

Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite A

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of November 2019

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Bill Willis, Chairperson
Debra Davis, Secretary
Mark Jespersen, Commissioner
Steve Parsons, Commissioner
Alyce Giltz, Commissioner

II. Activities during the Past Month:

During the month of November the Gaming Commission:

- held four regular meetings
- held two licensing hearings

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. October 22, 2019
2. October 29, 2019
3. November 5, 2019
4. November 12, 2019
5. November 19, 2019

Resolutions:

1. Gaming Commission Resolution #GC19-1105-22 Approving the Gaming Commission Meeting Schedule for 2020
2. Gaming Commission Resolution #GC19-1112-23 Approval of Revised Gaming Commission Regulation #R400-04:GC-04 Chapter 4 – Notifications and Reports
3. Gaming Commission Resolution #GC19-1119-24 Approval of Gaming Commission Personal Electronic Devices Policy
4. Gaming Commission Resolution #GC19-1119-25 Approval of Revised Gaming Commission Retention and Destruction Procedure

Commission Orders:

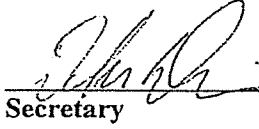
1. Gaming Commission Order #GC19-1105-06 Granting Exemption from Vendor Licensing Requirements for MMM Meat, LLC d/b/a Tolman's Wholesale Meats

Other:

1. Gaming Commission Meeting Schedule for 2020

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department


Secretary

12-5-19
Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

October 22, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:44 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Bill Willis, Debra Davis, Alyce Giltz (absent), Mark Jespersen (absent), Steve Parsons

Commission Staff: Kelly Maser

Others: Rebecca Liebing (arrived at 6:05 p.m.)

Approval of Open Session Agenda:

a) **October 22, 2019**

Action: B. Willis made a motion to approve the Open Session Agenda for October 22, 2019. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / M. Jespersen – Absent / S. Parsons – Yes / B. Willis – Yes / A. Giltz – Absent / 3-Yes, 0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **October 15, 2019**

Action: B. Willis made a motion to approve the Open Session Minutes for October 15, 2019. **Seconded by D. Davis**

Roll Call: M. Jespersen – Absent / B. Willis – Yes / A. Giltz – Absent / S. Parsons – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) **Approval to Repeal Gaming Commission Regulation #R400-04:GC-05 – Chapter 5 – Surveillance Requirements Regulation**

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC19-1022-20 approval to repeal Gaming Commission Regulation #R400-04:GC-05 – Chapter 5 – Surveillance Requirement Regulation. **Seconded by S. Parsons**

Roll Call: D. Davis – Yes / S. Parsons – Yes / M. Jespersen – Absent / A. Giltz – Absent / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**

Discussion Period:

No representative from the gaming enterprise present

Note for the record Alyce Giltz is on Gaming Commission travel/training

Note for the record Mark Jespersen is absent due to medical reasons

Next Gaming Commission Meeting

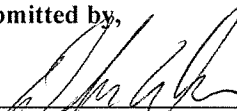
a) **November 5, 2019 at 6:00 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 6:25 p.m. Seconded by D. Davis

Roll Call: S. Parsons – Yes / A. Giltz – Absent / B. Willis – Yes / D. Davis – Yes / M. Jespersen – Absent / 3-Yes, 0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**

Submitted by,

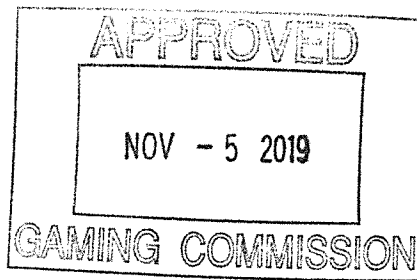

Debra Davis, Secretary

11-5-19

Date

Items moved to Open Session:

None



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
SPECIAL OPEN SESSION MEETING
October 29, 2019**

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 6:03 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Bill Willis, Debra Davis, Alyce Giltz (absent), Mark Jespersen (telephone), Steve Parsons
Commission Staff: Kelly Maser
Others:

