
 - New 1
 - Renewal 4
- Interviews Conducted
 - Employee License 9
- Criminal History Record Checks
 - Employee License 63
- Sex Offender Record Checks
 - Employee License 0
- Accurate Record Checks
 - Driving Record 10
 - Felony/Misdemeanor 8
- Credit Reports 0
- Fingerprints Taken
 - Employee License 1
- Licenses Verified
 - Employee License 0
 - Vendor 5
- License Issues
 - Expired/Invalid 2
 - Seized Temp. 0
 - Up Front Denials 1
 - Suspended 0
- Suitability Reports Filed
 - NOR's 2
 - Lic. Determinations 6
 - Term. 0
- Applications Notarized 4
- GC Appeal Hearings
 - Employee License 0
 - Vendor License 0
 - Rehabilitation 0
- Rehabilitation for Licensure Ordinance Applicants
 - Certificates Issued (Gaming Commission Issued/Approved) 0
 - Denials Issued (Gaming Commission Issued/Approved) 0
- Tribal Emergency Ops Mtgs. 1.5 (total hours)

The Background Investigator sits on the Tribal Emergency Operation Committee. He currently serves as the Incident Commander for the Tribal Emergency Operations Team (TERT).

** Administrative Assistant(s) supports/assists the Background Investigator in the licensing process which includes initial contact with applicant explaining the application and requirements, cursory review of application and attachments upon return to ensure completeness prior to handing all over to the Background Investigator, entry of information to the database/files as well as tracking requirements, runs credit checks and sex offender registry reports (when requested), notarizes forms, and schedules appointments for the Background Investigator.*

Executive Assistant – November 2016

- 3 vendor licenses processed (Gaming/Non-Gaming)
- Received Non-Gaming vendor applications and reviewed for completeness
 - 4 Corporate History Disclosure Form
 - 13 Personal History Disclosure Form
- Received Gaming vendor applications and reviewed for completeness
 - 2 Corporate History Disclosure Form
 - 6 Personal History Disclosure Form
- 6 investigations initiated on vendors
- 19 investigations initiated on vendor control persons
- 5 investigations for vendors reviewed
- 20 investigations for control persons reviewed
- Conducted numerous personal and trade reference checks for vendors
- Ran numerous Sex Offender Reports on vendor control persons
- Conducted numerous license verifications on vendors and control persons
- 3 Dunn & Bradstreet reports ran on vendors
- 8 Gaming Commission meeting agendas prepared
 - 18 Gaming Commission meeting packets assembled and distributed
- 8 sets of Gaming Commission meeting minutes reviewed for discrepancies/errors
- 2 Gaming Commission resolutions drafted
- 2 Gaming Commission orders drafted
- 3 Tribal Council resolutions drafted
- 4 Tribal Council agenda request packets processed
- 1 Tribal Council monthly report compiled for the Gaming Commission
- Reviewed LRCCR Accounts Payable List
- 1 Raffle license processed
- Assisted 8 licensees in the initial licensing and renewal process (completion of application and notarizing)
- Researched and spent many hours on new licensing software and accessories

** Executive Assistant supports/assists the Background Investigator in the vendor licensing process which includes initial contact with vendor (and control persons when applicable) explaining the application and requirements, cursory review of application and attachments upon return to ensure completeness. Initiates investigations, runs Dunn & Bradstreet Reports, conducts license verifications, processes personal reference check paperwork, and enters appropriate information into database/files. Also prepares all renewal and initial licensing application letters and sends out licensing packets. Upon completion of all above, entire file is provided to the Background Investigator for review and consideration for placement before the Gaming Commission for approval. All vendor licenses must be approved by the Gaming Commission.*