



Little River Band of Ottawa Indians
Gaming Commission
2840 Orchard Highway, Suite A
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Report to Tribal Council for the Month of January 2020

I. Purpose, Officers.

This is the monthly report of the Gaming Commission. The purpose of the Commission is to ensure the integrity, honesty and fairness of all gaming activities conducted on the Tribe's Reservation and that such gaming activities be conducted in conformance with the Gaming Ordinance #10-400-01, Rules and Regulations promulgated by the Gaming Commission, the Indian Gaming Regulatory Act, and any applicable Class III gaming compact or Class III gaming rules.

Bill Willis, Chairperson
Debra Davis, Secretary
Mark Jespersen, Commissioner
Steve Parsons, Commissioner
Alyce Giltz, Commissioner

II. Activities during the Past Month:

During the month of January the Gaming Commission:

- held four regular meetings
- held one hearing

III. Concerns/Requests:

IV. Attachments. The following documents are attached to this report.

Minutes:

1. December 17, 2019
2. January 7, 2020
3. January 14, 2020
4. January 21, 2020

Resolutions:

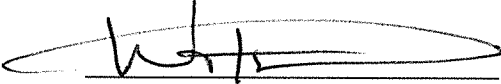
1. Gaming Commission Resolution #GC20-0114-01 Approval of Revised Gaming Commission Regulation #R400-04:GC-07 Chapter 7 – Gaming Employee Licensing
2. Gaming Commission Resolution #GC20-0128-02 Approval of Revised Gaming Commission By-Laws
3. Gaming Commission Resolution #GC20-0128-03 Approval of Gaming Commission FBI Criminal History Record Information (CHRI) Policy

Commission Orders:

Other:

Reports:

1. Administrative, Licensing, and Background Investigations
2. Compliance Department
3. Surveillance Department


Chairperson

2/4/20
Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

December 17, 2019

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:35 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Bill Willis, Debra Davis, Mark Jespersen (telephone), Alyce Giltz
Commission Staff: Kelly Maser
Others: Joe Riley

Approval of Open Session Agenda:

a) **December 17, 2019**

Action: B. Willis made a motion to approve the Open Session Agenda for December 17, 2019. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **December 10, 2019**

Action: S. Parsons made a motion to approve the Open Session Minutes for December 10, 2019. **Seconded by D. Davis**

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

None

Next Gaming Commission Meeting

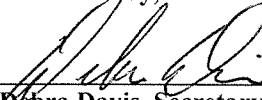
a) **January 7, 2020 at 5:30 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:36 p.m. **Seconded by D. Davis**

Roll Call: A. Giltz – Yes / M. Jespersen – Yes / B. Willis – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

1-7-2020
Date

Items moved to Open Session:

Approval of Vendor License - Konami Gaming, Inc.

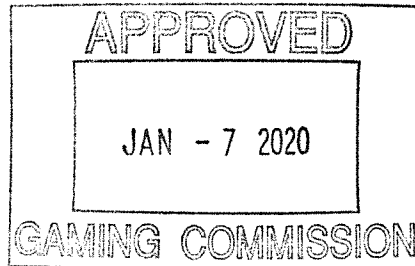
Action: B. Willis made a motion to approve the renewal application for Gaming Vendor Konami Gaming, Incorporated – license effective date January 6, 2020 – license expiration date January 6, 2021. **Seconded by S. Parsons**

Roll Call: D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Approval of Vendor License - Slot Service, Inc.

