

V.C.



**Little River Band of Ottawa Indians
Gaming Commission**

Gaming Commission Open Session Report for the Month of April 2023

I. Officers:

Steve Parsons, Chairperson
Debra Davis, Secretary
Mark Jespersen, Commissioner
Alyce Giltz, Commissioner

II. Activities for the month of April 2023:

1. Regular meeting held on April 4, 2023
2. Regular meeting held on April 11, 2023
3. Regular meeting held on April 18, 2023
4. Regular meeting held on April 25, 2023

III. Concerns/Requests/Comments:

IV. Vendors Approved or Other:

1. Gaming Vendor – NRT Technologies, Inc.
2. Gaming Vendor – Halifax Security, Inc. dba North American Video
3. Gaming Vendor – Ainsworth Game Technology, Inc.
4. Gaming Vendor – Sightline Payments, LLC d/b/a Joingo

V. Attachments (the following documents are attached to this report):

Minutes:

1. March 14, 2023
2. April 4, 2023
3. April 11, 2023
4. April 18, 2023

Resolutions:

Orders:

1. Gaming Commission Order #GC23-0411-02 – Prohibiting Little River Casino Resort from Conducting Business with Landry Holdings, LLC d/b/a Casino Tech
2. Gaming Commission Order #GC23-0418-03 – Requiring the Little River Casino Resort to Implement Procedures Related to Promotions

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 Chairperson

4-26-23

 Date

**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
March 14, 2023**

**Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**



Meeting called to order at 5:31 p.m. by Chairperson, Steve Parsons

Present:

Commissioners: Steve Parsons, Alyce Giltz, Debra Davis, Mark Jespersen
Commission Staff: Kelly Maser, Tonia Reinhard
Others: Jim Henderson

Approval of Open Session Agenda:

a) March 14, 2023

Action: S. Parsons made a motion to approve the Open Session Agenda for March 14, 2023. **Seconded by D. Davis**
Roll Call: M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) March 7, 2023

Action: M. Jespersen made a motion to approve the Open Session Minutes for March 7, 2023. **Seconded by S. Parsons**
Roll Call: D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

a) Approval to Reinstate the Fines Associated with Late Renewal Submissions

Action: S. Parsons made a motion to reinstate the fines associated with employee licensing late renewals effective May 1, 2023. **Seconded by M. Jespersen**
Roll Call: S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

b) LRCR J. Henderson reported emergency phone system for active hooter update

c) K. Maser shared ZeroEyes update

Next Gaming Commission Meeting

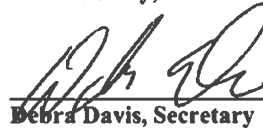
- a) **March 21, 2023 at 5:30 p.m.**

Adjournment of Open Session:

Action: S. Parsons made a motion to adjourn Open Session at 6:11 p.m. Seconded by A. Giltz

Roll Call: S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED

Submitted by,


Debra Davis, Secretary

4-10-23
Date

Items moved to Open Session:

Vendor Licensing:

- a) **Approval of Gaming Vendor License – JCM American Corporation**

Action: S. Parsons made a motion to approve the renewal license for Gaming Vendor for JCM American Corporation – license effective date May 6, 2023 – license expiration date May 6, 2024. Seconded by D. Davis

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED

- b) **Approval of Gaming Vendor License – Incredible Technologies, Inc.**

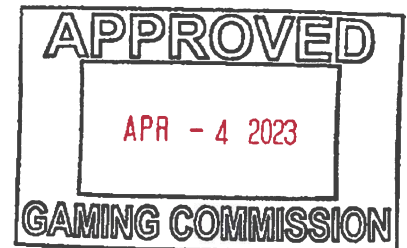
Action: S. Parsons made a motion to approve the renewal application for Gaming Vendor Incredible Technologies, Inc. – license effective date May 20, 2023 – license expiration date May 20, 2024. Seconded by D. Davis

Roll Call: A. Giltz – Yes / D. Davis – Yes / M. Jespersen – Yes / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED

- c) **Approval of Gaming Vendor License – SCA Entertainment, LP**

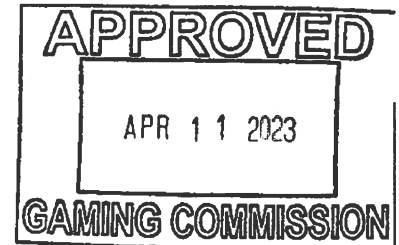
Action: S. Parsons made a motion to approve the renewal application for Gaming Vendor License for SCA Entertainment, LP – license effective date May 8, 2023 – license expiration date May 8, 2024. Seconded by D. Davis

Roll Call: D. Davis – Yes / A. Giltz – Yes / S. Parsons – Yes / M. Jespersen – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
April 4, 2023**

**Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**



Meeting called to order at 5:34 p.m. by Chairperson, Steve Parsons

Present:

Commissioners: Steve Parsons, Alyce Giltz, Debra Davis, Mark Jespersen
Commission Staff: Kelly Maser, Tonia Reinhard
Others: Julie Wolfe, Jim Henderson

Approval of Open Session Agenda:

a) April 4, 2023

Action: S. Parsons made a motion to approve the Open Session Agenda for April 4, 2023. **Seconded by A. Giltz**
Roll Call: M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) March 14, 2023

Action: M. Jespersen made a motion to approve the Open Session Minutes for March 14, 2023. **Seconded by D. Davis**
Roll Call: D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

a) ZeroEyes

Action: S. Parsons made a motion to move ZeroEyes to the Closed Session. **Seconded by A. Giltz**
Roll Call: D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

- b) Audit Presentation update**
- c) Tribal Council Legal Update**
- d) Maverick Gaming, LLC vs. US et al**

Next Gaming Commission Meeting

- a) April 11, 2023 at 5:30 p.m.**

Adjournment of Open Session:

Action: S. Parsons made a motion to adjourn Open Session at 5:49 p.m. Seconded by A. Giltz
Roll Call: S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED

Submitted by,



Debra Davis, Secretary

4-12-23

Date

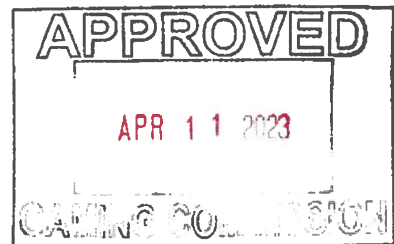
Items moved to Open Session:

Vendor Licensing:

a) Approval of Gaming Vendor License – NRT Technologies, Inc.

Action: S. Parsons made a motion to approve the renewal license for Gaming Vendor NRT Technologies, Inc. – license effective date June 26, 2023 – license expiration date June 26, 2024. Seconded by A. Giltz

Roll Call: M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. MOTION CARRIED



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
April 11, 2023**

**Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**



Meeting called to order at 5:33 p.m. by Chairperson, Steve Parsons

Present:

Commissioners: Steve Parsons, Alyce Giltz, Debra Davis, Mark Jespersen
Commission Staff: Kelly Maser, Tonia Reinhard
Others: Julie Wolfe, Lee Ivinson

Approval of Open Session Agenda:

a) April 11, 2023

Action: S. Parsons made a motion to approve the Open Session Agenda for April 11, 2023. **Seconded by A. Giltz**
Roll Call: M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) April 4, 2023

Action: M. Jespersen made a motion to approve the Open Session Minutes for April 4, 2023. **Seconded by D. Davis**
Roll Call: D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

None

Next Gaming Commission Meeting

a) April 18, 2023 at 5:30 p.m.

Adjournment of Open Session:

Action: S. Parsons made a motion to adjourn Open Session at 5:37 p.m. **Seconded by A. Giltz**
Roll Call: S. Parsons – Yes / M. Jespersen – Yes / A. Giltz – Yes / D. Davis – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**

Submitted by,


Debra Davis, Secretary

4-18-23
Date

Items moved to Open Session:

Vendor Licensing:

a) Order to Cease Conducting Business – Landry Holdings, LLC d/b/a Casino Tech

Action: S. Parsons made a motion to approve Gaming Commission Order #GC23-0411-02 – Prohibiting Little River Casino Resort from conducting business with Landry Holdings, LLC d/b/a Casino Tech. **Seconded by M. Jespersen**
Roll Call: D. Davis – Yes / M. Jespersen – Yes / S. Parsons – Yes / A. Giltz – Yes / 4-Yes, 0-No, 0-Abstain, 0-Absent. **MOTION CARRIED**



**LITTLE RIVER BAND OF OTTAWA INDIANS
GAMING COMMISSION MEETING
OPEN SESSION MEETING
April 18, 2023**

**Gaming Commission Conference Room
2840 Orchard Highway, Suite A
Manistee, MI 49660**



Meeting called to order at 5:40 p.m. by Acting Chairperson, Debra Davis

Present:

Commissioners: Steve Parsons (absent), Alyce Giltz, Debra Davis, Mark Jespersen
Commission Staff: Kelly Maser, Tonia Reinhard, Erford Edmondson
Others:

Approval of Open Session Agenda:

a) April 18, 2023

Action: D. Davis made a motion to approve the Open Session Agenda for April 18, 2023. **Seconded by A. Giltz**
Roll Call: M. Jespersen – Yes / D. Davis – Yes / S. Parsons – Absent / A. Giltz – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Approval of Open Session Minutes:

a) April 11, 2023

Action: D. Davis made a motion to approve the Open Session Minutes for April 11, 2023. **Seconded by A. Giltz**
Roll Call: D. Davis – Yes / A. Giltz – Yes / M. Jespersen – Yes / S. Parsons – Absent / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Old Business:

None

New Business:

None

Discussion Period:

a) Chapter 10 Revisions

Next Gaming Commission Meeting

a) April 25, 2023 at 5:30 p.m.