Approval of Open Session Agenda:

a) October 29, 2019

Action: B. Willis made a motion to approve the Open Session Agenda for October 29, 2019. **Seconded by D. Davis**
Roll Call: D. Davis – Yes / M. Jespersen – Yes / S. Parsons – Yes / B. Willis – Yes / A. Giltz – Absent / 4-Yes, 0-No, 0-Absain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

None

Old Business:

None

New Business:

a) Approval of Correct Version of Revised Gaming Commission Regulation – Chapter 11 – Class III

Minimum Internal Control Standards for Gaming Enterprises of the Little River Band of Ottawa Indians

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC19-1029-21 Approval of Revised Gaming Commission Regulation #R400-04:GC-11 – Chapter 11 – Class III Minimum Internal Control Standards for Gaming Enterprises of the Little River Band of Ottawa Indians. **Seconded by S. Parsons**

Roll Call: S. Parsons – Yes / D. Davis – Yes / M. Jespersen – Yes / A. Giltz – Absent / B. Willis – Yes / 4-Yes, 0-No, 0-Absain, 1-Absent. **MOTION CARRIED**

Discussion Period:

None

Next Gaming Commission Meeting

a) November 5, 2019 at 6:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 6:08 p.m. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / A. Giltz – Absent / B. Willis – Yes / D. Davis – Yes / M. Jespersen – Yes / 4-Yes, 0-No, 0-Absain, 1-Absent. **MOTION CARRIED**



Submitted by,

Debra Davis, Secretary

11-5-19
Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

November 5, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 6:45 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Bill Willis, Debra Davis, Mark Jespersen, Alyce Giltz

Commission Staff: Kelly Maser, Erford Edmondson

Others: Lee Iverson

Approval of Open Session Agenda:

a) November 5, 2019

Action: B. Willis made a motion to approve the Open Session Agenda for November 5, 2019. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) October 22, 2019

Action: S. Parsons made a motion to approve the Open Session Minutes for October 22, 2019. **Seconded by M. Jespersen**

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / A. Giltz – Abstain / 4-Yes, 0-No, 1-Abstain, 0-Absent. **MOTION CARRIED**

b) October 29, 2019

Action: D. Davis made a motion to approve the Open Session Meeting Minutes for October 29, 2019. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Abstain / D. Davis – Yes / 4-Yes, 0-No, 1-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Approval of 2020 Gaming Commission Meeting Schedule

Action: S. Parsons made a motion to Gaming Commission Resolution #GC#19-1105-22 approving the Gaming Commission Meeting Schedule for 2020. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Discussion Period:

Chapter 4 – Notifications and Reports revisions forthcoming
Follow-up MGCB audit
RubinBrown

Next Gaming Commission Meeting

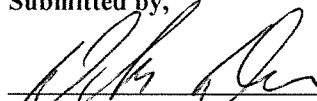
a) November 12, 2019 at 6:00 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 6:51 p.m. **Seconded by D. Davis**

Roll Call: A. Giltz – Yes / M. Jespersen – Yes / B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

11-12-19

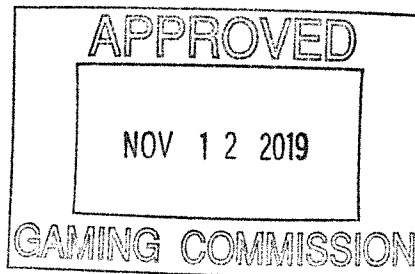
Date

Items moved to Open Session:

a) **Vendor Licensing Exemption Request – MMM Meat, LLC d/b/a Tolman's Wholesale Meats**

Action: B. Willis made a motion to approve Gaming Commission Order #GC19-1105-06 granting exemption from vendor licensing requirements for MMM Meat, LLC d/b/a Tolman's Wholesale Meats. **Seconded by S. Parsons**

Roll Call: B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

November 12, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 7:07 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Bill Willis, Debra Davis, Mark Jespersen (absent), Alyce Giltz
Commission Staff: Kelly Maser
Others: Rebecca Liebing

Approval of Open Session Agenda:

a) November 12, 2019

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for November 12, 2019. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Absent / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) November 5, 2019

Action: S. Parsons made a motion to approve the Open Session Minutes for November 5, 2019. **Seconded by B. Willis**

