



Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite 'A'
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of November 2017

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Dave Corey, Chairperson
Bill Willis, Secretary
Debra Davis, Commissioner
Julie Wolfe, Commissioner

II. Activities during the Past Month:

During the month of November the Gaming Commission:

- held four regular meetings

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. October 24, 2017
2. November 7, 2017
3. November 14, 2017
4. November 21, 2017

Resolutions:

1. Gaming Commission Resolution #GC17-1107-19 Approval of Expenditure from North American Video for Nine (9) I.O. Boards and Nine (9) Delta Dome Cameras in the Amount of \$11,322.00
2. Gaming Commission Resolution #GC17-1114-20 Approving the Cancellation of the December 26, 2017 Regularly Scheduled Meeting
3. Gaming Commission Resolution #GC17-1114-21 Approving the Gaming Commission Meeting Schedule for 2018
4. Gaming Commission Resolution #GC17-1121-22 Approval of Contract for 2018-2019 with the Law Office of Richard G. McGee, LLC
5. Gaming Commission Resolution #GC17-1128-23 Approval of Expenditure from Little River Casino Resort for Construction of Enclosed Work Area in the Amount of \$3,089.79

Commission Orders:

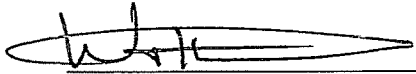
1. Gaming Commission Order #GC17-1107-06 Granting Exemption to Kaba Saflok from Vendor Licensing Requirements
2. Gaming Commission Order #GC17-1128-07 Granting Exemption to Continental Linen Services, Inc. from Vendor Licensing Requirements for 2017

Other:

1. 2018 Gaming Commission Meeting Schedule

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department


Secretary

12/8/17
Date

Little River Band of Ottawa Indians Gaming Commission Meeting Schedule 2018

All meetings are held in the Conference Room at the Gaming Commission Office located at 2840 Orchard Highway. The Gaming Commission is scheduled to meet at 5:30 p.m. The open public session may not begin until 6:30 p.m. Please call 723-7755 to confirm meeting place, time or other questions.

January 2nd	January 9th
January 16th	January 23rd
February 6th	February 13th
February 20th	February 27th
March 6th	March 13th
March 20th	March 27th
April 3rd	April 10th
April 17th	April 24th
May 1st	May 8th
May 15th	May 22nd
June 5th	June 12th
June 19th	June 26th
July 3rd	July 10th
July 17th	July 24th
August 7th	August 14th
August 21st	August 28th
September 4th	September 11th
September 18th	September 25th
October 2nd	October 9th
October 16th	October 23rd
November 6th	November 13th
November 20th	November 27th
December 4th	December 11th
December 18th	

Special Meetings may be called for business matters that need to be considered prior to the next scheduled meeting.

Gaming Commissioners

Dave Corey, Chairperson

Bill Willis, Secretary

Debra Davis, Commissioner

Julie Wolfe, Commissioner

Gaming Commission Approved: 11-14-17

Gaming Commission Resolution #17-1114-21

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
October 24, 2017
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 5:40 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Debra Davis, Dave Corey, Bill Willis, Julie Wolfe (telephone)
Commission Staff: Kelly Maser
Others: Lee Ivinson, Rebecca Liebing, Joe Riley, Erford Edmondson

Approval of Open Session Agenda:

a) October 24, 2017

Action: B. Willis made a motion to approve Open Session Agenda for October 24, 2017. **Seconded by D. Davis**
Roll Call: D. Davis – Yes / J. Wolfe – Yes / D. Corey – Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

Approval of Open Session Minutes:

a) October 17, 2017

Action: D. Davis made a motion to approve Open Session Meeting Minutes for October 17, 2017. **Seconded by J. Wolfe**
Roll Call: B. Willis – Yes / D. Corey – Yes / J. Wolfe – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

Old Business:

None

New Business:

None

Discussion Period:

- a) Kelly – MIGCN Meeting follow-up**
- b) Kelly – Gaming Ordinance approval proceedings**
- c) Lee – MIGCN Meeting thank you to Gaming Commission**

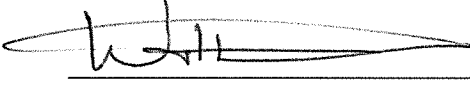
Next Gaming Commission Meeting

- a) November 7, 2017 at 5:30 p.m.**

Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 5:47 p.m. **Seconded by D. Davis**
Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis – Yes / J. Wolfe – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

