



**Little River Band of Ottawa Indians
Gaming Commission**

2840 Orchard Highway, Suite 'A'
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of September 2018

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Bill Willis, Chairperson
Debra Davis, Secretary
Mark Jespersen, Commissioner

II. Activities during the Past Month:

During the month of September the Gaming Commission:
- held three regular meetings

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. August 21, 2018
2. August 28, 2018
3. September 4, 2018
4. September 11, 2018

Resolutions:

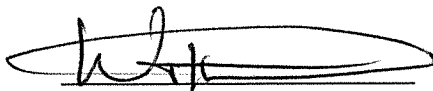
1. Gaming Commission Resolution #GC18-0925-32 Approval of Gaming Law and Regulation Training by Richard G. McGee in the Amount of \$4,850.00, plus Expenses

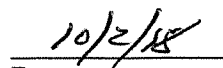
Commission Orders:

Other:

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department


Chairperson


Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

August 21, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:38 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Bill Willis, Debra Davis
Commission Staff: Kelly Maser
Others:

Approval of Open Session Agenda:

a) **August 21, 2018**

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for August 21, 2018. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **August 14, 2018**

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for August 14, 2018. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) **Approval Authorizing the Issuance of an Additional Credit Card for Conducting Background Investigations**

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC18-0821-30 approving additional authorized user to the credit card. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / 2-Yes- 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Discussion Period:

No attendees from the gaming enterprise

Next Gaming Commission Meeting

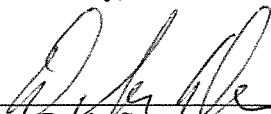
a) **August 28, 2018 at 6:15 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:42 p.m. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

9-4-18
Date

Items moved to Open Session:

a) Midax, Inc.

Action: B. Willis made a motion to approve the initial Gaming Vendor License application for Midax, Inc. – license effective date of August 21, 2018 – license expiration date of August 21, 2019. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

August 28, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 7:09 p.m. (5:40 p.m. start for Show Cause Hearing) by Chairperson, Bill Willis

Present:

Commissioners: Bill Willis, Debra Davis
Commission Staff: Kelly Maser, Andrew Hurford
Others:

Approval of Open Session Agenda:

a) **August 28, 2018**

Action: B. Willis made a motion to cancel the meeting at this juncture due to inclement/severe weather. **Seconded**
by D. Davis

Roll Call: D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

None

Old Business:

None

New Business:

None

Discussion Period:

None

Next Gaming Commission Meeting

a) **September 7, 2018 at 5:30 p.m.**

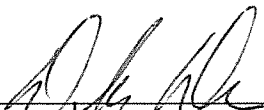
Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 7:11 p.m. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



Submitted by,


Debra Davis, Secretary

9-4-18
Date

Items moved to Open Session:

None

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

September 4, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:34 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Bill Willis, Debra Davis

Commission Staff: Kelly Maser

Others:

Approval of Open Session Agenda:

a) **September 4, 2018**

Action: B. Willis made a motion to approve the Open Session Agenda for September 4, 2018. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **August 21, 2018**

Action: B. Willis made a motion to approve the August 21, 2018 Open Session Minutes. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) **August 28, 2018**

Action: B. Willis made a motion to approve the Open Session Meeting Minutes for August 28, 2018 noting for the record that a hearing was held, but Open and Closed Session were canceled due to inclement weather. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

No attendees from the gaming enterprise
Kelly – Potential conference host in 2018

Next Gaming Commission Meeting

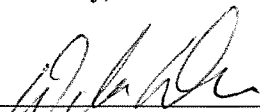
a) **September 11, 2018 at 5:30 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:50 p.m. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

9-11-18
Date

Items moved to Open Session:

a) VSR Industries, Inc.

Action: B. Willis made a motion to approve the Gaming renewal application for VSR Industries, Inc. – license effective date of October 21, 2018 – license expiration date of October 21, 2019. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Genesis Gaming Solutions, Inc.

Action: B. Willis made a motion to approve the Gaming renewal application for Genesis Gaming Solutions, Inc. – license effective date November 8, 2018 – expiration date of November 8, 2019. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

c) Nichols Paper & Supply Company

Action: B. Willis made a motion to approve the Non-Gaming renewal application for Nicholas Paper & Supply Company – license effective date October 23, 2018 – license expiration date of October 23, 2019. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

d) JOS Sanders, Inc.