Roll Call: M. Jespersen – Absent / S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) **Approval of Revised Gaming Commission Regulation – Chapter 4 – Notifications and Reports**

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC19-1112-23 approval of revised Gaming Commission Regulation #R400-04:GC-04 – Chapter 4 – Notifications and Reports with revisions as discussed. **Seconded by S. Parsons**

Roll Call: B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Absent / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Discussion Period:

Follow up Exit Meeting MGCB audit
No representative from the gaming enterprise present

Next Gaming Commission Meeting

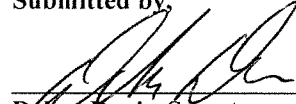
a) November 19, 2019 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 7:20 p.m. Seconded by S. Parsons

Roll Call: A. Giltz – Yes / M. Jespersen – Absent / B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Absain, 1-Absent. **MOTION CARRIED**

Submitted by

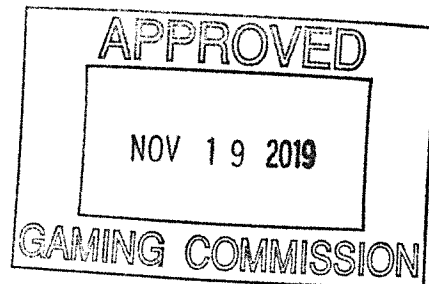

Debra Davis, Secretary

11-19-19

Date

Items moved to Open Session:

None



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

November 19, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:30 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Alyce Giltz, Bill Willis, Mark Jespersen (telephone), Debra Davis, Steve Parsons

Commission Staff: Kelly Maser, Erford Edmondson

Others: Joseph Riley

Approval of Open Session Agenda:

a) November 19, 2019

Action: B. Willis made a motion to approve the Open Session Meeting Agenda as presented. **Seconded by D. Davis**

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) November 12, 2019

Action: D. Davis made a motion to approve the Open Session Meeting Minutes for November 12, 2019. **Seconded by S. Parsons**

Roll Call: B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

None

Next Gaming Commission Meeting

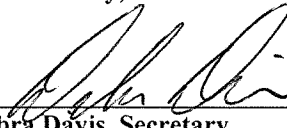
a) November 26, 2019 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:33 p.m. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / M. Jespersen – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

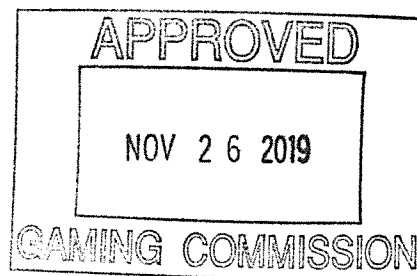
Submitted by,


Debra Davis, Secretary

11-26-19
Date

Items moved to Open Session:

None





**Little River Band of Ottawa Indians
Gaming Commission**

2840 Orchard Highway, Suite A
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC19-1105-22

Approving the Gaming Commission Meeting Schedule for 2020

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, the Gaming Commission By-Laws – Section 4.01 (a) requires the Gaming Commission to hold at least two (2) regular meetings per month and at such other times as may be deemed necessary to perform assigned duties; and

WHEREAS, the Gaming Commission's By-Laws – Section 4.01 (c) requires the Gaming Commission to establish a schedule for its regular meetings for each calendar year by no later than the last regular meeting of the preceding year, that notice be posted in all Tribal government buildings.

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa
Indians Gaming Commission hereby approves their 2020 Meeting Schedule.

Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution
was adopted on November 05, 2019 by a vote of 5 in favor, 0 opposed,
and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in
any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission
2840 Orchard Hwy., Suite "A"
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC19-1112-23

APPROVAL OF REVISED GAMING COMMISSION REGULATION #R400-04:GC-04 CHAPTER 4 – NOTIFICATIONS AND REPORTS

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, Gaming Commission Regulation #R400-04:GC-04 – Chapter 4 – Notifications and Reports was last approved by the Gaming Commission on January 22, 2019 and the recent approval of Gaming Commission Regulation R#400-04:GC-04 – Chapter 11 – Class III Minimum Internal Control Standards for Gaming Enterprises of the Little River Band of Ottawa Indians caused the need for the substantial revisions to this regulation.