Action: B. Willis made a motion to approve the initial application for Gaming Vendor Slot Service, Incorporated – license effective date December 17, 2019 – license expiration date December 17, 2020. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / M. Jespersen – Yes / A. Giltz – Yes / D. Davis – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

January 7, 2020

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistec, MI 49660

Meeting called to order at 5:33 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Alyce Giltz, Bill Willis, Debra Davis, Mark Jespersen

Commission Staff: Kelly Maser, Erford Edmondson

Others: Rebecca Liebing, Dawn McGrady, Joseph Riley, Tiphonie Smith

Approval of Open Session Agenda:

a) **January 7, 2020**

Action: B. Willis made a motion to approve the Open Session Agenda for January 7, 2020. **Seconded by D. Davis**
Roll Call: S. Parsons – Yes / A. Giltz – Yes / B. Willis – Yes / D. Davis – Yes / M. Jespersen – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **December 17, 2019**

Action: S. Parsons made a motion to approve the Open Session Minutes for December 17, 2019 as presented.

Seconded by D. Davis

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

None

Next Gaming Commission Meeting

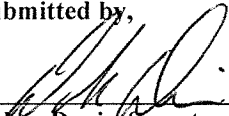
a) **January 14, 2020 at 5:30 p.m. (tentative time)**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:36 p.m. **Seconded by D. Davis**

Roll Call: A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

1-14-2020

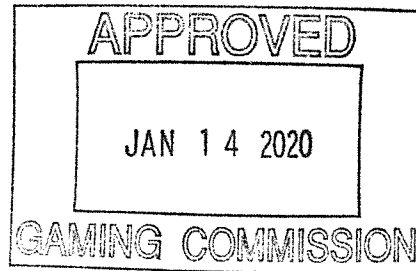
Date

Items moved to Open Session:

Approval of Vendor License – The F.P. Horak Company

Action: B. Willis made a motion to approve the renewal application for Non-Gaming Vendor The F.P. Horak Company – license effective date January 12, 2020 – license expiration date January 12, 2022. **Seconded by D. Davis**

Roll Call: D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / M. Jespersen – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

January 14, 2020

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 5:40 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Alyce Giltz, Bill Willis, Mark Jespersen (phone), Debra Davis, Steve Parsons

Commission Staff: Kelly Maser

Others: Rebecca Liebing, Lee Ivinson

Approval of Open Session Agenda:

a) January 14, 2020

Action: B. Willis made a motion to approve the Open Session Agenda for January 14, 2020. **Seconded by D. Davis**

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) January 7, 2020

Action: D. Davis made a motion to approve the January 7, 2020 Open Session Meeting Minutes. **Seconded by S. Parsons**

Roll Call: B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Gaming Commissioner Officer Elections

Action: B. Willis made a motion to nominate Debra Davis as Secretary. **Seconded by M. Jespersen**

Roll Call: A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Action: D. Davis made a motion to nominate Bill Willis as Chairperson. **Seconded by S. Parsons**

Roll Call: D. Davis – Yes / S. Parsons – Yes / B. Willis – Yes / M. Jespersen – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Approval of Revised Gaming Commission Regulation – Chapter 7 – Gaming Employee Licensing

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC20-0114-01 approval of Revised Gaming Commission Regulation #R400-04-GC-07 – Chapter 7 – Gaming Employee Licensing. **Seconded by M. Jespersen**

Roll Call: S. Parsons – Yes / D. Davis – Yes / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Yes / 5-Yes- 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Discussion Period:

MGCB Draft Audit Report

Next Gaming Commission Meeting

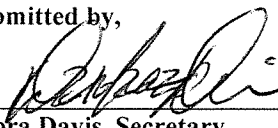
a) January 21, 2020 at 6:00 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:53 p.m. **Seconded by D. Davis**

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

1-21-2020

Date

Items moved to Open Session:

Approval of Vendor License – Temperature Control, Inc.

