



## Little River Band of Ottawa Indians Gaming Commission

### Gaming Commission Open Session Report for the Month of January 2022

#### I. Officers

Bill Willis, Chairperson  
Debra Davis, Secretary  
Mark Jespersen, Commissioner  
Steve Parsons, Commissioner  
Alyce Giltz, Commissioner

#### II. Activities for the month of January 2022:

1. Regular meeting held on January 4, 2022
2. Regular meeting held on January 11, 2022
3. Regular meeting held on January 18, 2022

#### III. Concerns/Requests/Comments:

#### IV. Vendors Approved:

1. Non-Gaming Vendor Exemption – A & B Equipment & Sons, Inc.
2. Non-Gaming Vendor Exemption – Curative Labs, Inc.
3. Gaming Vendor License – Casino Cash Trac, LLC
4. Non-Gaming Vendor License – KLK Partners, LLC

#### V. Attachments (the following documents are attached to this report):

##### Minutes:

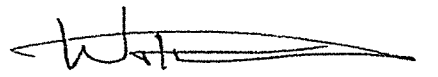
1. December 14, 2021
2. January 4, 2022
3. January 11, 2022

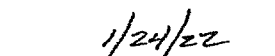
##### Resolutions:

None

##### Orders:

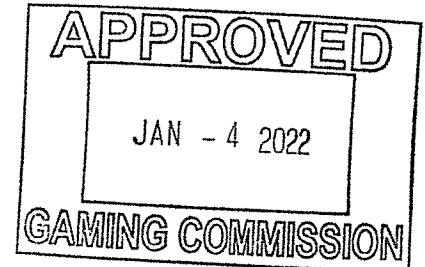
1. Gaming Commission Order #GC22-0111-01 – Granting One-Time Exemption to A & B Equipment & Sons, Inc.
2. Gaming Commission Order #GC22-0111-02 – Granting Exemption to Curative Labs, Inc.

  
Chairperson

  
Date

**LITTLE RIVER BAND OF OTTAWA INDIANS  
GAMING COMMISSION MEETING  
OPEN SESSION MEETING  
December 14, 2021**

**Gaming Commission Conference Room  
2840 Orchard Highway, Suite A  
Manistee, MI 49660**



**Meeting called to order at 5:42 p.m. by Chairperson, Bill Willis**

**Present:**

**Commissioners:** Steve Parsons, Alyce Giltz, Bill Willis, Mark Jespersen, Debra Davis  
**Commission Staff:** Kelly Maser, Erford Edmondson  
**Others:** Lee Iverson, Julie Wolfe

**Approval of Open Session Agenda:**

**a) December 14, 2021**

**Action:** B. Willis made a motion to approve the Open Session Meeting Agenda for December 14, 2021. **Seconded** by M. Jespersen

**Roll Call:** B. Willis – Yes / M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

**Approval of Open Session Minutes:**

**a) December 7, 2021**

**Action:** B. Willis made a motion to approve the Open Session Meeting Minutes for December 7, 2021. **Seconded** by M. Jespersen

**Roll Call:** D. Davis – Yes / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

**Old Business:**

**None**

**New Business:**

**None**

**Discussion Period:**

- a) Emergency Temporary Standards OHSA Preparedness**
- b) Active Shooter Protocols – Communication**
- c) Contract Tracing Protocols**

**Next Gaming Commission Meeting**

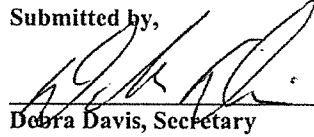
- a) December 21, 2021 at 5:30 p.m.**

**Adjournment of Open Session:**

**Action:** B. Willis made a motion to adjourn Open Session at 6:08 p.m. **Seconded by M. Jespersen**

**Roll Call:** S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,



Debra Davis, Secretary

1-5-22  
Date

**Items moved to Open Session:**

**a) Approval of Gaming Vendor License – Konami Gaming, Inc.**

**Action:** B. Willis made a motion the approve the renewal Gaming Vendor License for Konami Gaming, Inc. – license effective date January 6, 2022 – license expiration date January 6, 2023. **Seconded by M. Jespersen**

**Roll Call:** S. Parsons – Yes / M. Jespersen – Yes / B. Willis – Yes / A. Giltz – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

**b) Approval of Gaming Vendor License – Landry Holdings, LLC d/b/a Casino Tech**

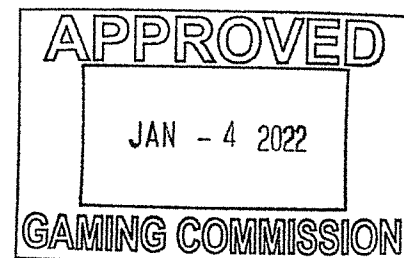
**Action:** B. Willis made a motion the approve the renewal Gaming Vendor License for Landry Holdings, LLC d/b/a Casino Tech – license effective date April 18, 2022 – license expiration date April 18, 2023. **Seconded by M. Jespersen**

