

V.C.



**Little River Band of Ottawa Indians
Gaming Commission**
2840 Orchard Highway, Suite A
Manistee, MI 49660
Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Open Session Report for the Month of October 2020

I. Officers

Bill Willis, Chairperson
Debra Davis, Secretary
Mark Jespersen, Commissioner
Steve Parsons, Commissioner
Alyce Giltz, Commissioner

II. Activities for the month of October 2020:

- 1. Regular meeting held on October 6, 2020
- 2. Regular meeting held on October 13, 2020
- 3. Regular meeting held on October 20, 2020
- 4. Regular meeting held on October 27, 2020

III. Concerns/Requests/Comments:

IV. Vendors Approved:

- 1. Gaming Vendor – SG Gaming, Inc. d/b/a Scientific Games
- 2. Gaming Vendor – Aruze Gaming America, Inc.
- 3. Gaming Vendor – AGS, LLC
- 4. Gaming Vendor – 60-Day Extension – SUZOHAPP Gaming Solutions, LLC
- 5. Gaming Vendor – WIPFLi, LLP Exemption (GC Order #GC19-0122-01 extended)
- 6. Non-Gaming Vendor – Exemption – Van Dyken Mechanical, Incorporated

V. Attachments (the following documents are attached to this report):

Minutes:

- 1. September 22, 2020
- 2. October 6, 2020
- 3. October 13, 2020
- 4. October 20, 2020

Resolutions:

- 1. Gaming Commission Resolution #GC20-1020-17 – Approval of Gaming Commission Meeting Schedule for 2021 (schedule included)

Orders:

- 1. Gaming Commission Order #GC-20-1027-06 – Granting Exemption to Van Dyken Mechanical, Incorporated from Vendor Licensing Requirements

2020 NOV -2 AMID: 19
RECEIVED
TRIBAL COUNCIL



Chairperson

10/28/20
Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
September 22, 2020
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**



Meeting called to order at 5:37 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Alyce Giltz, Bill Willis, Debra Davis, Mark Jespersen
Commission Staff: Kelly Maser
Others: Lee Iverson, Joe Riley

Approval of Open Session Agenda:

a) **September 22, 2020**

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for September 22, 2020. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **September 15, 2020**

Action: M. Jespersen made a motion to approve the Open Session Minutes for September 15, 2020. **Seconded by S. Parsons**

Roll Call: D. Davis – Yes / M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) **LRCR preparing feedback on revisions to Chapter 10**

Discussion Period:

None

Next Gaming Commission Meeting

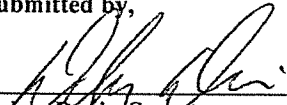
a) **October 6, 2020 at 5:30 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:41 p.m. **Seconded by D. Davis**

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / D. Davis – Yes / B. Willis – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,



Debra Davis, Secretary

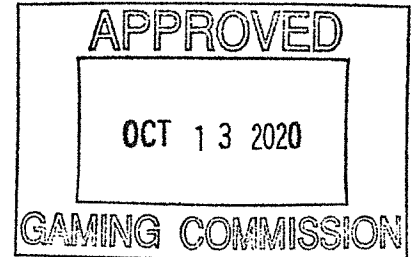
10-6-2020

Date

Items moved to Open Session:

None

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
October 6, 2020
Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**



Meeting called to order at 5:25 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Alyce Giltz, Bill Willis, Debra Davis, Mark Jespersen
Commission Staff: Kelly Maser
Others: Lee Iverson, Joseph Riley

Approval of Open Session Agenda:

a) October 6, 2020

Action: D. Davis made a motion to approve the Open Session Meeting Agenda for October 6, 2020. Seconded by B. Willis

Roll Call: B. Willis – Yes / M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED

Approval of Open Session Minutes:

a) September 22, 2020

Action: B. Willis made a motion to approve the Open Session Minutes for September 22, 2020. Seconded by D. Davis

Roll Call: D. Davis – Yes / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED

Old Business:

None

New Business:

None

Discussion Period:

None

Next Gaming Commission Meeting

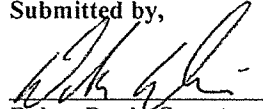
a) October 13, 2020 at 5:30 p.m.