Action: B. Willis made a motion to approve the renewal application for Non-Gaming Vendor Temperature Control, Inc. – license effective date April 12, 2020 – license expiration date April 12, 2022. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / B. Willis – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

January 21, 2020

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660

Meeting called to order at 6:12 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Alyce Giltz, Bill Willis, Debra Davis, Mark Jespersen (absent)

Commission Staff: Kelly Maser, Erford Edmondson

Others: Rebecca Liebing, Lee Ivinson, Tiphonie Smith

Approval of Open Session Agenda:

a) **January 21, 2020**

Action: B. Willis made a motion to approve the Open Session Agenda for January 21, 2020. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / A. Giltz – Yes / B. Willis – Yes / D. Davis – Yes / M. Jespersen – Absent / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **January 14, 2020**

Action: S. Parsons made a motion to approve the Open Session Minutes for January 14, 2020. **Seconded by D. Davis**

Roll Call: M. Jespersen – Absent / S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

None

Next Gaming Commission Meeting

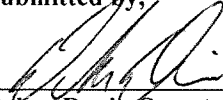
a) **January 28, 2020 at 5:30 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 6:14 p.m. **Seconded by D. Davis**

Roll Call: A. Giltz – Yes / M. Jespersen – Absent / S. Parsons – Yes / B. Willis – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,



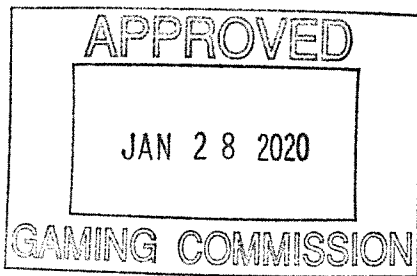
Debra Davis, Secretary

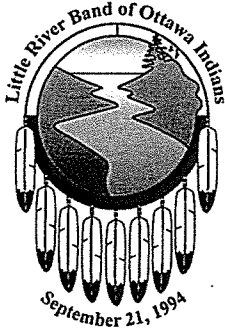
1-28-2020

Date

Items moved to Open Session:

None





Gaming Commission

2840 Orchard Hwy., Suite "A"

Manistee, MI 49660

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Gaming Commission Resolution #GC20-0114-01

APPROVAL OF REVISED GAMING COMMISSION REGULATION #R400-04:GC-07 CHAPTER 7 – GAMING EMPLOYEE LICENSING

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, Gaming Commission Regulation #R400-04:GC-07 Chapter 7 – Gaming Employee Licensing was last approved by the Gaming Commission on October 23, 2018 and a recent decision of the Gaming Commission (based partially on a recent notice from the National Indian Gaming Commission) deemed it necessary to make revisions.

Gaming Commission Resolution #GC20-0114-DI
Approval of Revised Gaming Commission Regulation #R400-04:GC-07
Chapter 7 – Gaming Employee Licensing
Page Two

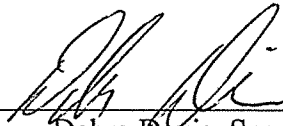
NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves Gaming Commission Regulation #400-04:GC-07 – Chapter 7 – Gaming Employee Licensing; and

IT IS FURTHER RESOLVED THAT Gaming Commission Regulation #400-04:GC-07 – Chapter 7 – Gaming Employee Licensing be forwarded to the Tribal Council Recorder for filing in accordance with Gaming Commission Ordinance Section 6.04 (b).

Certificate of Adoption

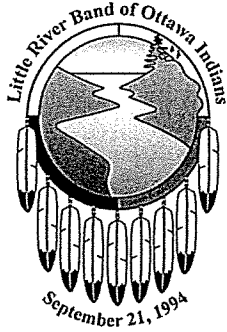
I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on January 14, 2020 by a vote of 5 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission

2840 Orchard Hwy., Suite 'A'
Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC-20-0128-02

APPROVAL OF REVISED GAMING COMMISSION BY-LAWS

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

Whereas, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance # 04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, Tribal Council adopted the revised Commissions Ordinance #04-150-01 on January 9, 2019; and

WHEREAS, the Section 6.01 of the Commissions Ordinance states that all Commissions shall have two creation documents – an Ordinance and by-laws; and

WHEREAS, Section 6.02 (f) of the Commissions Ordinance mandates that by-laws be reviewed on an annual basis by the Gaming Commission for amendments; and

WHEREAS, a recent decision of the Gaming Commission that this language does not belong in the Gaming Commission By-Law, but rather Tribal law.