**Roll Call:** A. Giltz – Yes / D. Davis – Yes / S. Parsons – Yes / M. Jespersen – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

**c) Licensing Exemption Request – Alpha Video and Audio, Inc.**

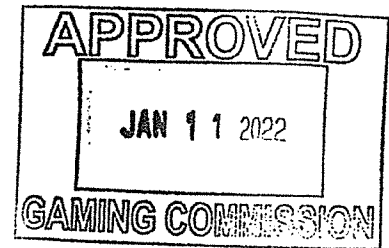
**Action:** B. Willis made a motion for approve Gaming Commission Order #GC21-1214-03 approving a one-time exemption from the Non-Gaming Vendor licensing requirements for Alpha Video and Audio, Inc. **Seconded. M. Jespersen**

**Roll Call:** B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS  
GAMING COMMISSION MEETING  
OPEN SESSION MEETING  
January 4, 2022**

Gaming Commission Conference Room  
2840 Orchard Highway, Suite A  
Manistee, MI 49660



Meeting called to order at 5:33 p.m. by Chairperson, Bill Willis

**Present:**

**Commissioners:** Steve Parsons, Alyce Giltz, Bill Willis, Mark Jespersen, Debra Davis  
**Commission Staff:** Kelly Maser  
**Others:**

**Approval of Open Session Agenda:**

a) January 4, 2022

**Action:** B. Willis made a motion to approve the Open Session Agenda for January 4, 2022. Seconded by M. Jespersen

**Roll Call:** B. Willis – Yes / M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED

**Approval of Open Session Minutes:**

a) December 14, 2021

**Action:** S. Parsons made a motion to approve the Open Session Meeting Minutes for December 14, 2021. Seconded by B. Willis

**Roll Call:** D. Davis – Yes / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Abstain / S. Parsons – Yes / 4-Yes, 0-No, 1-Abstain, 0-Absent. MOTION CARRIED

**Old Business:**

None

**New Business:**

None

**Discussion Period:**

None

**Next Gaming Commission Meeting**

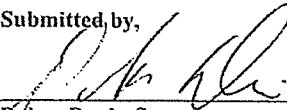
a) January 11, 2022 at 5:30 p.m.

**Adjournment of Open Session:**

**Action:** B. Willis made a motion to adjourn Open Session at 5:36 p.m. Seconded by M. Jespersen

**Roll Call:** S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED

Submitted by,

  
Debra Davis, Secretary

1-17-22

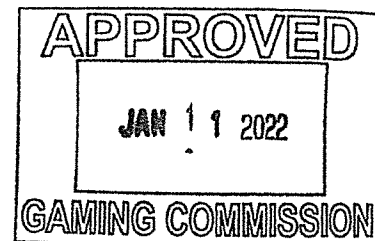
Date

**Items moved to Open Session:**

**a) Approval of Gaming Vendor License – Hanna & Associates, Inc.**

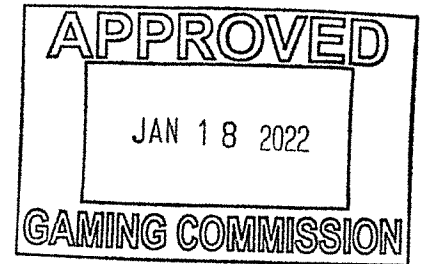
**Action:** B. Willis made a motion the approve the renewal application for Gaming Vendor for Hanna & Associates, Inc. – license effective date January 29, 2022 – license expiration date January 29, 2023. **Seconded by M. Jespersen**

**Roll Call:** S. Parsons – Yes / B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS  
GAMING COMMISSION MEETING  
OPEN SESSION MEETING  
January 11, 2022**

**Gaming Commission Conference Room  
2840 Orchard Highway, Suite A  
Manistee, MI 49660**



**Meeting called to order at 5:35 p.m. by Chairperson, Bill Willis**

**Present:**

**Commissioners:** Steve Parsons, Alyce Giltz, Bill Willis, Mark Jespersen (absent), Debra Davis  
**Commission Staff:** Kelly Maser  
**Others:** Joseph Riley, Pam Johnson

**Approval of Open Session Agenda:**

**a) January 11, 2022**

**Action:** B. Willis made a motion to approve the Open Session Agenda for January 11, 2022. **Seconded by S. Parsons**  
**Roll Call:** B. Willis – Yes / M. Jespersen – Absent / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