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:40 p.m. **Seconded by D. Davis**

Roll Call: A. Giltz – Yes / D. Davis – Yes / S. Parsons – Yes / M. Jespersen – Yes / B. Willis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

10-13-2020
Date

Items moved to Open Session:

a) Approval of Vendor License – SG Gaming Inc. d/b/a Scientific Games

Action: B. Willis made a motion for the approval of the renewal application for SG Gaming Inc. d/b/a Scientific Games – license effective date November 15, 2020 – license expiration date November 15, 2021. **Seconded. D. Davis**

Roll Call: A. Giltz – Yes / S. Parsons – Yes / M. Jespersen – Yes / D. Davis - Yes / B. Willis – Yes / 5–Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) Approval of Vendor License – Aruze Gaming America, Inc.

Action: B. Willis made a motion for the approval of the renewal application for Gaming Vendor Aruze Gaming America, Inc. – license effective date November 6, 2020 – license expiration date November 6, 2021. **Seconded by D. Davis**

Roll Call: M. Jespersen – Yes / A. Giltz – Yes / B. Willis – Yes / S. Parsons – Yes / D. Davis - Yes / 5–Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
October 13, 2020**

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660



Meeting called to order at 5:35 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Alyce Giltz, Bill Willis, Debra Davis, Mark Jespersen (absent)
Commission Staff: Kelly Maser, Erford Edmondson
Others: Joseph Riley

Approval of Open Session Agenda:

a) October 13, 2020

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for October 13, 2020. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / M. Jespersen – Absent / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) October 6, 2020

Action: S. Parsons made a motion to approve the Open Session Minutes of the Gaming Commission for October 6, 2020. **Seconded by B. Willis**

Roll Call: D. Davis – Yes / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Absent / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) Approval of Revised Key/Non-Gaming Employee License Application

Action: B. Willis made a motion to approve the revised Key/Non-Gaming Employee License Renewal Application. **Seconded by D. Davis**

Roll Call: S. Parsons – Yes / B. Willis – Yes / A. Giltz – Yes / M. Jespersen – Absent / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Approval of Revised PMO Employee License Application

Action: S. Parsons made a motion to approve the PMO Employee License Renewal Application as submitted. **Seconded by D. Davis**

Roll Call: A. Giltz – Yes / S. Parsons – Yes / M. Jespersen – Absent / D. Davis – Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Discussion Period:

None

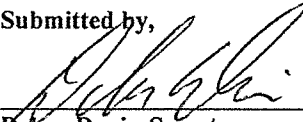
Next Gaming Commission Meeting

- a) **October 20, 2020 at 5:30 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:45 p.m. **Seconded by D. Davis**
Roll Call: A. Giltz – Yes / D. Davis – Yes / S. Parsons – Yes / M. Jespersen – Absent / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

10-20-2020
Date

Items moved to Open Session:

- a) **Approval of Vendor License – AGS, LLC**

Action: B. Willis made a motion for the approval of the renewal application for AGS, LLC – license effective date August 18, 2020 – license expiration date August 18, 2021. **Seconded. D. Davis**

Roll Call: A. Giltz – Yes / S. Parsons – Yes / M. Jespersen – Absent / D. Davis - Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

- b) **Approval of 60-Day Extension – SUZOHAPP Gaming Solutions, LLC**

Action: B. Willis made a motion to approve a 60-day extension to the expirations date for Gaming Vendor SUZOHAPP Gaming Solutions, LLC due to change in ownership –moving the expiration date to December 22, 2020 (reference Gaming Commission Order #GC20-0526-03). **Seconded. D. Davis**

Roll Call: S. Parsons – Yes / M. Jespersen – Absent / D. Davis - Yes / A. Giltz – Yes / B. Willis – Yes / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

- c) **Vendor Licensing Exemption Request – WIPFLi, LLP**

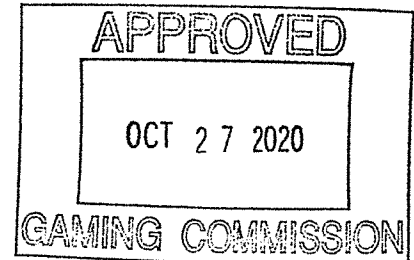
Action: B. Willis made a motion to extend GC Order #GC19-0122-01 to include the October 12, 2020 request for exemption from the vendor licensing requirements for WIPFLi, LLP's for providing professional services. **Seconded by S. Parsons**

Roll Call: B. Willis – Yes / A. Giltz – Yes / S. Parsons – Yes / D. Davis – Yes / M. Jespersen – Absent / 4-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING**

October 20, 2020

Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660



Meeting called to order at 5:35 p.m. by Chairperson, Bill Willis

Present:

Commissioners: Steve Parsons, Alyce Giltz, Bill Willis, Debra Davis, Mark Jespersen
Commission Staff: Kelly Maser, Tonia Reinhard, Erford Edmondson
Others: Lee Ivinson, Joseph Riley, Rebecca Liebing (arrived at 5:36 p.m.)