Submitted by,


Bill Willis, Secretary

Date

11/7/17

Items moved to Open Session:

a) Shye West, Inc. d/b/a Imagine This

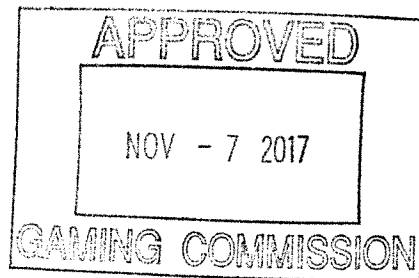
Action: D. Corey made a motion to approve a Non-Gaming Vendor License application for Shye West Inc., d/b/a Imagine This effective October 24, 2017 and expiring October 24, 2018. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / J. Wolfe – Yes / D. Corey – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

b) Aruze Gaming America, Inc.

Action: D. Corey made a motion to approve a Temporary Gaming Vendor License Request for Aruze Gaming America, Inc. effective November 6, 2017 and expiring December 6, 2017. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / J. Wolfe – Yes / D. Davis – Yes / D. Corey – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
November 7, 2017**

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:42 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Debra Davis, Dave Corey, Bill Willis, Julie Wolfe (telephone)

Commission Staff: Kelly Maser

Others: Lee Ivinson, Rebecca Liebing, Ryan O'Brien

Approval of Open Session Agenda:

a) November 7, 2017

Action: D. Davis made a motion to approve Open Session Agenda for November 7, 2017. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / B. Willis – Yes / J. Wolfe – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.

MOTION CARRIED

Approval of Open Session Minutes:

a) October 24, 2017

Action: B. Willis made a motion to approve Open Session Meeting Minutes for October 24, 2017. **Seconded by D. Davis**

Roll Call: J. Wolfe – Yes / D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.

MOTION CARRIED

Old Business:

None

New Business:

a) Approval of Expenditure for North American Video Expenditure in the Amount of \$11,322.00

Action: D. Corey made a motion to approve Gaming Commission Resolution #GC17-1107-19 in the amount

\$11,322.00 from North American Video. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / D. Corey – Yes / J. Wolfe – Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.

MOTION CARRIED

Discussion Period:

Kelly – Mohawk Tribe follow up (job shadowing)

Lee – Patron Complaint Procedure

Lee/Ryan – Oasis software inquiry

Rebecca – Gaming Ordinance sent to NIGC

Next Gaming Commission Meeting

a) November 14, 2017 at 5:30 p.m.

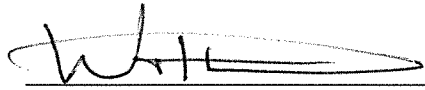
Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 5:52 p.m. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / D. Corey – Yes / J. Wolfe – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.

MOTION CARRIED

Submitted by,


Bill Willis, Secretary Date 11/14/17

Items moved to Open Session:

a) Request for Temporary License – The Pharos Group, LLC

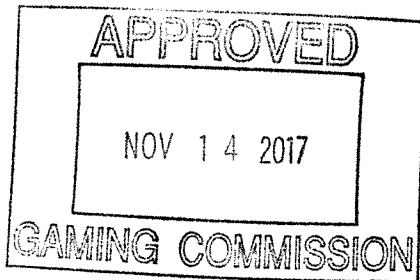
Action: D. Corey made a motion to approve a Temporary Non-Gaming Vendor License for The Pharos Group, LLC effective November 7, 2017 and expiring December 7, 2017. **Seconded by B. Willis**

Roll Call: J. Wolfe – Yes / D. Davis – Yes / D. Corey – Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED

b) Request for Exemption – Kaba Saflok

Action: D. Corey made a motion to approve Gaming Commission Order #GC17-1107-06 granting Kaba Saflok exemption from vendor licensing requirements. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / J. Wolfe – Yes / D. Davis – Yes / D. Corey – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
November 14, 2017
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**

Meeting called to order at 5:42 p.m. by Acting Chairperson, Bill Willis

Present:

Commissioners: Debra Davis, Dave Corey (absent), Bill Willis, Julie Wolfe (telephone)
Commission Staff: Kelly Maser
Others: Rebecca Liebing, Lee Iverson