Adjournment of Open Session:

Action: D. Davis made a motion to adjourn Open Session at 5:53 p.m. **Seconded by A. Giltz**
Roll Call: S. Parsons – Absent / M. Jespersen – Yes / A. Giltz – Yes / D. Davis – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

Submitted by,

Alyce Giltz 4-25-23
Alyce Giltz, Acting Secretary Date

Items moved to Open Session:

Vendor Licensing:

a) Approval of Gaming Vendor License – Halifax Security, Inc.

Action: D. Davis made a motion to approve the renewal license for Gaming Vendor Halifax Security, Inc. – license effective date July 24, 2023 – license expiration date July 24, 2024. **Seconded by A. Giltz**

Roll Call: S. Parsons – Absent / M. Jespersen – Yes / D. Davis – Yes / A. Giltz – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**

b) Approval of Gaming Vendor License – Landry Holdings, LLC d/b/a Casino Tech

Action: D. Davis made a motion to waive the renewal licensing fee for Gaming Vendor Landry Holdings, LLC d/b/a Casino Tech. **Seconded by A. Giltz**

Roll Call: S. Parsons – Absent / M. Jespersen – Yes / D. Davis – Yes / A. Giltz – Yes / 3-Yes, 0-No, 0-Abstain, 1-Absent. **MOTION CARRIED**





Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755

Gaming Commission Order #GC23-0411-02

*Prohibiting Little River Casino Resort from Conducting Business with Landry Holdings,
LLC d/b/a Casino Tech*

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7 (a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7 (j) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.01 of Gaming Commission Ordinance, the Gaming Commission is authorized to enact and enforce such regulations consistent with the Gaming

Gaming Commission Order #GC23-0411-02
Prohibiting Little River Casino Resort from Conducting
Business with Landry Holdings, LLC d/b/a Casino Tech
Page Two

Commission Ordinance regarding its activities as the Commission may deem necessary and proper to effectuate the powers granted by the Ordinance and duties imposed by applicable law(s); and

WHEREAS, Chapter 6 – Section 3-1 of the Gaming Commission approved Regulations states that every person supplying any gaming services or concessions gaming equipment, gaming devices or supplies must obtain a Gaming Vendor License; and

WHEREAS, Chapter 6 – Section 7-2 (c) states in addition to the eligibility requirements of Section 7, the Gaming Commission shall also satisfy itself that each applicant for a Gaming Vendor License: (c) Has not demonstrated a willful disregard for compliance with any gaming regulatory authority in any jurisdiction or has failed to provide information and/or documentation requested by the Gaming Commission.

WHEREAS, Landry Holdings, LLC d/b/a Casino Tech a licensed Gaming Vendor failed to submit a completed renewal application associated with the renewal process; and therefore, the vendor's license will expire on April 18, 2023.

NOW THEREFORE IT IS ORDERED that the Little River Casino Resort shall cease conducting business with Landry Holdings, LLC d/b/a Casino Tech **effective April 18, 2023** and until such time that they are licensed as Gaming Vendor.

Certificate of Adoption

I, Debra Davis, Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on April 11, 2023 by a vote of 4 in favor, _____ opposed, and _____ abstentions and _____ absent. This order has not been amended or rescinded in any way.

Attest:



Debra Davis, Secretary

Distribution: Little River Casino Resort
Tribal Council Recorder
Landry Holdings, LLC d/b/a Casino Tech



**Little River Band of Ottawa Indians
GAMING COMMISSION
2840 Orchard Highway, Suite A
Manistee MI 49660
(231) 723-7755**

Gaming Commission Order #GC23-0418-03

Requiring the Little River Casino Resort to Implement Procedures Related to Promotions

WHEREAS, the Tribe's status as a federally-recognized Indian tribe was reaffirmed and restored by Congress pursuant to Public Law 103-324, 108 Stat. 2156 (25 U.S.C. § 1300k et seq.); and

WHEREAS, the Tribe adopted a Constitution, pursuant to a vote of the membership on May 27, 1998, which Constitution became effective upon its approval by the Assistant Secretary-Indian Affairs on July 10, 1998; and

WHEREAS, the Tribe adopted amendments to the Constitution on April 26, 2004, which became effective upon approval by the Assistant Secretary-Indian Affairs on May 13, 2004; and