Approval of Open Session Agenda:

a) **October 20, 2020**

Action: B. Willis made a motion to approve the Open Session Meeting Agenda for October 20, 2020. **Seconded by D. Davis**

Roll Call: B. Willis – Yes / M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) **October 13, 2020**

Action: S. Parsons made a motion to approve the Open Session Minutes for October 13, 2020. **Seconded by M. Jespersen**

Roll Call: D. Davis – Yes / A. Giltz – Yes / B. Willis – Yes / M. Jespersen – Yes / S. Parsons – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

a) **Approval of 2021 Gaming Commission Schedule**

Action: B. Willis made a motion to approve Gaming Commission Resolution #GC20-1020-17 approval of Gaming Commission Meeting Schedule for 2021. **Seconded by M. Jespersen**

Roll Call: M. Jespersen – Yes / B. Willis – Yes / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / 5-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Discussion Period:

- a) NIGC audit update
- b) Amendment to the Internet Gaming Act passed the Senate (Michigan)
- c) Annual machine testing

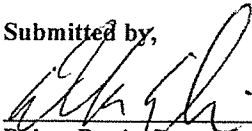
Next Gaming Commission Meeting

- a) **October 27, 2020 at 5:30 p.m.**

Adjournment of Open Session:

Action: B. Willis made a motion to adjourn the Open Session at 5:40 p.m. **Seconded by M. Jespersen**
Roll Call: A. Giltz – Yes / D. Davis – Yes / S. Parsons – Yes / M. Jespersen – Yes / B. Willis – Yes / 5-Yes, 0-No,
0-Abstain, 0-Absent. **MOTION CARRIED**

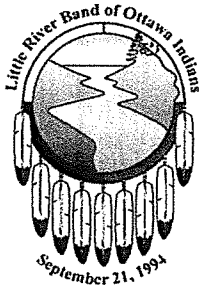
Submitted by,


Debra Davis, Secretary

10-27-2020
Date

Items moved to Open Session:

None



Little River Band of Ottawa Indians

Gaming Commission

2840 Orchard Highway, Suite A

Manistee, MI 49660

Tel: (231) 723-7755 • Fax: (231) 723-7788

Gaming Commission Resolution #GC20-1020-17

APPROVAL OF GAMING COMMISSION MEETING SCHEDULE FOR 2021

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council has, pursuant to Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal and federal laws governing the conduct of Tribally-licensed gaming activities; and

WHEREAS, the Gaming Commission By-Laws – Section 4.01 (a) requires the Gaming Commission to hold at least two (2) regular meetings per month and at such other times as may be deemed necessary to perform assigned duties; and

WHEREAS, the Gaming Commission's By-Laws – Section 4.01 (c) requires the Gaming Commission to establish a schedule for its regular meetings for each calendar year by no later than the last regular meeting of the preceding year, that notice be posted in all Tribal government buildings.

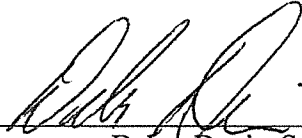
Gaming Commission Resolution #GC20-1020-17
Approval of Gaming Commission Meeting Schedule for 2021
Page Two

NOW THEREFORE IT IS RESOLVED THAT the Little River Band of Ottawa
Indians Gaming Commission hereby approves their 2021 regular Meeting Schedule.

Certificate of Adoption

I, Debra Davis, Secretary of the Gaming Commission do hereby certify that this resolution
was adopted on October 20, 2020 by a vote of 5 in favor, ___ opposed, and ___
abstentions and ___ absent. This resolution has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Tribal Council Liaison

Little River Band of Ottawa Indians Gaming Commission Meeting Schedule for 2021

All meetings are held via teleconference (excluding the Commission, legal, staff, or invited guests of the Commission) and or Zoom. The open session portion of the Gaming Commission meetings generally begins at 5:30 p.m., but this is subject to change. Please call 723-7755 to confirm the open session meeting time. Below is the pertinent information to participate in the meeting:

Join Zoom Meeting

<https://zoom.us/j/2047788800?pwd=OVBiUDhZL1NkSVBsKvYkTWxYamFOdz09>

Meeting ID: 204 778 8800

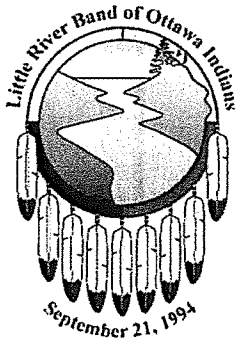
Passcode: 582042

Dial by your location

1 312 626 6799

1 929 436 2866

January 5 th	January 12 th
January 19 th	January 26 th
February 2 nd	February 9 th
February 16 th	February 23 rd
March 2 nd	March 9 th
March 16 th	March 23 rd
April 6 th	April 13 th
April 20 th	April 27 th
May 4 th	May 11 th
May 18 th	May 25 th
June 1 st	June 8 th
June 15 th	June 22 nd
July 6 th	July 13 th
July 20 th	July 27 th
August 3 rd	August 10 th
August 17 th	August 24 th
September 7 th	September 14 th
September 21 st	September 28 th
October 5 th	October 12 th
October 19 th	October 26 th
November 2 nd	November 9 th
November 16 th	November 23 rd
December 7 th	December 14 th
December 21 st	



**Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755**

GAMING COMMISSION ORDER #GC-20-1027-06

**GRANTING EXEMPTION TO VAN DYKEN MECHANICAL, INCORPORATED
FROM VENDOR LICENSING REQUIREMENTS**

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7(I) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.04 (a) of Gaming Commission Ordinance, the Gaming Commission is authorized to promulgate regulations as may be necessary to carry out the orderly performance of its duties and powers; and

WHEREAS, Chapter 19 – Section 3-1 of the Gaming Commission approved regulations states that every person supplying any goods, services, and/or concessions to any gaming enterprise must obtain a Non-Gaming Vendor License unless exempt from

Gaming Commission Order #GC-20-1027-06
Granting Exemption to Van Dyken Mechanical, Incorporated
from Vendor Licensing Requirements
Page Two

licensing requirements pursuant to Section 3-4 or Section 3-5 or meeting the definition of Service Vendor; and

WHEREAS, Chapter 19 – Section 3-2 of the Gaming Commission approved regulations states that the gaming enterprise shall be responsible for notifying any person with which it is considering conducting business of the licensing requirements prior to contracting with such person; and

WHEREAS, Chapter 19 – Section 3-3 of the Gaming Commission approved regulations states that prior to the purchase of any goods, services, and/or concessions from any person, the gaming enterprise shall forward a written “Notice of Intent to Conduct Business” to the Gaming Commission. The “Notice of Intent to Conduct Business” shall describe the goods, services, and/or concessions to be provided by the vendor, together with a good faith estimate of the annual dollar amount of the projected purchases from the vendor. The gaming enterprise may not commit to any binding contracts or business transactions until the vendor has been licensed by the Gaming Commission; and

WHEREAS, Chapter 19 – Section 3-4 (a) and (b) of the Gaming Commission approved regulations states that the Gaming Commission, at its sole discretion, may exempt persons (or businesses) from vendor licensing requirements in certain highly regulated fields or may grant special exemptions for persons or businesses providing professional services or unique services; and

WHEREAS, Chapter 19 – Section 3-6 of the Gaming Commission approved regulations states that the gaming enterprise is prohibited from entering into any contract until the vendor has been exempt by the Gaming Commission. Vendors granted an exemption by the Gaming Commission shall be required to provide a completed Conflict of Interest Disclosure in a form satisfactory to the Gaming Commission prior to the commencement of business with the gaming enterprise; and

WHEREAS, the gaming enterprise has requested exemption from the vendor licensing requirements for Van Dyken Mechanical, Incorporated for the purchase and installation of the HVAC Bipolar Ionization Air Purification system that will assist in combatting COVID-19.

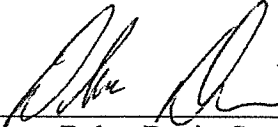
NOW THEREFORE IT IS THE ORDER of the Little River Band of Ottawa Indians Gaming Commission that Van Dyken Mechanical, Incorporated shall be exempt from the licensing requirements for purposes of professional services to the Little River Casino Resort. This exemption is valid once the Gaming Commission is in receipt of the Conflict of Interest Disclosure form between the Little River Casino Resort and the vendor per Chapter 19 – Non-Gaming Vendor Licensing – Section 3-6.

Gaming Commission Order #GC-20-1027-06
Granting Exemption to Van Dyken Mechanical, Incorporated
from Vendor Licensing Requirements
Page Three

Certificate of Adoption

I, Debra Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on October 27, 2020 by a vote of 5 in favor, _____ opposed, and _____ abstentions and _____ absent. This order has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Commission Records
Little River Casino Resort
Tribal Council Recorder