Approval of Open Session Agenda:

a) November 14, 2017

Action: B. Willis made a motion to approve Open Session Agenda for November 14, 2017. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / J. Wolfe – Yes / D. Corey – Absent / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) November 7, 2017

Action: D. Davis made a motion to approve Open Session Meeting Minutes for November 7, 2017. **Seconded by J. Wolfe**

Roll Call: J. Wolfe – Yes / D. Corey – Absent / B. Willis – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Approval of Cancellation of December 26, 2017 Regularly Scheduled Meeting

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC17-1114-20 approving the cancellation of the December 26, 2017 regularly scheduled meeting. **Seconded by D. Davis**

Roll Call: D. Corey – Absent / B. Willis – Yes / D. Davis – Yes / J. Wolfe – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Approval of 2018 Gaming Commission Meeting Schedule

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC17-1114-21 approving the Gaming Commission Meeting Schedule for 2018 removing the December 25th meeting. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / J. Wolfe – Yes / D. Corey – Absent / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Discussion Period:

Kelly – External Auditors
Lee – Chapter 9 – Patron Complaint
Lee – Mohawk (job shadowing)

Next Gaming Commission Meeting

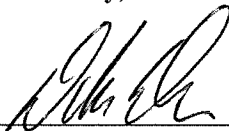
a) November 21, 2017 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:55 p.m. Seconded by J. Wolfe

Roll Call: D. Davis – Yes / J. Wolfe – Yes / D. Corey –Absent / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,

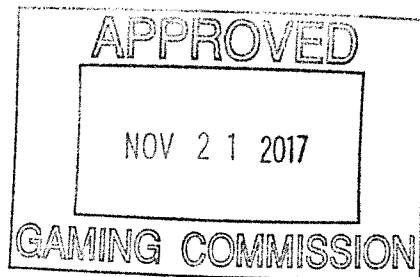


Debra Davis, Acting Secretary

11-21-17

Date

Items moved to Open Session:



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

November 21, 2017

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:44 p.m. by Chairperson, Dave Corey

Present:

Commissioners: Julie Wolfe (telephone), Debra Davis, Dave Corey, Bill Willis

Commission Staff: Kelly Maser

Others: Rebecca Liebing, Lee Iverson, Dawn McGrady

Approval of Open Session Agenda:

a) November 21, 2017

Action: D. Davis made a motion to approve Open Session Agenda for November 21, 2017. **Seconded by B. Willis**

Roll Call: D. Corey – Yes / B. Willis – Yes / D. Davis – Yes / J. Wolfe – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.

MOTION CARRIED

Approval of Open Session Minutes:

a) November 14, 2017

Action: B. Willis made a motion to approve Open Session Meeting Minutes for November 14, 2017. **Seconded by D. Davis**

Roll Call: J. Wolfe – Yes / D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.

MOTION CARRIED

Old Business:

None

New Business:

None

Discussion Period:

Lee – Non-Smoking gaming area go live November 22, 2017

Next Gaming Commission Meeting

a) November 28, 2017 at 6:00 p.m.

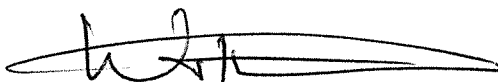
Adjournment of Open Session:

Action: D. Corey made a motion to adjourn the Open Session at 5:47 p.m. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / J. Wolfe – Yes / D. Davis – Yes / D. Corey – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.

MOTION CARRIED

Submitted by,



Bill Willis, Secretary

11/28/17
Date

Items moved to Open Session:

a) Data Strategy, LLC

Action: D. Corey made a motion to approve Data Strategy, LLC Non-Gaming Vendor License renewal application for effective December 6, 2017 - expiration date December 6, 2018. **Seconded by B. Willis**

Roll Call: D. Davis – Yes / B. Willis – Yes / D. Corey – Yes / J. Wolfe – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent.
MOTION CARRIED





**Little River Band of Ottawa Indians
Gaming Commission**

PO Box 337
Manistee, MI 49660-0337
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC17-1107- 19

**Approval of Expenditure from North American Video
for Nine (9) I.O. Boards and Nine (9) Delta Dome Cameras in the
Amount of \$11,322.00**

WHEREAS, the Tribe's status as a federally-recognized Indian Tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance #04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, the surveillance digital system requires properly functioning equipment to operate and due to aging equipment, cameras and I.O. Boards need to be replaced; and