**Approval of Open Session Minutes:**

**a) January 4, 2022**

**Action:** B. Willis made a motion to approve the Open Session Meeting Minutes for January 4, 2022. **Seconded by D. Davis**  
**Roll Call:** D. Davis – Yes / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Absent / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

**Old Business:**

**None**

**New Business:**

**None**

**Discussion Period:**

**None**

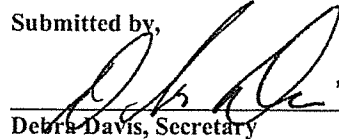
**Next Gaming Commission Meeting**

**a) January 18, 2022 at 5:30 p.m.**

**Adjournment of Open Session:**

**Action:** B. Willis made a motion to adjourn Open Session at 5:38 p.m. **Seconded by S. Parsons**  
**Roll Call:** S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Absent / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,

  
Debra Davis, Secretary

1-18-22  
Date

**Items moved to Open Session:**

**a) Licensing Exemption Request – A & B Equipment & Sons, Inc.**

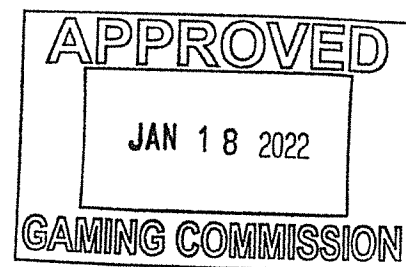
**Action:** B. Willis made a motion for approve Gaming Commission Order #GC22-0111-01 granting a one-time exemption from the vendor licensing requirements for A & B Equipment & Sons, Inc. **Seconded. S. Parsons**

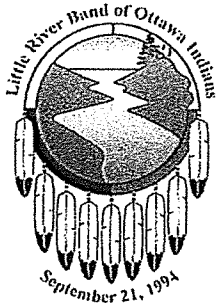
**Roll Call:** B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Absent / D. Davis - Yes / S. Parsons – Yes / 4–Yes, 0–No, 0–Abstain, 1–Absent. **MOTION CARRIED**

**a) Licensing Exemption Request – Curative Labs, Inc.**

**Action:** B. Willis made a motion for approve Gaming Commission Order #GC22-0111-02 granting exemption for Curative Labs, Inc. from vendor licensing requirements. **Seconded. S. Parsons**

**Roll Call:** D. Davis - Yes / S. Parsons – Yes / A. Giltz – Yes / M. Jespersen – Absent / B. Willis – Yes / 4–Yes, 0–No, 0–Abstain, 1–Absent. **MOTION CARRIED**





**Little River Band of Ottawa Indians**  
**GAMING COMMISSION**  
2840 Orchard Highway, Suite A  
Manistee MI 49660  
(231) 723-7755

**Gaming Commission Order #GC22-0111-01**

*Granting One-Time Exemption from  
Vendor Licensing Requirements to A & B Equipment & Sons, Inc.*

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.04 (a) of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate regulations as may be necessary to carry out the orderly performance of its duties and powers; and

WHEREAS, Chapter 19 – Section 3-1 of the Gaming Commission approved regulations states that every person supplying any goods, services, and/or concessions to any gaming enterprise must obtain a Non-Gaming Vendor License unless exempt from



Gaming Commission Order #GC22-0111-01  
Granting One-Time Exemption from Vendor Licensing  
Requirements to A & B Equipment & Sons, Inc.  
Page Two

licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 19 – Section 3-2 of the Gaming Commission approved regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 19 – Section 3-3 of the Gaming Commission approved regulations states that prior to the purchase of any goods, services, and/or concessions from any person, the gaming enterprise shall forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the goods, services, and/or concessions to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed by the Gaming Commission; and

WHEREAS, Chapter 19 – Section 3-4 (a) and (b) of the Gaming Commission approved regulations states that the Gaming Commission, at its sole discretion, may exempt persons (or businesses) from vendor licensing requirements in certain highly regulated fields or may grant special exemptions for persons or businesses providing professional services or unique eservices; and

WHEREAS, Chapter 19 – Section 3-6 of the Gaming Commission approved regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempt by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

WHEREAS, Chapter 19 – Section 10-3 (e) of the Gaming Commission approved regulations requires the gaming enterprise to provide advance notice to the Gaming Commission if the gaming enterprise has reason to believe that the sales to the gaming enterprise by a Service Vendor will reach or exceed \$200,00.00 in any calendar year. Such notice shall describe the circumstances warranting the increased volume of business, and, if appropriate under the circumstances, a request for exemption from the licensing requirements. The Gaming Commission may deem it appropriate to exempt a Service Vendor from the licensing requirements if the gaming enterprise demonstrates specific circumstance apply as written; and

Gaming Commission Order #GC22-0111-01  
Granting One-Time Exemption from Vendor Licensing  
Requirements to A & B Equipment & Sons, Inc.  
Page Three

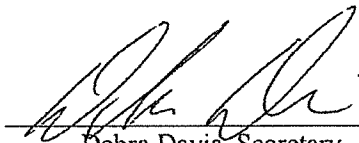
WHEREAS, the gaming enterprise has requested a one-time exemption from the Non-Gaming Vendor licensing requirements for A & B Equipment & Sons, Inc. as they will exceed the Service Vendor threshold for an isolated project.

NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that A & B Equipment & Sons, Inc. shall be issued a one-time exemption from the Non-Gaming Vendor licensing requirements strictly for the purchase Chicago dryer press and feeder not to exceed \$257,648.00. This one-time exemption will expire after the purchase of the dryer and components. This exemption is valid once the Gaming Commission is in receipt of the Conflict of Interest Disclosure form between the Little River Casino Resort and A & B Equipment & Sons, Inc.

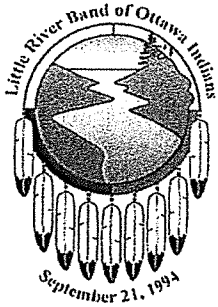
#### **Certificate of Adoption**

I, Debra Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on January 11, 2022 by a vote of 4 in favor, \_\_\_\_\_ opposed, and \_\_\_\_\_ abstentions and 1 absent. This order has not been amended or rescinded in any way.

Attest:

  
\_\_\_\_\_  
Debra Davis, Secretary

Distribution:      Commission Records  
                         Little River Casino Resort  
                         Tribal Council Recorder



**Little River Band of Ottawa Indians**  
**GAMING COMMISSION**  
2840 Orchard Highway, Suite A  
Manistee MI 49660  
(231) 723-7755

**Gaming Commission Order #GC22-0111-02**

*Granting Exemption to Curative Labs, Inc. from  
Vendor Licensing Requirements*

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.04 (a) of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate regulations as may be necessary to carry out the orderly performance of its duties and powers; and

WHEREAS, Chapter 19 – Section 3-1 of the Gaming Commission approved regulations states that every person supplying any goods, services, and/or concessions to any gaming enterprise must obtain a Non-Gaming Vendor License unless exempt from

licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 19 – Section 3-2 of the Gaming Commission approved regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 19 – Section 3-3 of the Gaming Commission approved regulations states that prior to the purchase of any goods, services, and/or concessions from any person, the gaming enterprise shall forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the goods, services, and/or concessions to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed by the Gaming Commission; and

WHEREAS, Chapter 19 – Section 3-4 (a) and (b) of the Gaming Commission approved regulations states that the Gaming Commission, at its sole discretion, may exempt persons (or businesses) from vendor licensing requirements in certain highly regulated fields or may grant special exemptions for persons or businesses providing professional services or unique services; and

WHEREAS, Chapter 19 – Section 3-6 of the Gaming Commission approved regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempt by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

WHEREAS, Chapter 19 – Section 10-3 (e) of the Gaming Commission approved regulations requires the gaming enterprise to provide advance notice to the Gaming Commission if the gaming enterprise has reason to believe that the sales to the gaming enterprise by a Service Vendor will reach or exceed \$200,00.00 in any calendar year. Such notice shall describe the circumstances warranting the increased volume of business, and, if appropriate under the circumstances, a request for exemption from the licensing requirements. The Gaming Commission may deem it appropriate to exempt a Service Vendor from the licensing requirements if the gaming enterprise demonstrates specific circumstances apply as written; and

Gaming Commission Order #GC22-0111-02  
Granting One-time Exemption to Curative Labs, Inc.  
from Vendor Licensing Requirements  
Page Three

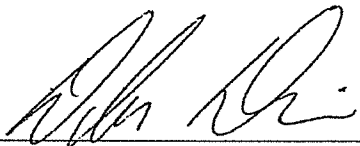
WHEREAS, the gaming enterprise has requested an exemption from the Non-Gaming Vendor licensing requirements for Curative Labs, Inc. for the purpose of providing COVID-19 testing services for the gaming enterprise.

NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that Curative Labs, Inc. is hereby exempt from the Non-Gaming Vendor licensing requirement as a highly regulated medical corporation providing medical related services to the gaming enterprise. This exemption is valid once the Gaming Commission is in receipt of the Conflict of Interest Disclosure form between the Little River Casino Resort and Curative Labs, Inc.

#### **Certificate of Adoption**

I, Debra Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on January 11, 2022 by a vote of 4 in favor, \_\_\_\_\_ opposed, and \_\_\_\_\_ abstentions and 1 absent. This order has not been amended or rescinded in any way.

Attest:

  
\_\_\_\_\_  
Debra Davis, Secretary

Distribution:      Commission Records  
                         Little River Casino Resort  
                         Tribal Council Recorder