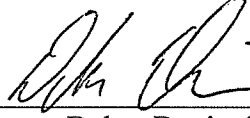
NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves their revised by-laws; and

IT IS FURTHER RESOLVED THAT the by-laws be forwarded to Tribal Council for placement on their agenda for approval.

Certificate of Adoption

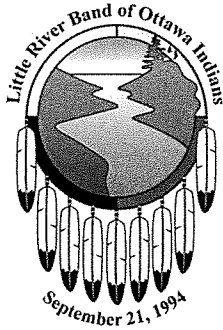
I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on January 28, 2020 by a vote of 5 in favor, 0 opposed, 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison



Gaming Commission
2840 Orchard Hwy., Suite "A"
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC20-0128-03

APPROVAL OF GAMING COMMISSION FBI CRIMINAL HISTORY RECORD INFORMATION (CHRI) POLICY

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, Section 6.04 (a) of the Gaming Commission Ordinance permits the Gaming Commission to promulgate regulations and internal operational procedures of the Gaming Commission and its staff; and

WHEREAS, the Gaming Commission FBI Criminal History Record Information (CHRI) Policy was created to become compliant with the Privacy Act of 1974, Title 5 – United States Code (U.S.C.) – Section 552 (a), and Title 28 – Code of Federal Regulations (CFR) – Section 50.12, among other authorities.

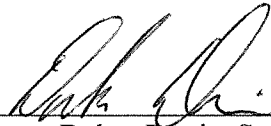
Gaming Commission Resolution #GC20-0128-b3
Approval of Gaming Commission FBI Criminal History Record Information (CHRI)
Policy
Page Two

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa Indians Gaming Commission hereby approves Gaming Commission FBI Criminal History Record Information (CHRI) Policy.

Certificate of Adoption

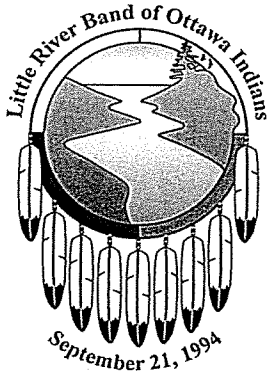
I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution was adopted on January 28, 2020 by a vote of 5 in favor, 0 opposed, and 0 abstentions and 0 absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary


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Tribal Council Liaison



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Memo

Aániípiísh Epiídek (To): Little River Band of Ottawa Indians Gaming Commission

Binjíbidek (From): Kelly Maser, Executive Director 

Maánda Naásaáp (cc):

Naángwa (Date): February 3, 2020

Maánda Nji (Re): Administrative and Licensing – January 2020 Monthly Report

- 69 licenses processed – initial applications and renewals (Gaming and Non-Gaming)
- 65 applications/files/investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 14 interviews conducted for new employee license applicants
- 3 sets of fingerprints submitted
- National Indian Gaming Commission (NIGC) Notices
 - 2 Notice of Results (NOR) prepared and processed
 - 5 Licensing Determinations prepared and processed
 - 5 Investigative Reports prepared and processed
- 1 Show Cause Hearing packet prepared and presented to the Gaming Commission
- 2 Vendor Licenses processed
- 5 applications sent to vendors (Gaming and Non-Gaming)
- Non-Gaming Vendor License Applications received, reviewed and investigation conducted
 - 1 Corporate History Disclosure Forms
 - 3 Personal History Disclosure Forms
- Gaming Vendor License Applications received and reviewed
 - 6 Corporate History Disclosure Forms
 - 18 Personal History Disclosure Forms
- 24 investigations reviewed for authorization of approval – initial applications and renewals (Gaming and Non-Gaming)
- 10 Gaming Commission meeting agendas prepared
- 5 Gaming Commission Resolutions drafted
- 2 Tribal Council Resolutions drafted
- 2 Tribal Council Agenda items processed
- 1 Gaming Commission monthly report compiled and submitted to Tribal Council
- 1 Raffle License processed