WHEREAS, the Surveillance Department budget does include funds to cover this expenditure and a quote was obtained from North American Video for the purchase of Nine (9) I.O. Boards and Nine (9) Delta Dome Cameras in the Amount of \$11,322.00; and

LRGC Resolution #GC17-1107-____

Approval of Expenditure from North American Video

for Nine (9) I.O Boards and Nine (9) Delta Dome Cameras in the Amount of \$11,322.00

Page Two

WHEREAS, the preferred vendor (sole source) for the purchase of this equipment is North American Video based on the fact that these cameras will be compatible with the other equipment within the department that has been purchased through North American Video; and

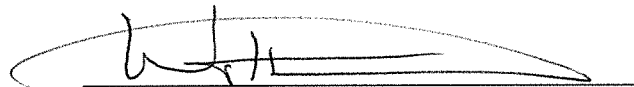
WHEREAS, Gaming Commission Resolution #14-0820-258 designates actions greater than \$2,500.00 require Gaming Commission approval by resolution.

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby approves the expenditure for Nine (9) I.O. Boards and Nine (9) Delta Dome Cameras in the Amount of \$11,322.00 from the approved 2017 Gaming Commission Surveillance Department budget (from Account #1030-120, Line Item #5601).

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on November 7, 2017 by a vote of 4 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

PO Box 337
Manistee, MI 49660-0337
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC17-1114-20

*Approving the Cancellation of the December 26, 2017
Regularly Scheduled Meeting*

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, the Gaming Commission By-Laws – Section 4.01 (a) requires the Gaming Commission to hold at least two (2) regular meetings per month and at such other times as may be deemed necessary to perform assigned duties; and

WHEREAS, the Gaming Commission's By-Laws – Section 4.0 (c) requires the Gaming Commission to establish a schedule for its regular meetings for each calendar year and requires amendments to the schedule of regular meetings be approved by resolution of the Gaming Commission and that notice be posted in all Tribal government buildings; and

WHEREAS, the Gaming Commission approved its 2017 Meeting Schedule on November 1, 2016 and now finds it necessary to amend the Meeting Schedule by cancelling the meeting of December 26, 2017.

Gaming Commission Resolution #GC17-1114-20

Approving the Cancellation of the December 26, 2017 Regularly Scheduled Meeting

Page Two

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission of the Little River Band of Ottawa Indians hereby approves the amendment to its 2017 Meeting Schedule cancelling the December 26, 2017 meeting.

Certificate of Adoption

I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on November 14, 2017 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:

A handwritten signature in black ink, appearing to read 'Bill Willis', is written over a horizontal line.

Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

PO Box 337
Manistee, MI 49660-0337
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC17-1114-21

Approving the Gaming Commission Meeting Schedule for 2018

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, the Gaming Commission By-Laws – Section 4.01 (a) requires the Gaming Commission to hold at least two (2) regular meetings per month and at such other times as may be deemed necessary to perform assigned duties; and

WHEREAS, the Gaming Commission's By-Laws – Section 4.01 (c) requires the Gaming Commission to establish a schedule for its regular meetings for each calendar year by no later than the last regular meeting of the preceding year and requires amendments to the schedule of regular meetings be approved by resolution of the Gaming Commission and that notice be posted in all Tribal government buildings; and

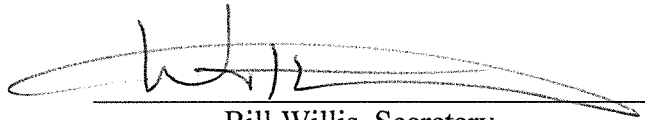
WHEREAS, the 2018 Meeting Schedule has been drafted and is being presented to the Gaming Commission for approval.

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission of the Little River Band of Ottawa Indians hereby approves the 2018 Meeting Schedule.