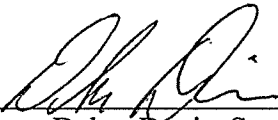
Gaming Commission Resolution #GC19-1112-23
Approval of Revised Gaming Commission Regulation #R400-04:GC-04
Chapter 4 – Notifications and Reports
Page Two

NOW THEREFORE IT IS RESOLVED THAT Gaming Commission Regulation #R400-04:GC-04 – Chapter 4 – Notifications and Reports and forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b).

Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on November 12, 2019 by a vote of 4 in favor, 0 opposed, and 0 abstentions and 1 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



**Little River Band of Ottawa Indians
Gaming Commission**

2840 Orchard Highway Suite A
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC19-1119-24

**APPROVAL OF GAMING COMMISSION
PERSONAL ELECTRONIC DEVICES POLICY**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

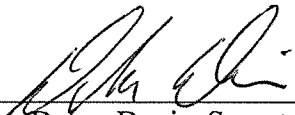
WHEREAS, the Personal Electronic Devices Policy was created to establish guidelines for personal electronic devices in the Surveillance Operations Room and/or any satellite surveillance room and only applies to personal electronic devices, not company-issued cell phones and/or electronic devices.

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves the Personal Electronic Devices Policy.

Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on November 19, 2019 by a vote of 5 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission

2840 Orchard Hwy., Suite "A"

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC19-1119-25

APPROVAL OF REVISED GAMING COMMISSION RETENTION AND DESTRUCTION PROCEDURE

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance # 04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, the Retention and Destruction Procedure was last approved by the Gaming Commission on March 12, 2019 and recent discussions caused the Gaming Commission to determine revisions were necessary.

Gaming Commission Resolution #GC19-1119-25

Approval of Revised Gaming Commission Retention and Destruction Procedure

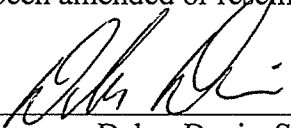
Page Two

NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby approves the revised Retention and Destruction Procedure and deems it in effect immediately.

Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on November 19, 2019 by a vote of 5 in favor, 0 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755

GAMING COMMISSION ORDER #GC19-1105-06

**GRANTING EXEMPTION FROM VENDOR LICENSING REQUIREMENTS
FOR MMM MEAT, LLC D/B/A TOLMAN'S WHOLESALE MEATS**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.04 of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate rules and regulations as may be necessary to carry out the orderly performance of its duties and powers which shall include; and

WHEREAS, Chapter 19 – Section 3-1 of the Gaming Commission approved regulations states that every person supplying any goods, services, and/or concessions to any gaming enterprise must obtain a Non-Gaming Vendor License unless exempt from licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 19 – Section 3-2 of the Gaming Commission approved regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 19 – Section 3-3 of the Gaming Commission approved regulations states that prior to the purchase of any goods, services, and/or concessions the gaming enterprise shall forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the goods, services, and/or concessions to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed by the Gaming Commission; and

WHEREAS, Chapter 19 – Section 3-4 (b) of the Gaming Commission approved regulations states that the Gaming Commission may grant special exemptions from vendor licensing requirements for persons or businesses providing professional services or unique services. Persons or businesses that may qualify for special exemptions are those in the following categories: persons or businesses from which the gaming enterprise purchases advertising (billboard companies, trade magazines and newspapers); advertising agencies providing artistic or creative services; and persons or businesses making isolated (single item/event) sales or purchases of goods or services that do not impact gaming activity; and

WHEREAS, Chapter 19 – Section 3-5 of the Gaming Commission approved regulations states that the gaming enterprise must submit a request for exemption from the licensing requirements, including documentation supporting the vendor is highly regulated or supporting documentation how the vendor qualifies for a special exemption. The gaming enterprise shall forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the goods, services, and/or concessions to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor; and

WHEREAS, Chapter 19 – Section 3-6 of the Gaming Commission approved regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempted by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

Gaming Commission Order #GC19-1105-06
Granting Exemption from Vendor Licensing Requirements
For MMM Meat, LLC d/b/a Tolman's Wholesale Meats
Page Three

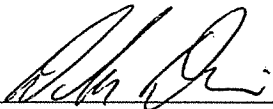
WHEREAS, the gaming enterprise has requested exemption from the vendor licensing requirements for MMM Meat, LLC d/b/a Tolman's Wholesale Meats who is a food vendor and regulated or licensed by the U.S. Food and Drug Administration (FDA) and the U.S. Department of Agriculture (USDA).

NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that MMM Meat, LLC d/b/a Tolman's Wholesale Meats shall be exempted from the licensing requirements for purposes of providing food related services for the Little River Casino Resort. This exemption is valid once the Gaming Commission is in receipt of the Conflict of Interest Disclosure form between the Little River Casino Resort and the vendor per Chapter 19 – Non-Gaming Vendor Licensing – Section 3-6.

Certificate of Adoption

I, Debra Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on November 05, 2019 by a vote of 5 in favor, 0 opposed, and 0 abstentions and 0 absent. This order has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Little River Casino Resort
Tribal Council Recorder

Little River Band of Ottawa Indians Gaming Commission Meeting Schedule 2020

All meetings are held in the Conference Room at the Gaming Commission Office located at 2840 Orchard Highway, Suite "A". The Gaming Commission is scheduled to meet at 5:30 p.m. The open public session may not begin until 6:30 p.m. Please call 723-7755 to confirm meeting place, time or other questions.

January 7 th	January 14 th
January 21 st	January 28 th
February 4 th	February 11 th
February 18 th	February 25 th
March 3 rd	March 10 th
March 17 th	March 24 th
April 7 th	April 14 th
April 21 st	April 28 th
May 5 th	May 12 th
May 19 th	May 26 th
June 2 nd	June 9 th
June 16 th	June 23 rd
July 7 th	July 14 th
July 21 st	July 28 th
August 4 th	August 11 th
August 18 th	August 25 th
September 1 st	September 8 th
September 15 th	September 22 nd
October 6 th	October 13 th
October 20 th	October 27 th
November 3 rd	November 10 th
November 17 th	November 24 th
December 1 st	December 8 th
December 15 th	December 22 nd

Special Meetings may be called for business matters that need to be considered prior to the next scheduled meeting.

Gaming Commissioners
Bill Willis, Chairperson
Debra Davis, Secretary
Mark Jespersen, Commissioner
Steve Parsons, Commissioner
Alyce Giltz, Commissioner

Gaming Commission Approved: 11-05-2019
Gaming Commission Resolution #GC19-1105-22



Little River Band of Ottawa Indians Gaming Commission

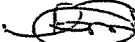
2840 Orchard Highway, Suite A

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Memo

Aáíípiísh Epiídek (To): Little River Band of Ottawa Indians Gaming Commission

Binjibidek (From): Kelly Maser, Executive Director 

Maánda Naásaáp (cc):

Naángwa (Date): December 2, 2019

Maánda Nji (Re): Administrative and Licensing – November 2019 Monthly Report

- 48 licenses processed – initial applications and renewals (Gaming and Non-Gaming)
- 53 applications/files/investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 8 interviews conducted for new employee license applicants
- 4 sets of fingerprints submitted
- National Indian Gaming Commission (NIGC) Notices
 - 4 Notice of Results (NOR) prepared and processed
 - 5 Licensing Determinations prepared and processed
 - 3 Investigative Reports prepared and processed
- 2 Show Cause Hearing packets prepared and presented to the Gaming Commission
- 1 patron complaint received (Executive Director)
- 1 Vendor License processed
- 5 applications sent to vendors (Gaming and Non-Gaming)
- Non-Gaming Vendor License Applications received, reviewed and investigation conducted
 - 1 Corporate History Disclosure Forms
 - 5 Personal History Disclosure Forms
- Gaming Vendor License Applications received and reviewed
 - 3 Corporate History Disclosure Forms
 - 8 Personal History Disclosure Forms
- 21 investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 8 Gaming Commission meeting agendas prepared
- 2 Gaming Commission Resolutions drafted
- 1 Tribal Council Resolution drafted
- 1 Tribal Council agenda submission processed
- 1 Gaming Commission monthly report compiled and submitted to Tribal Council
- 1 Raffle license processed