WHEREAS, the Tribe adopted amendments to the Constitution on July 11, 2016 which became effective upon approval by the Assistant Secretary-Indian Affairs on August 24, 2016; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7 (a) to provide for the public health, peace, morals, education and general welfare of the Little River Band and its members; and

WHEREAS, the Tribal Council is authorized under Article IV, Section 7 (j) to take action, not inconsistent with the Constitution or Federal law, which is necessary and proper to carry out the sovereign powers of the Tribe; and

WHEREAS, the Tribal Council has in Gaming Commission Ordinance #04-400-04, created a Gaming Commission and delegated to such Commission the authority to regulate all gaming activities authorized by the Tribe and to enforce all Tribal, federal, and applicable state laws governing the conduct of gaming conducted on the Tribe's reservation; and

WHEREAS, in Section 6.01 (a) of the Gaming Commission Ordinance, the Gaming Commission is delegated the authority to regulate all day-to-day gaming activity

Gaming Commission Order #GC23-0418-03
Requiring the Little River Casino Resort to Implement
Procedures Related to Promotions
Page Two

within the jurisdiction of the Tribe to ensure the integrity thereof, which includes, but is not limited to the adoption, review and approval of internal controls, procedures, processes, and other documents which related to the operation of the gaming enterprise and business conducted under the gaming enterprise; and

WHEREAS, in Section 6.01 (e) of Gaming Commission Ordinance, the Gaming Commission is delegated the authority to enact and enforce such regulations consistent with the Gaming Commission Ordinance regarding its activities as the Commission may deem necessary and proper to effectuate the powers granted by the Ordinance and duties imposed by applicable law(s); and

WHEREAS, Section 6.01 (m) of the Gaming Commission Ordinance, the Gaming Commission is delegated the authority to investigate any aspect of any gaming enterprise in order to protect the public interest in the integrity of gaming and to prevent improper and unlawful conduct. The Gaming Commission shall investigate any report of failure of any gaming enterprise to comply with the Ordinance, the Gaming Ordinance, or any Tribal laws, or any other regulation adopted by the Gaming Commission, IGRA, or the Tribal-State Compact. The Gaming Commission is authorized to issue an Order requiring any gaming enterprise to take any corrective or remedial action deemed necessary; and

WHEREAS, Section 6.01 (p) of the Gaming Commission Ordinance, the Gaming Commission is authorized to take all action which shall be reasonably necessary and proper for carrying into execution the foregoing powers and all of the powers vested in the Ordinance as permitted by the purposes and powers therein stated, which are deemed to be in the best interest of the Tribe and in compliance with applicable laws; and

WHEREAS, the Gaming Commission has determined through review and investigative measures that to ensure the integrity of gaming the gaming enterprise must implement the following procedures as it relates to promotions:

1. Use of a checklist to vet all promotional profiles.
2. Use of a checklist to verify the accuracy in which all promotional profiles are built into the marketing system(s) (i.e., Trilogy, Speed Media). This checklist shall be signed by at least two (2) verifiers attesting to the accuracy of the profile module.
3. The checklists required in 1-2 above shall be submitted to the Gaming Commission as part of all promotional profile submissions in accordance with Section 10-1 (b) of the Tribal Minimum Internal Controls. A profile submitted

Gaming Commission Order #GC23-0418-03
Requiring the Little River Casino Resort to Implement
Procedures Related to Promotions
Page Three

without these checklists will be sent back to the gaming enterprise marked non-compliant.

4. Rules of each promotional profile shall be posted for public transparency.
5. All rules shall be written. No verbal rules are acceptable. Gaming enterprise team members are prohibited from communicating verbal rules and/or instructions that are not part of the written rules. Verbal instructions and/or rules are unenforceable.
6. Procedures shall be implemented for the use and protection of promotional gaming devices and equipment (i.e., roller drum, cash cube, etc.)
7. All changes to a promotional profile shall be re-submitted to the Gaming Commission in accordance with 1-3 above at least twenty-four (24) hours prior to the start date of the promotion.

NOW THEREFORE IT IS ORDERED that the Little River Casino Resort effective May 1, 2023 (promotion start date after April 30, 2023) shall implement the following procedures and any previously submitted profile(s) for May 1, 2023, or after require resubmission in accordance with the above list of requirements.

Certificate of Adoption

I, Alyce Giltz, Acting Secretary of the Little River Band of Ottawa Indians Gaming Commission do hereby certify that this order was approved on April 18, 2023 by a vote of 3 in favor, 0 opposed, and 0 abstentions and 1 absent. This order has not been amended or rescinded in any way.

Attest:



Alyce Giltz, Acting Secretary

Distribution: Little River Casino Resort
Tribal Council Recorder