Certificate of Adoption

I, Bill Willis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on November 14, 2017 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:


Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission

PO Box 337

Manistee, MI 49660-0337

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC17-1121- 22

APPROVAL OF CONTRACT FOR 2018 - 2019 WITH THE LAW OFFICE OF RICHARD G. MCGEE, LLC

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7 (a) to provide for the public health, peace, morals, education and general welfare of the Little River Band of Ottawa Indians and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7 (I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance #04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, the Gaming Commission has a need for outside legal counsel to assist the Gaming Commission in drafting regulations, policies and procedures, and to represent the Gaming Commission with matters where there is a perception of a conflict of interest for the Unified Legal Department to provide the same legal assistance or if assistance is requested by the Gaming Commission after consulting with the Tribe's Unified Legal Department; and

Gaming Commission Resolution #GC17-1121- 22
Approval of Contract for 2018 - 2019
with the Law Office of Richard G. McGee, LLC
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WHEREAS, the Gaming Commission believes it is imperative that they retain legal counsel experienced in Indian gaming regulatory matters and Human Resource matters and continues to be very satisfied with the services provided them by the Law Office of Richard G. McGee.

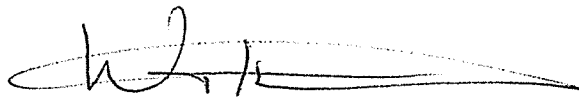
NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission approves the ceiling of billable fees for professional services in the amount of \$50,000.00 annually and expenses not to exceed \$5,000.00 annually to be encumbered from the Gaming Commission FY2018 Budget 1030-121-5205; and

IT IS FINALLY RESOLVED THAT the contract will be forwarded to the Tribal Council of the Little River Band of Ottawa Indians requesting approval for execution.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on November 21, 2017 by a vote of 4 in favor, 0 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

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Gaming Commission Resolution #GC17-1128-23

**Approval of Expenditure from Little River Casino Resort
for Construction of Enclosed Work Area in the Amount of \$3,089.79**

WHEREAS, the Tribe's status as a federally-recognized Indian Tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance # 04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, the Surveillance Technicians are in need of an enclosed work area in the server room due to HVAC operations and noise level; and

WHEREAS, the Surveillance Department budget does include funds to cover this expenditure and a quote was obtained from the Little River Casino Resort Facilities Department for construction in the amount of \$3,089.79; and

WHEREAS, the preferred vendor (sole source) for the purchase of this construction project is Little River Casino Resort based on the fact their Facilities Department carries out minor construction/renovation projects within the Little River Casino Resort very frequently, the construction project is located in a very sensitive area with access restrictions, and all the employees of the Facilities Department are licensed by the Gaming Commissions; and

LRGC Resolution #GC17-1128-23

Approval of Expenditure from Little River Casino Resort
for Construction of Enclosed Work Area in the Amount of \$3,089.79
Page Two

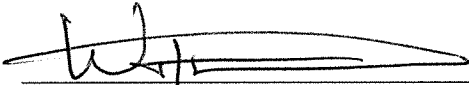
WHEREAS, Gaming Commission Resolution #14-0820-258 designates actions greater than \$2,500.00 require Gaming Commission approval by resolution.

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby approves the expenditure from the Little River Casino Resort for construction of an enclosed work area in the amount of \$3,089.79 from the approved 2017 Gaming Commission Surveillance Department budget (from Account #1030-120 – Line Item #5600).

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission, do hereby certify that this resolution was adopted on November 28, 2017 and amended on December 5, 2017 by a vote of 4 in favor, ~~0~~ opposed, and ~~0~~ abstentions and ~~0~~ absent. This resolution has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755

GAMING COMMISSION ORDER #GC17-1107- 06

**GRANTING EXEMPTION TO
KABA SAFLOK
FROM VENDOR LICENSING REQUIREMENTS**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.01 of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate rules and regulations as may be necessary to interpret and implement the NIGC and Tribal Council approved Gaming Ordinance #10-400-01 and to establish regulatory systems to effectuate the powers granted by ordinances of the Tribe and other duties imposed by applicable law; and

WHEREAS, the Gaming Ordinance – Article VIII – Section 8.04 states that no vendor shall contract with any gaming enterprise within the jurisdiction of the Tribe unless licensed by the regulatory agency in accordance with Article XI; and

WHEREAS, Chapter 6 – Section 3-1 of the Tribal Council approved Gaming Commission regulations states that every person supplying any gaming equipment or supplies, gaming service, or other goods and services to a gaming enterprise must obtain a Gaming Vendor License or a Non-Gaming Vendor License unless exempted from licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 6 – Section 3-2 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 6 – Section 3-3 of the Tribal Council approved Gaming Commission regulations states that prior to the purchase of any gaming equipment or supplies, gaming service, or other goods and services from any person, the gaming enterprise shall determine if such person possesses or is required to possess, a Gaming Vendor License or Non-Gaming Vendor License under these regulations and forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the equipment, supplies or services to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed; and