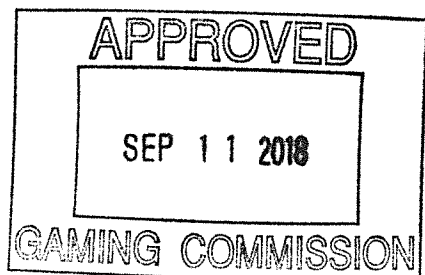
Action: B. Willis made a motion to approve the Non-Gaming renewal application for JOS Sanders, Inc.– license effective date October 6, 2018 – expiration date October 6, 2019. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

e) Shye West, Inc. d/b/a Imagine This

Action: B. Willis made a motion to approve the Non-Gaming renewal application for Shye West, Inc. d/b/a Imagine This – license effective date October 24, 2018 – expiration date October 24, 2019. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

September 11, 2018

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 6:34 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Bill Willis, Debra Davis

Commission Staff: Kelly Maser, Erford Edmondson

Others: Rebecca Liebing, Lee Iverson, Tiphonie Smith

Approval of Open Session Agenda:

a) September 11, 2018

Action: B. Willis made a motion to approve the Open Session Agenda for September 11, 2018. Seconded by D. Davis

Roll Call: D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED

Approval of Open Session Minutes:

a) September 4, 2018

Action: B. Willis made a motion to approve the Open Session Minutes for September 4, 2018. Seconded by D. Davis

Roll Call: B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED

Old Business:

None

New Business:

a) Approval for Use of G&D Soft Count Discriminator BPS M3

Action: B. Willis made a motion for the use the G&D soft count discriminator BPS M3. Seconded by D. Davis

Roll Call: D. Davis – Yes / B. Willis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent MOTION CARRIED

Discussion Period:

Next Gaming Commission Meeting

a) September 18, 2018 at 5:30 p.m.

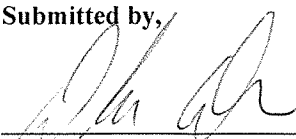
Adjournment of Open Session:

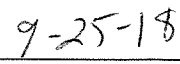
Action: B. Willis made a motion to adjourn the Open Session at 6:40 p.m. Seconded by D. Davis

Roll Call: B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED



Submitted by,


Debra Davis, Secretary

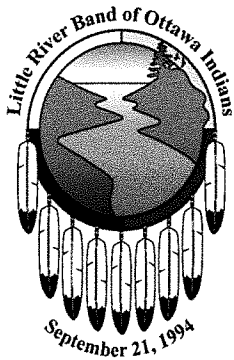

Date

Items moved to Open Session:

a) Aruze Gaming America, Inc.

Action: B. Willis made a motion to approve the Gaming renewal application for Aruze Gaming America, Inc. – license effective date of November 6, 2018 – license expiration date of November 6, 2019. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / D. Davis – Yes / 2-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



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Gaming Commission**

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Gaming Commission Resolution #GC18-0925-32

**Approval of Gaming Law and Regulation Training by Richard G. McGee in the
Amount of \$4,850.00, plus Expenses**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band of Ottawa Indians and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council adopted Gaming Commission Ordinance #04-400-04 which created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally licensed gaming activities; and

WHEREAS, pursuant to Gaming Commission Ordinance #04-400-04 – Section 6.02 (g), the Gaming Commission shall arrange for training of Gaming Commissioners, Gaming Commission employees, and others; and

WHEREAS, pursuant to the Gaming Commission's By-Laws Article III – Section 3.01 (g), the Gaming Commission shall arrange for training of Gaming Commissioners, Gaming Commission employees, and others; and

WHEREAS, the Gaming Commission has negotiated a proposal to engage Richard G. McGee, to provide a gaming law and regulation conference on-site (Little River Casino Resort) on December 10-11, 2018; and

WHEREAS, the training will focus on concepts related to sovereignty, immunity, gaming laws, roles and relationships of gaming regulators as well as some elements related to the future of gaming; and

WHEREAS, this training is unique in the fact that it is designated training specifically for Gaming Commission roles and responsibilities.

