



Little River Band of Ottawa Indians Gaming Commission

2840 Orchard Highway, Suite A

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of September 2019

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Bill Willis, Chairperson
Debra Davis, Secretary
Mark Jespersen, Commissioner
Steve Parsons, Commissioner
Alyce Giltz, Commissioner

II. Activities during the Past Month:

During the month of September the Gaming Commission:

- held four regular meetings
- held one special meeting

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. August 27, 2019
2. August 29, 2019
3. September 3, 2019
4. September 9, 2019
5. September 10, 2019
6. September 17, 2019

Resolutions:

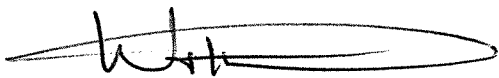
1. Gaming Commission Resolution #GC19-0910-16 Approval of Revised Gaming Commission Employee Licensing Procedure
2. Gaming Commission Resolution #GC19-0924-17 Approval of Revised Gaming Commission Regulation #R400-04:GC-11 – Chapter 11 Class III Minimum Internal Control Standards for Gaming Enterprises of the Little River Band of Ottawa Indians

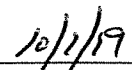
Commission Orders:

Other:

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department


Chairperson


Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

August 27, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:33 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Alyce Giltz, Bill Willis, Mark Jespersen, Debra Davis, Steve Parsons

Commission Staff: Kelly Maser, Erford Edmondson

Others:

Approval of Open Session Agenda:

a) **August 27, 2019**

Action: B. Willis made a motion to approve the Open Session Agenda for August 27, 2019. **Seconded by D. Davis**

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **August 20, 2019**

Action: M. Jespersen made a motion to approve the Open Session Minutes for August 20, 2019. **Seconded by S. Parsons**

Roll Call: B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

No representative from the gaming enterprise present
TMICS revision

Next Gaming Commission Meeting

a) **September 3, 2019 at 5:30 p.m.**

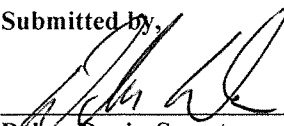
Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:40 p.m. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / M. Jespersen – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



Submitted by,


Debra Davis, Secretary

9-3-19

Date

Items moved to Open Session:

b) Approval of Vendor License – Advantage Electric Services, Inc.

Action: B. Willis made a motion to approve the Non-Gaming Vendor License Renewal Application for Advantage Electric Services, Inc. license effective date September 18, 2019 – license expiration date September 18, 2020.

Seconded by S. Parsons

Roll Call: S. Parsons – Yes / D. Davis – Yes / M. Jespersen – Yes / A. Giltz – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

c) Approval of Vendor License – JOS Sanders, LLC

Action: B. Willis made a motion to approve the Non-Gaming Vendor License Renewal Application for JOS Sanders, LLC license effective date October 6, 2019 – license expiration date October 6, 2020. **Seconded by D. Davis**

Roll Call: A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

September 3, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:31 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Bill Willis, Debra Davis, Mark Jespersen, Alyce Giltz
Commission Staff: Kelly Maser
Others:

Approval of Open Session Agenda:

a) September 3, 2019

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for September 3, 2019. **Seconded** by S. Parsons

Roll Call: D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) August 27, 2019

Action: M. Jespersen made a motion to approve the Open Session Meeting Minutes for August 27, 2019. **Seconded** by B. Willis

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

No representative from the gaming enterprise present

Next Gaming Commission Meeting

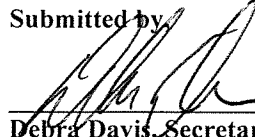
a) September 10, 2019 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:33 p.m. **Seconded** by S. Parsons

Roll Call: A. Giltz – Yes / M. Jespersen – Yes / B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by


Debra Davis, Secretary

9-10-19

Date

Items moved to Open Session:

a) Approval of Vendor License – Larsen’s Landscaping, LLC

Action: B. Willis made a motion to approve the Non-Gaming Renewal Application for Larsen’s Landscaping, LLC with the Executive Director providing a written communication to the owner/Control Persons specifics as it relates to the licensing requirements per discussion with the Gaming Commission. License effective date November 22, 2019 – license expiration date November 22, 2020. **Seconded by S. Parsons**

Roll Call: B. Willis – Yes / D. Davis – Yes / M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Approval of Vendor License – Genesis Gaming Solutions, Inc.

Action: B. Willis made a motion to approve the Gaming Vendor Renewal Application for Genesis Gaming Solutions, Inc. license effective date November 8, 2019 – license expiration date November 8, 2020. **Seconded by S. Parsons**

Roll Call: S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
September 10, 2019**

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:52 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Alyce Giltz, Bill Willis, Mark Jespersen, Debra Davis, Steve Parsons

Commission Staff: Kelly Maser

Others: Rebecca Liebing

Approval of Open Session Agenda:

a) September 10, 2019

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for September 10, 2019. **Seconded by S. Parsons**

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) September 3, 2019

Action: S. Parsons made a motion to approve the September 3, 2019 Open Session Minutes. **Seconded by M. Jespersen.**

Roll Call: B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

TMICS revision (Kelly)
Executive Directors' Quarterly Meeting
MIGCN Meeting
No representative from the gaming enterprise present
Gaming Commission training/travel
NIGC contact (survey)
Sports Betting legislation

Next Gaming Commission Meeting

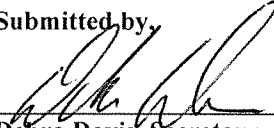
a) September 17, 2019 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 6:23 p.m. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / M. Jespersen – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

9-24-19
Date

Items moved to Open Session:

None



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

September 17, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:38 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Alyce Giltz, Bill Willis, Mark Jespersen, Debra Davis (absent), Steve Parsons (absent)
Commission Staff: Kelly Maser
Others: Lee Ivinson