WHEREAS, Chapter 6 – Section 3-4 (c) of the Tribal Council approved Gaming Commission regulations states that the Gaming Commission may grant special exemptions from vendor licensing requirements in certain fields providing professional services or unique services under circumstances where the Gaming Commission determines that licensing of the vendor is not necessary to protect the public interest or to accomplish the policies or purposes of the Gaming Ordinance; and

WHEREAS, Chapter 6 – Section 3-6 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempted by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

Gaming Commission Order #GC17-1107-06
Granting Exemption to Kaba Saflok
from Vendor Licensing Requirements
Page Three

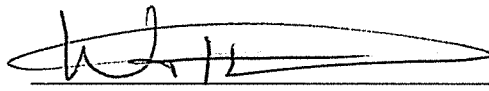
WHEREAS, the gaming enterprise has requested an exemption from the licensing requirements for Kaba Saflok for the purpose of providing professional services (replacing all hotel locks, hardware, software to include maintenance and training of system) to the Little River Casino Resort.

NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that Kaba Saflok shall be issued an exemption from the licensing requirements. This exemption becomes effective once the Gaming Commission is in receipt of a completed Conflict of Interest Disclosure form between the Little River Casino Resort and the vendor per Chapter 6 – Vendor Licensing Regulation – Section 3-6 and shall expire at end of the project by March 28, 2018.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on November 7, 2017 by a vote of 4 in favor, 0 opposed, and 0 abstentions and 0 absent. This order has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
 Little River Casino Resort
 Tribal Council Recorder



Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755

GAMING COMMISSION ORDER #GC17-1128-D7

**GRANTING EXEMPTION TO
CONTINENTAL LINEN SERVICES, INC.
FROM VENDOR LICENSING REQUIREMENTS FOR 2017**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.01 of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate rules and regulations as may be necessary to interpret and implement the NIGC and Tribal Council approved Gaming Ordinance #10-400-01 and to establish regulatory systems to effectuate the powers granted by ordinances of the Tribe and other duties imposed by applicable law; and

WHEREAS, the Gaming Ordinance – Article VIII – Section 8.04 states that no vendor shall contract with any gaming enterprise within the jurisdiction of the Tribe unless licensed by the regulatory agency in accordance with Article XI; and

WHEREAS, Chapter 6 – Section 3-1 of the Tribal Council approved Gaming Commission regulations states that every person supplying any gaming equipment or supplies, gaming service, or other goods and services to a gaming enterprise must obtain a Gaming Vendor License or a Non-Gaming Vendor License unless exempted from licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 6 – Section 3-2 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 6 – Section 3-3 of the Tribal Council approved Gaming Commission regulations states that prior to the purchase of any gaming equipment or supplies, gaming service, or other goods and services from any person, the gaming enterprise shall determine if such person possesses or is required to possess, a Gaming Vendor License or Non-Gaming Vendor License under these regulations and forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the equipment, supplies or services to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed; and

WHEREAS, Chapter 6 – Section 3-4 (c) of the Tribal Council approved Gaming Commission regulations states that the Gaming Commission may grant special exemptions from vendor licensing requirements in certain fields providing professional services or unique services under circumstances where the Gaming Commission determines that licensing of the vendor is not necessary to protect the public interest or to accomplish the policies or purposes of the Gaming Ordinance; and

WHEREAS, Chapter 6 – Section 3-6 of the Tribal Council approved Gaming Commission regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempted by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

Gaming Commission Order #GC17-1128-07
Granting Exemption to Continental Linen Services, Inc.
from Vendor Licensing Requirements
Page Three

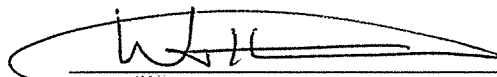
WHEREAS, the gaming enterprise has requested an exemption from the licensing requirements for Continental Linen Services, Inc. for the purpose of providing professional services (supply cleaning of uniforms, table linens, kitchen towels, etc.) to the Little River Casino Resort.

NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that Continental Linen Services, Inc. shall be issued an exemption from the licensing requirements for 2017 (current licensing year). This exemption becomes effective once the Gaming Commission is in receipt of a completed Conflict of Interest Disclosure form between the Little River Casino Resort and the vendor per Chapter 6 – Vendor Licensing Regulation – Section 3-6.

Certificate of Adoption

I, Bill Willis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on November 28, 2017 by a vote of 4 in favor, 0 opposed, and 0 abstentions and 0 absent. This order has not been amended or rescinded in any way.

Attest:



Bill Willis, Secretary

Distribution: Commission Records
 Little River Casino Resort
 Tribal Council Recorder



Little River Band of Ottawa Indians Gaming Commission

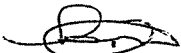
2840 Orchard Highway, Suite 'A'

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Memo

Aániípiísh Epiídek (To): Little River Band of Ottawa Indians Gaming Commission

Binjibidek (From): Kelly Maser, Executive Director 

Maánda Naásaáp (cc):

Naángwa (Date): December 1, 2017

Maánda Nji (Re): Administrative and Licensing – November 2017 Monthly Report

Administrative Assistant – November 2017 (position filled October 30, 2017)

** Administrative Assistant(s) supports/assists the Background Investigator in the licensing process which includes (not inclusive of) the following: initial contact with applicant explaining the application process and requirements; cursory review of application and attachments upon return to ensure completeness prior to handing all over to the Background Investigator; entry of information to the database/file; responsible for tracking licensees to ensure no license expires without the appropriate notifications being sent to licensee; notarizes forms; schedules appointments for the Background Investigator; distribution of licenses, etc.*

Background Investigator – November 2017 (performed by the Executive Director and Background Investigator)

- 49 applications/files/investigations reviewed – initial and renewals (Gaming/Non-Gaming), including NSOR searches, credit reports, personal references and employment checks, gaming license verifications, professional license verifications
- Numerous employee licensing interviews conducted
- 49 Criminal History Record checks processed (ICHAT) – Executive Director
- 15 Accurate Reports requested – Executive Director
- 3 set of fingerprints processed – Background Investigator
- Notices to the National Indian Gaming Commission (NIGC) – Executive Director
 - 4 Notice of Results
 - 5 License Determinations

Executive Assistant – November 2017

- 2 vendor licenses processed (Gaming/Non-Gaming)
- 4 vendor applications sent to vendors (Gaming/Non-Gaming)
- Received Non-Gaming vendor applications and reviewed for completeness
 - 2 Corporate History Disclosure Form
 - 10 Personal History Disclosure Forms
- Received Gaming vendor applications and reviewed for completeness
 - 1 Corporate History Disclosure Form
 - 4 Personal History Disclosure Form
- 3 investigations initiated on vendors
- 14 investigations initiated on vendor control persons
- 4 investigations for vendors reviewed
- 13 investigations for control persons reviewed
- Conducted numerous personal reference checks for vendors
- Ran numerous Sex Offender Reports on vendor control persons
- Conducted numerous license verifications on vendors and control persons
- 4 Dunn & Bradstreet reports ran on vendors
- 10 Gaming Commission meeting agendas prepared
 - 30 Gaming Commission meeting packets assembled and distributed
- 1 Gaming Commission monthly report compiled and submitted to Tribal Council
- 1 Tribal Council resolution drafted
- 2 Tribal Council agenda request packet processed
- 1 Gaming Commission resolutions drafted
- 1 Gaming Commission order drafted
- Reviewed LRRCR Accounts Payable List
- Assisted 33 licensees in the initial and renewal licensing process (completion of application and notarizing)

** Executive Assistant supports/assists the Executive Director in the vendor licensing process which includes (not inclusive) the following: initial contact with vendor (and control person(s) when applicable) explaining the application process and requirements; performs cursory review of application and attachments upon return to ensure completeness; initiates investigation; runs Dunn & Bradstreet Reports; conducts license verifications; processes personal reference checks, etc. Also prepares all renewal and initial licensing application letters and sends out licensing application packets; responsible for tracking vendor licensing information to ensure no vendor's license expires without the appropriate notification being sent to the vendor/licensee. Upon completion of all above, the entire file is provided to the Executive Director for review prior to placement on the Gaming Commission Meeting agenda for review and consideration.*

The Executive Assistant fills in as the Administrative Assistant when necessary.