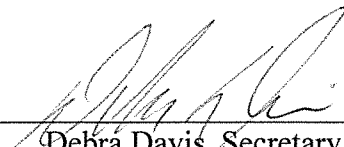
NOW THEREFORE IT IS RESOLVED THAT the Gaming Commission hereby authorizes Richard G. McGee to provide the Gaming Commissioners, staff, legal counsel, Tribal leaders and other gaming regulators training December 10, 2018 and December 11, 2018; and

IT IS FURTHER RESOLVED THAT the Gaming Commission approves payment to Richard G. McGee in the amount of \$4,850.00, plus expenses not to exceed \$2,500.00 from the Gaming Commission approved Administrative Budget.

Certificate of Adoption

I, Debra Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this resolution was adopted on September 25, 2018 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



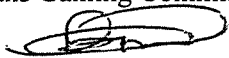
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Memo

Aániípiísh Epiídek (To): Little River Band of Ottawa Indians Gaming Commission

Binjibidek (From): Kelly Maser, Executive Director 

Maánda Naásaáp (cc):

Naángwa (Date): October 1, 2018

Maánda Nji (Re): Administrative and Licensing – September 2018 Monthly Report

Administrative Assistant – September 2018

- 45 licenses processed – initial applications and renewals (Gaming and Non-Gaming), including personal references, employment verifications, Gaming License verifications, professional license(s) verifications, notaries, etc.

** Administrative Assistant(s) supports/assists the Background Investigator and Director in the licensing process which includes (not inclusive of) the following: initial contact with applicant explaining the application process and requirements; cursory review of application and attachments upon return to ensure completeness prior to handing all over to the Background Investigator; entry of information to the database/file; responsible for tracking licensees to ensure no license expires without the appropriate notifications being sent to licensee; notarizes forms; schedules appointments for the Background Investigator; distribution of licenses, processes all employment, reference, professional license and Gaming License verifications, etc.*

Background Investigator – September 2018 (performed by the Executive Director and Background Investigator)

- 45 applications/files/investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 5 vendor files reviewed for authorization to move to Gaming Commission for approval (Gaming and Non-Gaming)
- Ran numerous Sex Offender Reports for employee licensing
- 33 ICHATS ran for employee licensing
- 11 interviews conducted for new employee license applicants
- 14 criminal and civil background investigations initiated for new employee license applicants (Accurate)
- 4 set of fingerprints submitted and processed
- Notices to the National Indian Gaming Commission (NIGC)

- 3 Notice of Results (NOR) prepared and processed
- 4 Licensing Determinations prepared and processed (2 - revoked)
- 5 Investigative Reports prepared and processed
- 1 Show Cause Hearing prepared and 1 continuation to August hearing
- 1 Background investigation started on potential Gaming Commissioner (receipt of 2)

Executive Assistant – September 2018

- 5 Vendor Licenses processed (Gaming and Non-Gaming)
- Received Gaming vendor applications and reviewed for completeness
 - 3 Corporate History Disclosure Form
 - 4 Personal History Disclosure Form
- Received Non-Gaming vendor applications and reviewed for completeness
 - 1 Corporate History Disclosure Form
 - 2 Personal History Disclosure Form
- 4 investigations initiated on vendors
- 6 investigations initiated on vendor control persons
- 2 investigations on vendors reviewed
- 6 investigations for control persons reviewed
- Conducted numerous personal reference checks for vendors
- Ran numerous Sex Offender Reports on vendor control persons and for employee licensing
- Conducted numerous license verifications on vendors and control persons
- 4 Dunn & Bradstreet reports ran on vendors
- 8 Gaming Commission meeting agendas prepared
 - 19 Gaming Commission meeting packets assembled and distributed
- 1 Gaming Commission monthly report compiled and submitted to Tribal Council
- 1 Tribal Council resolutions drafted
- 1 Tribal Council agenda request packets processed
- Reviewed LRRCR Accounts Payable List
- 2 Raffle license processed

** Executive Assistant supports/assists the Executive Director in the vendor licensing process which includes (not inclusive) the following: initial contact with vendor (and control person(s) when applicable) explaining the application process and requirements; performs cursory review of application and attachments upon return to ensure completeness; initiates investigation; runs Dunn & Bradstreet Reports; conducts license verifications; processes personal reference checks, etc. Also prepares all renewal and initial licensing application letters and sends out licensing application packets; responsible for tracking vendor licensing information to ensure no vendor's license expires without the appropriate notification being sent to the vendor/licensee. Upon completion of all above, the entire file is provided to the Executive Director for review prior to placement on the Gaming Commission Meeting agenda for review and consideration.*

The Executive Assistant fills in as the Administrative Assistant when necessary.