Approval of Open Session Agenda:

a) **September 17, 2019**

Action: B. Willis made a motion to approve the Open Session Agenda for September 17, 2019. **Seconded by M. Jespersen**

Roll Call: M. Jespersen – Yes / S. Parsons – Absent / A. Giltz – Yes / D. Davis – Absent / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **September 10, 2019**

Action: M. Jespersen made a motion to approve the September 10, 2019 Open Session Minutes. **Seconded by B. Willis**

Roll Call: B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Absent / D. Davis – Absent / 3-Yes, 0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

None

Next Gaming Commission Meeting

a) **September 24, 2019 at 5:30 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:50 p.m. **Seconded by M. Jespersen**

Roll Call: S. Parsons – Absent / B. Willis – Yes / D. Davis – Absent / M. Jespersen – Yes / A. Giltz – Yes / 3-Yes, 0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**

Submitted by,


Mark Jespersen, Acting Secretary

9-24-19
Date

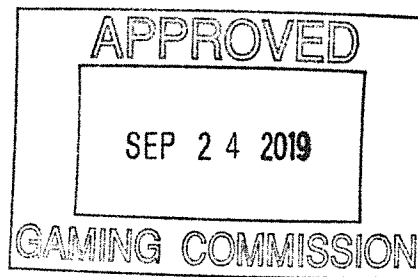
Items moved to Open Session:

a) Approval of Vendor License – Aristocrat Technologies, Inc.

Action: B. Willis made a motion to approve the renewal application for Gaming Vendor Aristocrat Technologies, Inc. license effective date October 4, 2019 – license expiration date October 4, 2020. **Seconded by M. Jespersen**
Roll Call: B. Willis – Yes / D. Davis – Absent / M. Jespersen – Yes / S. Parsons – Absent / A. Giltz – Yes / 3-Yes, 0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**

b) Approval of Vendor License – VSR Industries, Inc.

Action: B. Willis made a motion to approve the renewal application for Gaming Vendor VSR Industries, Inc. – license effective date October 21, 2019 – license expiration date October 21, 2020. **Seconded by M. Jespersen**
Roll Call: D. Davis – Absent / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Absent / B. Willis – Yes / 3-Yes, 0-No, 0-Abstain, 2-Absent. **MOTION CARRIED**





Little River Band of Ottawa Indians

Gaming Commission

2840 Orchard Highway Suite A

Manistee, MI 49660

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Gaming Commission Resolution #GC19-0910-16

APPROVAL OF REVISED GAMING COMMISSION EMPLOYEE LICENSING PROCEDURE

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, a recent review of Gaming Commission Employee Licensing Procedure deemed it necessary to make revisions.

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves the revised Gaming Commission Employee Licensing Procedure.

Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on September 10, 2019 by a vote of 5 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission
2840 Orchard Hwy., Suite A
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC19-0924-/7

APPROVAL OF REVISED GAMING COMMISSION REGULATION #R400-04:GC-11 – CHAPTER 11 – CLASS III MINIMUM INTERNAL CONTROL STANDARDS FOR GAMING ENTERPRISES OF THE LITTLE RIVER BAND OF OTTAWA INDIANS

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

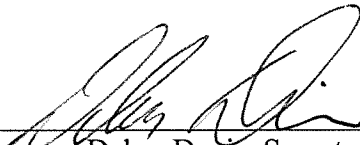
WHEREAS, after a recent review of Gaming Commission Regulation #R400-04:GC-05 – Chapter 5 – Surveillance Requirements, the National Indian Gaming Commission recommendations for minimum internal controls, and consideration of best practices, it was determined that the requirements in Chapter 5 should be incorporated into Gaming Commission Regulation – Chapter 11 – Class III Tribal Minimum Internal Control Standards and Chapter 5 repealed thereafter.

NOW THEREFORE IT IS RESOLVED THAT Gaming Commission Regulation #R400-04:GC-11 – Chapter 11 – Class III Tribal Minimum Internal Control Standards be forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b).

Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on September 24, 2019 by a vote of 5 in favor, ____ opposed, and ____ abstentions and ____ absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Little River Band of Ottawa Indians

Gaming Commission

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Manistee, MI 49660

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Memo

Aániípiish Epiídek (To): Little River Band of Ottawa Indians Gaming Commission

Binjfbidek (From): Kelly Maser, Executive Director 

Maánda Naásaáp (cc):

Naángwa (Date): October 1, 2019

Maánda Nji (Re): Administrative and Licensing – September 2019 Monthly Report

- 81 licenses processed – initial applications and renewals (Gaming and Non-Gaming)
- 76 applications/files/investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 19 interviews conducted for new employee license applicants
- 5 sets of fingerprints submitted
- National Indian Gaming Commission (NIGC) Notices (Executive Director)
 - 5 Notice of Results (NOR) prepared and processed
 - 3 Licensing Determinations prepared and processed
 - 3 Investigative Reports prepared and processed
- 1 Key Employee License suspended (confidential information)
- 2 Non-Gaming Employee License denied (confidential information)
- No patron complaints received. (Executive Director)
- 4 Vendor licenses processed (Gaming and Non-Gaming)
- 1 Renewal applications sent to vendors (Gaming and Non-Gaming)
- 1 Initial application sent to prospective new vendor (Non-Gaming)
- Gaming Vendor License Applications received and reviewed
 - 3 Corporate History Disclosure Forms
 - 4 Personal History Disclosure Forms
- 10 investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 9 Gaming Commission meeting agendas prepared
- 2 Gaming Commission Resolution drafted
- 1 Tribal Council Resolution Drafted
- 1 Tribal Council agenda submission processed
- 1 Gaming Commission monthly report compiled and submitted to Tribal Council